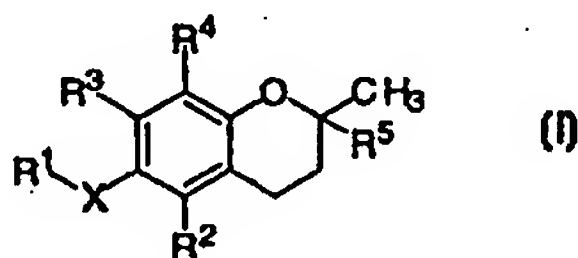




INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁷ : A61K 31/355, C07D 311/04		A1	(11) International Publication Number: WO 00/16772
			(43) International Publication Date: 30 March 2000 (30.03.00)
(21) International Application Number: PCT/US99/21778 (22) International Filing Date: 23 September 1999 (23.09.99) (30) Priority Data: 60/101,542 23 September 1998 (23.09.98) US (71) Applicant: RESEARCH DEVELOPMENT FOUNDATION [US/US]; 402 North Division Street, Carson City, NV 89703 (US). (72) Inventors: KLINE, Kimberly; 2505 McCallum, Austin, TX 78703 (US). SANDERS, Bob, G; 2005 Barton Hills, Austin, TX 78726 (US). HURLEY, Laurence; 5811 Mesa Drive, No. 116, Austin, TX 78731 (US). GARDNER, Robb; 11900 Stonehollow Drive, No. 1031, Austin, TX 78758 (US). MENCHACA, Marla; 11304 Tanya Trail, Austin, TX 78726 (US). YU, Weiping; 13413 Equestrian Cove, Austin, TX 78727 (US). RAMANAN, Puthucode, N.; 2301 South Lakeshore Boulevard, Austin, TX 78741 (US). LIU, Shenquan; 302 West 38th Street, No. 212, Austin, TX 78705 (US). ISRAEL, Karen; 201 West Seventh Street, Austin, TX 78701 (US). (74) Agent: WEILER, James, F.; 1 Riverway, Suite 1560, Houston, TX 77056 (US).		(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG). Published <i>With international search report.</i> <i>Before the expiration of the time limit for amending the</i> <i>claims and to be republished in the event of the receipt of</i> <i>amendments.</i>	

(54) Title: **TOCOPHEROLS, TOCOTRIENOLS, OTHER CHROMAN AND SIDE CHAIN DERIVATIVES AND USES THEREOF**

(57) Abstract

The present invention provides an antiproliferative compound having structural formula (I), wherein X is oxygen, nitrogen or sulfur; R¹ is alkyl, alkenyl, alkynyl, aryl, heteroaryl, carboxylic acid, carboxylate, carboxamide, ester, thioamide, thiolacid, thiolester, saccharide, alkoxy-linked saccharide, amine, sulfonate, sulfate, phosphate, alcohol, ethers and nitriles; R² is hydrogen, methyl, benzyl carboxylic acid, benzyl carboxylate, benzyl carboxamide, benzylester, saccharide and amine; R³ is selected from the group consisting of hydrogen, methyl, benzyl carboxylic acid, benzyl carboxylate, benzyl carboxamide, benzylester, saccharide and amine; R⁴ is of methyl, benzyl carboxylic acid, benzyl carboxylate, benzyl carboxamide, benzylester, saccharide and amine; and R⁵ is alkyl, alkenyl, alkynyl, aryl, heteroaryl, carboxyl, amide and ester. Also provided is a method for inducing apoptosis in a cell comprising administering a composition comprising a compound.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

TOCOPHEROLS, TOCOTRIENOLS, OTHER CHROMAN AND SIDE CHAIN DERIVATIVES AND USES THEREOF

5

BACKGROUND OF THE INVENTION

10 Field of the Invention

The present invention relates generally to the fields of organic chemistry and antiproliferative and pro-apoptotic compounds. More specifically, the present invention relates to chroman-based compounds and derivatives thereof, and their
15 uses as cell anti-proliferative, proapoptotic, immunomodulating, and anti-viral agents.

Description of the Related Art

The biology of cell proliferation and cell death
20 (apoptosis) is extremely complex, involving multiple intracellular signaling pathways and multiple interacting gene products. Cancer cells may exhibit multiple defects in normal regulatory controls of cell proliferation which allow them to increase in number. Furthermore, cancer cells exhibit defects in mechanisms
25 that are involved in eliminating abnormal cells by multi-step processes referred to as programmed cell death or apoptosis. Thus, combinations of unregulated cell proliferation and suppression of death inducing signaling pathways give cancer cells both growth and survival advantages.

Whether a cell increases in numbers or not depends on a balance of expression of negatively-acting and positively-acting growth regulatory gene products, and the presence or absence of functional cell death signaling pathways. Negative-acting growth regulatory genes contribute to blockage of cells in the cell cycle. Positive-acting growth regulatory genes stimulate cells to progress through the cell cycle. Genes involved in apoptosis can be either proapoptotic or antiapoptotic, and the dynamic balance between them determines whether a cell lives or dies.

Cancer cells, in order to survive and increase their numbers, undergo a series of mutational events over time that remove regulatory controls that give them the ability to grow unchecked and survive even in the presence of proapoptotic signals, and develop attributes that permit them to escape detection and removal by the immune response defense system. Cancers may cause death of individuals unless removed by surgery or effectively treated with drugs.

A wide variety of pathological cell proliferative conditions exist for which novel therapeutic strategies and agents are needed to provide therapeutic benefits. These pathological conditions may occur in almost all cell types capable of abnormal cell proliferation or abnormal responsiveness to cell death signals. Among the cell types that exhibit pathological or abnormal growth and death characteristics are (1) fibroblasts, (2) vascular endothelial cells, and (3) epithelial cells. Thus, novel methods are needed to treat local or disseminated pathological conditions in all or almost all organ and tissue systems of individuals.

Most cancers, whether they be male specific such as prostate or testicular, or female specific such as breast, ovarian or

cervical or whether they affect males and females equally such as liver, skin or lung, with time undergo increased genetic lesions and epigenetic events, and eventually become highly metastatic and difficult to treat. Surgical removal of localized cancers has
5 proven effective only when the cancer has not spread beyond the primary lesion. Once the cancer has spread to other tissues and organs, the surgical procedures must be supplemented with other more specific procedures to eradicate the diseased or malignant cells. Most of the commonly utilized supplementary procedures
10 for treating diseased or malignant cells such as chemotherapy or bioradiation are not localized to the tumor cells and, although they have a proportionally greater destructive effect on malignant cells, often affect normal cells to some extent.

Some derivatives of tocopherols, tocotrienols and
15 vitamin E have been used as proapoptotic and DNA synthesis inhibiting agents. Structurally, vitamin E is composed of a chromanol head and an alkyl side chain. There are eight major naturally occurring forms of vitamin E: alpha (α), beta (β), gamma (γ), and delta (δ) tocopherols and α , β , γ , and δ tocotrienols.
20 Tocopherols differ from tocotrienols in that they have a saturated phytyl side chain rather than an unsaturated isoprenyl side chain. The four forms of tocopherols and tocotrienols differ in the number of methyl groups on the chromanol head (α has three, β and γ have two and δ has one).

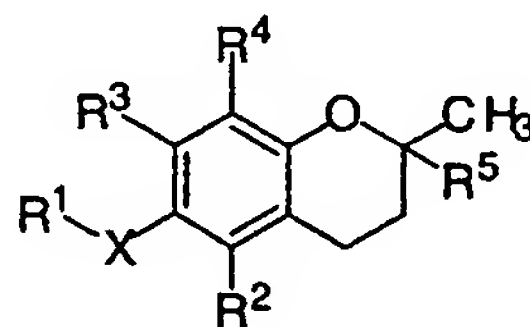
25 RRR- α -tocopheryl succinate is a derivative of RRR- α -tocopherol that has been structurally modified via an ester linkage to contain a succinyl moiety instead of a hydroxyl moiety at the 6-position of the chroman head. This ester linked succinate

moiety of RRR- α -tocopherol has been the most potent form of vitamin E affecting the biological actions of triggering apoptosis and inhibiting DNA synthesis. This form of vitamin E induces tumor cells to undergo apoptosis, while having no apoptotic inducing effects on normal cells. The major advantage of this form of vitamin E as an anticancer agent is that many cancer cells either express low levels of esterases or do not express esterases that can cleave the succinate moiety, thereby converting the succinate form of RRR- α -tocopherol to the free RRR- α -tocopherol. RRR- α -tocopherol exhibits neither potent antiproliferative nor apoptotic triggering biological activity. However, the ester-linked vitamin E succinate is ineffective in vivo since natural esterases in the host cleave off the succinate moiety, rendering an ineffective anticancer agent, RRR- α -tocopherol.

The prior art is deficient in the lack of effective means of inhibiting undesirable or uncontrollable cell proliferation in a wide variety of pathophysiological conditions while having no to little effect on normal cells. The present invention fulfills this long-standing need and desire in the art.

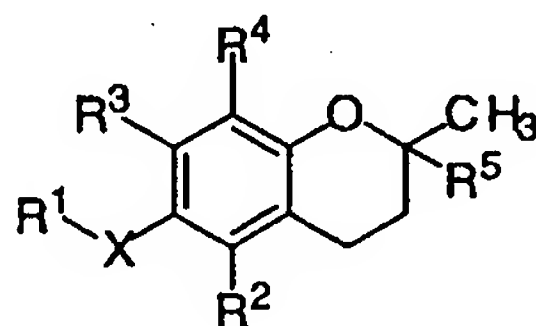
SUMMARY OF THE INVENTION

In one embodiment of the present invention, there is provided a compound having a structural formula



wherein X is oxygen, nitrogen or sulfur; R¹ is selected from the group consisting of alkyl, alkenyl, alkynyl, aryl, heteroaryl, carboxylic acid, carboxylate, carboxamide, ester, thioamide, thiolester, thiolacid, saccharide, alkoxy-linked saccharide, amine, sulfonate, sulfate, phosphate, alcohol, ether and nitrile; R² is selected from the group consisting of hydrogen, methyl, benzyl carboxylic acid, benzyl carboxylate, benzyl carboxamide, benzyl ester, saccharide and amine; R³ is selected from the group consisting of hydrogen, methyl, benzyl carboxylic acid, benzyl carboxylate, benzyl carboxamide, benzylester, saccharide and amine; and R⁵ is selected from the group consisting of alkyl, alkenyl, alkynyl, aryl, heteroaryl, carboxyl, amide and ester; wherein when X is oxygen, R² is methyl, R³ is methyl, R⁴ is methyl and R⁵ is phytyl, R¹ is not butyric acid.

In another embodiment of the present invention, there is provided a method for the treatment of a cell proliferative disease comprising administering to an animal a pharmacologically effective dose of a compound having a structural formula



wherein X is oxygen, nitrogen or sulfur; R¹ is selected from the group consisting of alkyl, alkenyl, alkynyl, aryl, heteroaryl, carboxylic acid, carboxylate, carboxamide, ester, thioamide, thiolester, thiolacid, saccharide, alkoxy-linked saccharide, amine,

sulfonate, sulfate, phosphate, alcohol, ether and nitrile; R^2 is selected from the group consisting of hydrogen, methyl, benzyl carboxylic acid, benzyl carboxylate, benzyl carboxamide, benzyl ester, saccharide and amine; R^3 is selected from the group consisting of hydrogen, methyl, benzyl carboxylic acid, benzyl carboxylate, benzyl carboxamide, benzylester, saccharide and amine; R^4 is selected from the group consisting of methyl, benzyl carboxylic acid, benzyl carboxylate, benzyl carboxamide, benzylester, saccharide and amine; and R^5 is selected from the group consisting of alkyl, alkenyl, alkynyl, aryl, heteroaryl, carboxyl, amide and ester.

In yet another embodiment of the present invention, there is provided a pharmaceutical composition comprising a compound disclosed herein and a pharmaceutically acceptable carrier.

In yet another embodiment of the present invention, there is provided a method of inducing apoptosis of a cell, comprising the step of contacting said cell with a pharmacologically effective dose of a compound of the present invention.

Other and further aspects, features, benefits, and advantages of the present invention will be apparent from the following description of the presently preferred embodiments of the invention given for the purpose of disclosure.

25

BRIEF DESCRIPTION OF THE DRAWINGS

So that the matter in which the above-recited features, advantages and objects of the invention, as well as others which will become clear, are attained and can be understood in detail,

more particular descriptions of the invention are briefly summarized. above may be had by reference to certain embodiments thereof which are illustrated in the appended drawings. These drawings form a part of the specification. It is to
5 be noted; however, that the appended drawings illustrate preferred embodiments of the invention and therefore are not to be considered limiting in their scope.

Figure 1 shows general structure of tocopherol, tocotrienol and other chroman-based compounds.

10 Figure 2 shows general tocopherol-based compounds 1-29 presently synthesized and tested.

Figures 3A, 3B and 3C shows general synthetic organic approaches for the chemical variation of chromanol compounds at position R¹.

15 Figure 4 shows general synthetic organic approaches for the chemical variation of chromanol compounds at position R².

Figure 5 shows general synthetic organic approaches for the chemical variation of chromanol compounds at position R³ and R⁴.

20 Figure 6 shows general synthetic organic approaches for the chemical variation of chromanol compounds at position R⁵.

DETAILED DESCRIPTION OF THE INVENTION

25 As used herein, the term "individual" shall refer to animals and humans.

As used herein, the term "biologically inhibiting" or "inhibition" of the growth of proliferating cells shall include partial or total growth inhibition and also is meant to include decreases in

the rate of proliferation or growth of the cells. The biologically inhibitory dose of the composition of the present invention may be determined by assessing the effects of the test element on target malignant or abnormally proliferating cell growth in tissue culture, tumor growth in animals and cell culture or any other method known to those of ordinary skill in the art.

As used herein, the term "induction of programmed cell death or apoptosis" shall include partial or total cell death with cells exhibiting established morphological and biochemical apoptotic characteristics. The dose of the composition of the present invention that induces apoptosis may be determined by assessing the effects of the test element on target malignant or abnormally proliferating cell growth in tissue culture, tumor growth in animals and cell culture or any other method known to those of ordinary skill in the art.

As used herein, the term "induction of cell cycle arrest" shall include growth arrest due to treated cells being blocked in G0/G1 or G2/M cell cycle phase. The dose of the composition of the present invention that induces cell cycle arrest may be determined by assessing the effects of the test element on target malignant or abnormally proliferating cell growth in tissue culture, tumor growth in animals and cell culture or any other method known to those of ordinary skill in the art.

As used herein, the term "induction of cellular differentiation" shall include growth arrest due to treated cells being induced to undergo cellular differentiation, a stage in which cellular proliferation does not occur. The dose of the composition of the present invention that induces cellular differentiation may be determined by assessing the effects of the test element on

target malignant or abnormally proliferating cell growth in tissue culture, tumor growth in animals and cell culture or any other method known to those of ordinary skill in the art.

The present invention provides tocopherols, 5 tocotrienols, and other chroman derivatives with or without derivatives of saturated phytyl or unsaturated isoprenyl side chains and analogs thereof. Utilizing ethers and several other chemical linkages to attach different moieties to tocopherol, tocotrienol and other chroman derivatives, novel anti-cancer 10 compounds, for *in vivo* use, are produced. The general structures of the novel compounds of the present invention are shown in Figure 1 and possible routes for their syntheses are provided in Figures 3-6. . The novel features of these molecules include chemical funtionalization of positions $R^1 - R^5$ of the chroman 15 structure, and chemical functionalization of the phytyl and isoprenyl side chains, particularly compounds based on tocopherols and tocotrienols (Figure 1). Particularly preferred compounds include 2,5,7,8-tetramethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)acetic acid (1), 2,5,7,8- 20 tetramethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)propionic acid (2), 2,5,8-trimethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)acetic acid (7), 2,7,8-trimethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)acetic acid (8), 2,8-dimethyl-(2R-(4R,8R,12-trimethyltridecyl) chroman-6-yloxy) acetic acid (9), 2-(N,N-(carboxymethyl)-2(2,5,7,8-tetramethyl-(2R-(4R,8R,12- 25 trimethyltridecyl) chroman-6-yloxy) acetic acid (12), 2,5,7,8-tetramethyl-(2RS-(4RS,8RS,12-trimethyltridecyl)chroman-6-yloxy)acetic acid (15), 2,5,7,8-tetramethyl-2R-(2RS,6RS,10-

trimethylundecyl)chroman-6-yloxy)acetic acid (17), 3-(2,5,7,8-tetramethyl-(2R-(4R,8,12-trimethyltridecyl)chroman-6-yloxy)propyl-1-ammonium chloride (19), 2,5,7,8-tetramethyl-(2R-(4R,8,12-trimethyltridecyl)chroman-3-ene-6-yloxy) acetic acid (20), 2-(2,5,7,8-tetramethyl-(2R-(4R,8,12-trimethyltridecyl)chroman-6-yloxy)triethylammonium sulfate (21), 6-(2,5,7,8-tetramethyl-(2R-(4R,8,12-trimethyltridecyl)chroman)acetic acid (22), 2,5,7,8-tetramethyl-(2R-(heptadecyl)chroman-6-yloxy) acetic acid (25), 2,5,7,8-tetramethyl-2R-(4,8-dimethyl-1,3,7 EZ nonotrien)chroman-6-yloxy) acetic acid (26), and E,Z,RS,RS-(phytyltrimethylbenzenethiol-6-yloxy)acetic acid (27).

The pharmacodynamically designed compounds of the present invention have an improved therapeutic index and are potent inhibitors of cancer cell growth; i.e., they demonstrate high antitumor activity with minimal side effects. These compounds, which can not be readily degraded since there are no known etherases in mammals, may be used in the treatment of cancers and disorders involving excess cell proliferation, as well as for cells that accumulate in numbers due to suppressed cell killing mechanisms, with minimal side effects. The compounds of the present invention inhibit cancer cell growth by induction of apoptosis and DNA synthesis arrest. Induction of apoptosis by these compounds is mediated by activation of the TGF- β , stress kinase, and Fas/Fas ligand signaling pathways. Induction of apoptosis by other pathways, for example, ceramide production, are not excluded. These growth inhibitory properties allow these compounds to be used in the treatment of proliferative diseases, including cancers of different cell types and lineages, non-neoplastic hyperproliferative diseases, and disorders with defects

in apoptotic signaling pathways. Several of the compounds of the present invention are both strong inducers of apoptosis and strong inhibitors of DNA synthesis arrest of tumor cells representing different cellular lineages.

5 The therapeutic use of the compounds of the present invention in treatment of cancers and other diseases and disorders involving excess cell proliferation or failure of cells to die is illustrated. The novel derivatives (Tables 1 and 2) were shown at EC₅₀ concentrations to induce apoptosis of human breast
10 cancer cells (MDA MB 435, MDA MB 231, and MCF-7 breast cancer cells), human prostate cancer cells (PC-3, DU-145 and LnCaP), human ovarian tumor cells (C-170), human cervical tumor cells (ME-180), human endometrial cells (RL-95-2), human lymphoid cells (myeloma, Raji, Ramos, Jurkat, and HL-60), colon cancer cells
15 (HT-29 and DLD-1) and lung cancer cells (A-549). The novel derivatives were shown to not induce apoptosis of normal human mammary epithelial cells (HMECs) and immortalized but non-tumorigenic MCF-10A mammary cells.

 These novel compounds and methods of the present
20 invention may be used to treat neoplastic diseases and non-neoplastic diseases. Representative examples of neoplastic diseases are ovarian cancer, cervical cancer, endometrial cancer, bladder cancer, lung cancer, cervical cancer, breast cancer, prostate cancer, testicular cancer, gliomas, fibrosarcomas,
25 retinoblastomas, melanomas, soft tissue sarcomas, osteosarcomas, colon cancer, carcinoma of the kidney, pancreatic cancer, basal cell carcinoma, and squamous cell carcinoma. Representative examples of non-neoplastic diseases are selected from the group

consisting of psoriasis, benign proliferative skin diseases, ichthyosis, papilloma, restinosis, scleroderma and hemangioma.

The compounds and methods of the present invention may be used to treat non-neoplastic diseases that develop due to failure of selected cells to undergo normal programmed cell death or apoptosis. Representative examples of diseases and disorders that occur due to the failure of cells to die are autoimmune diseases. Autoimmune diseases are characterized by immune cell destruction of self cells, tissues and organs. A representative group of autoimmune diseases includes autoimmune thyroiditis, multiple sclerosis, myasthenia gravis, systemic lupus erythematosus, dermatitis herpetiformis, celiac disease, and rheumatoid arthritis. This invention is not limited to autoimmunity, but includes all disorders having an immune component, such as the inflammatory process involved in cardiovascular plaque formation, or ultra violet radiation induced skin damage.

The compounds and methods of the present invention may be used to treat disorders and diseases that develop due to virus infections. Representative examples of diseases and disorders that occur due to virus infections are human immunodeficiency viruses (HIV). Since these compounds are working on intracellular signaling networks, they have the capacity to impact on any type of external cellular signal such as cytokines, viruses, bacteria, toxins, heavy metals, etc.

The methods of the present invention may be used to treat any animal. Most preferably, the methods of the present invention are useful in humans.

Generally, to achieve pharmacologically efficacious cell killing and anti-proliferative effects, these compounds and analogs thereof may be administered in any therapeutically effective dose. Preferably, the structurally modified tocopherols and tocotrienols and analogs are administered in a dose of from about 0.1 mg/kg to about 100 mg/kg. More preferably, the structurally modified tocopherols and tocotrienols and analogs are administered in a dose of from about 1 mg/kg to about 10 mg/kg.

Administration of the compositions of the present invention may be by topical, intraocular, parenteral, oral, intranasal, intravenous, intramuscular, subcutaneous, or any other suitable means. The dosage administered is dependent upon the age, clinical stage and extent of the disease or genetic predisposition of the individual, location, weight, kind of concurrent treatment, if any, and nature of the pathological or malignant condition. The effective delivery system useful in the method of the present invention may be employed in such forms as capsules, tablets, liquid solutions, suspensions, or elixirs, for oral administration, or sterile liquid forms such as solutions, suspensions or emulsions. For topical use it may be employed in such forms as ointments, creams or sprays. Any inert carrier is preferably used in combination with suitable solubilizing agents, such as saline, or phosphate-buffered saline, or any such carrier in which the compounds used in the method, such as ethanol, acetone, or DMSO, of the present invention have suitable solubility properties.

There are a wide variety of pathological cancerous and noncancerous cell proliferative conditions and cell accumulations due to absence of normal cellular death for which the

compositions and methods of the present invention will provide therapeutic benefits. These pathological conditions may occur in almost all cell types capable of abnormal cell proliferation or defective in programmed cell death mechanisms. Among the cell
5 types which exhibit pathological or abnormal growth or abnormal death are (1) fibroblasts, (2) vascular endothelial cells and (3) epithelial cells. It can be seen from the above that the methods of the present invention is useful in treating local or disseminated pathological conditions in all or almost all organ and tissue
10 systems of individuals.

It is specifically contemplated that pharmaceutical compositions may be prepared using the novel chroman-based compounds and derivatives thereof of the present invention. In such a case, the pharmaceutical composition comprises the novel
15 compounds of the present invention and a pharmaceutically acceptable carrier. A person having ordinary skill in this art would readily be able to determine, without undue experimentation, the appropriate dosages and routes of administration of the compounds and analogs of the present
20 invention.

Thus the present invention is directed toward the design and effective use of novel agents that can specifically target cancer cells and either down-regulate growth stimulatory signals, up-regulate growth inhibitory signals, down-regulate
25 survival signals and/or up-regulate death signals. More specifically, this invention creates and characterizes novel agents that activate growth inhibitory factors, trigger death signaling pathways, and inhibit DNA synthesis.

The following examples are given for the purpose of illustrating various embodiments of the invention and are not meant to limit the present invention in any fashion.

5

EXAMPLE 1

Synthetic Organic Methodology

The synthesis of a variety of tocopherol, tocotrienol, and other chroman derivatives with or without derivatives of saturated phytyl or unsaturated isoprenyl side chains is possible via structural modification of the chroman ring system (Figures 3-8). The structural variables R^1 , R^2 , R^3 , R^4 , R^5 , and X illustrate the groups on the chroman group that are modified. Using alkylation chemistry, a large number of compounds containing different R^1 groups can be synthesized, particularly when X is oxygen. After alkylation, further chemical modification of the R^1 groups permits the synthesis of a wide range of novel compounds. Bromination of the benzylic methyl groups of the chroman group provide intermediates that permit variation of the R^2 , R^3 and R^4 groups. Variation of group R^5 is also possible, particularly when starting from the commercially available 6-hydroxy-2,5,7,8-tetramethylchroman-2-carboxylic acid. Variation of X to groups other than oxygen, which is the identity of X in tocopherols and tocotrienols, can be accomplished using palladium chemistry (for X = CH_2) and nucleophilic aromatic substitution (for X = N or S). Other possible modifications to the chroman structure include unsaturation at the 3-4 positions, ring contraction to produce a five-membered furanyl ring, and heteroatom substitutions (N or S) for the chroman ring oxygen.

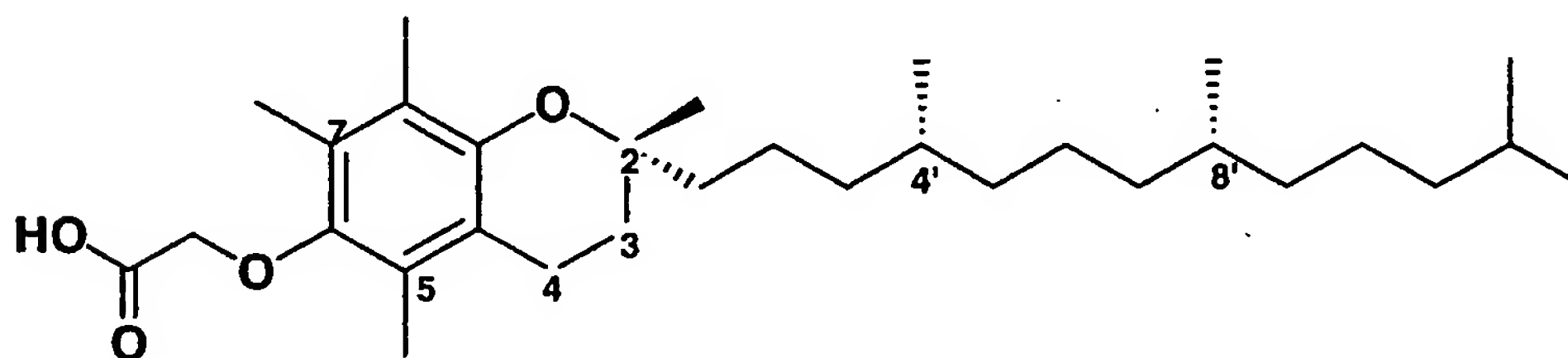
Reagents employed were either commercially available or prepared according to a known procedure. Anhydrous CH_2Cl_2 and THF were obtained by distillation. All other solvents used were reagent. Anhydrous reaction conditions were maintained under a slightly positive argon atmosphere in oven-dried glassware. Silica gel chromatography was performed using 230-400 mesh silica purchased from EM Science. Routine ^1H - and ^{13}C -NMR spectra were obtained on a Varian Unity spectrometer at 300.132 MHz and 75.033 MHz frequencies, respectively. NMR spectra were referenced to TMS (0 ppm) or to the isotopic impurity peak of CDCl_3 (7.26 and 77.0 ppm for ^1H and ^{13}C , respectively). High resolution electron impact ionization mass spectroscopy was performed by the Mass Spectrometry Center at The University of Texas at Austin.

15

EXAMPLE 2

Synthesis and Characterization of Novel Tocopherol Compounds

2,5,7,8-tetramethyl-(2R-(4R,8R,12-trimethyltridecyl)
20 chroman-6-yloxy) acetic acid (1)



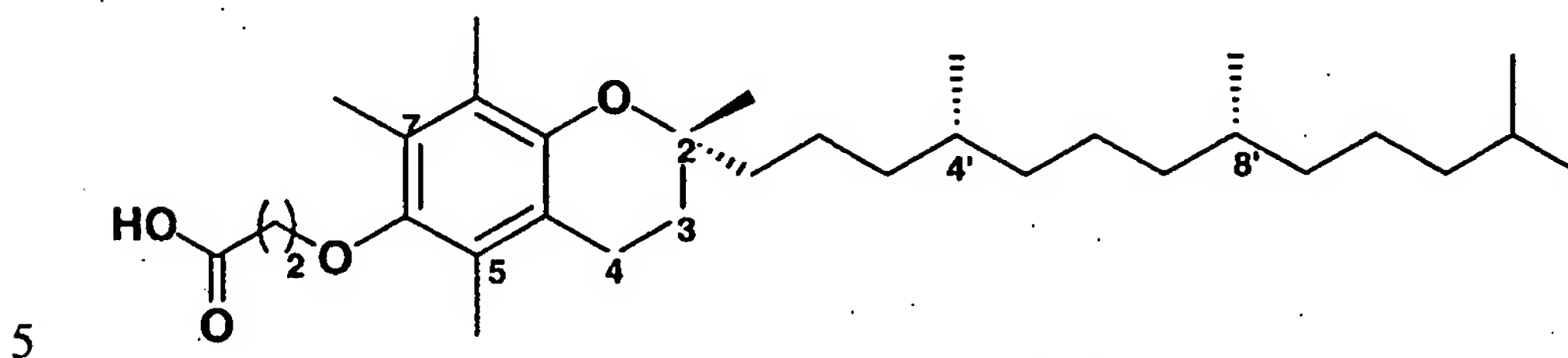
A solution of R,R,R- α -tocopherol (0.5 g, 1.16 mmol) in N,N-dimethylformamide (20 mL) was treated with methyl bromoacetate (3.4 g, 8.3 mmol) and an excess of powdered NaOH

(1.2 g, 30 mmol). The resulting yellow slurry was stirred vigorously for 24 h at room temperature. The reaction was acidified with 5 N HCl and extracted with diethyl ether (3 x 30 ml). The combined ether layers were washed with H₂O (3 x 30 ml) and brine (1 x 30 ml), and then dried with Na₂SO₄. The ether solution was concentrated to a yellow oil that was purified by silica gel chromatography eluting with 19% (v/v) EtOAc and 2% acetic acid in hexanes. The resulting yellow liquid was dissolved in diethyl ether (30 ml), washed with H₂O (3 x 20 mL) and brine (1 x 20 mL), and then dried with Na₂SO₄. The resulting solution was concentrated to a light yellow oil and dried in vacuo for 48 h. This yielded 1 as a waxy, off-white solid (0.50 g, 88%). ¹H-NMR (CDCl₃/TMS, ppm): 0.87 (m, 12H, 4a'-, 8a'-, 12a'-, 13'-CH₃), 1.0 - 1.6 (m, 24H, 4'-, 8'-, 12'-CH, 1'-, 2'-, 3'-, 5'-, 6'-, 7'-, 9'-, 10'-, 11'-CH₂, 2a-CH₃), 1.81 (m, 2H, 3-CH₂), 2.07, 2.14, 2.16 (3 x s, 9H, 5a-, 7a-, 8a-CH₃), 2.59 (t, J = 6.6 Hz, 2H, 4-CH₂), 4.34 (s, 2H, OCH₂); ¹³C-NMR (CDCl₃, ppm): 11.7, 11.8, 12.7 (5a-, 7a-, 8a-CH₃), 19.6, 19.7 (CH₃), 20.6, 21.0 (CH₂), 22.6, 22.7 (CH₃), 23.8 (2a-CH₃), 24.4, 24.8 (CH₂), 28.0 (CH), 31.2 (3-CH₂), 32.7, 32.8 (CH), 37.3, 37.4, 37.5, 39.4, 40.0 (CH₂), 69.2 (OCH₂), 75.0 (2-C), 117.8, 123.2, 125.4, 127.3 (aryl C), 147.0, 148.5 (aryl C-O), 173.7 (COOH); HRMS (CI, m/z): 489.394374 (M + H⁺, Calc. for C₃₁H₅₃O₄ 489.394386). All assignments were confirmed using HMQC, DEPT-135, and ¹H-NOSEY.

25

2,5,7,8-tetramethyl-(2R-(4R,8R,12-trimethyltridecyl) chroman-6-yloxy) propionic acid (2)

The compounds 2-6 are synthesized in a manner identical to the synthesis of 1 using the appropriate bromoalkanoic acids.

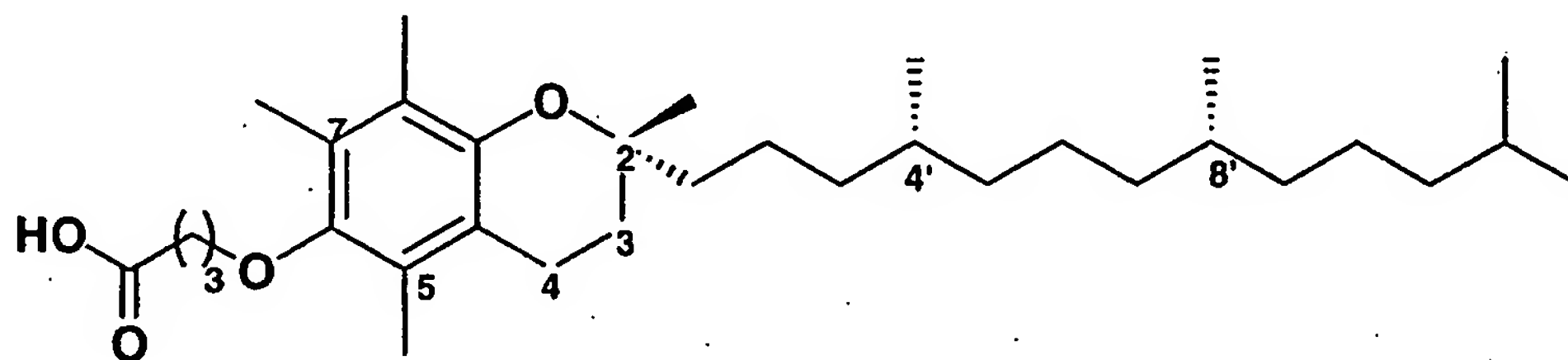


(89% yield). ¹H-NMR (CDCl₃/TMS, ppm): 0.87 (m, 12H, 4a'-, 8a'-, 12a'-, 13'-CH₃), 1.0 - 1.6 (m, 24H, 4'-, 8'-, 12'-CH, 1'-, 2'-, 3'-, 5'-, 6'-, 7'-, 9'-, 10'-, 11'-CH₂, 2a-CH₃), 1.81 (m, 2H, 3-CH₂), 2.09, 2.14, 2.19 (3 x s, 9H, 5a-, 7a-, 8a-CH₃), 2.59 (t, J = 6.6 Hz, 2H, 4-CH₂), 2.85 (t, J = 6.4 Hz, 2H, CH₂COOH), 3.96 (t, J = 6.4 Hz, 2H, OCH₂); ¹³C-NMR (CDCl₃, ppm): 11.7, 11.8, 12.7 (5a-, 7a-, 8a-CH₃), 19.6, 19.7 (CH₃), 20.6, 21.0 (CH₂), 22.6, 22.7 (CH₃), 23.8 (2a-CH₃), 24.4, 24.8 (CH₂), 28.0 (CH), 31.2 (3-CH₂), 32.7, 32.8 (CH), 35.1, 37.3, 37.4, 37.5, 39.4, 40.0 (CH₂), 67.5 (OCH₂), 74.8 (2-C), 117.5, 122.9, 125.8, 127.8 (aryl C), 147.6, 148.0 (aryl C-O), 177.1 (COOH); HRMS (CI, m/z): 503.408610 (M + H⁺, Calc. for C₃₂H₅₅O₄ 503.410036).

10

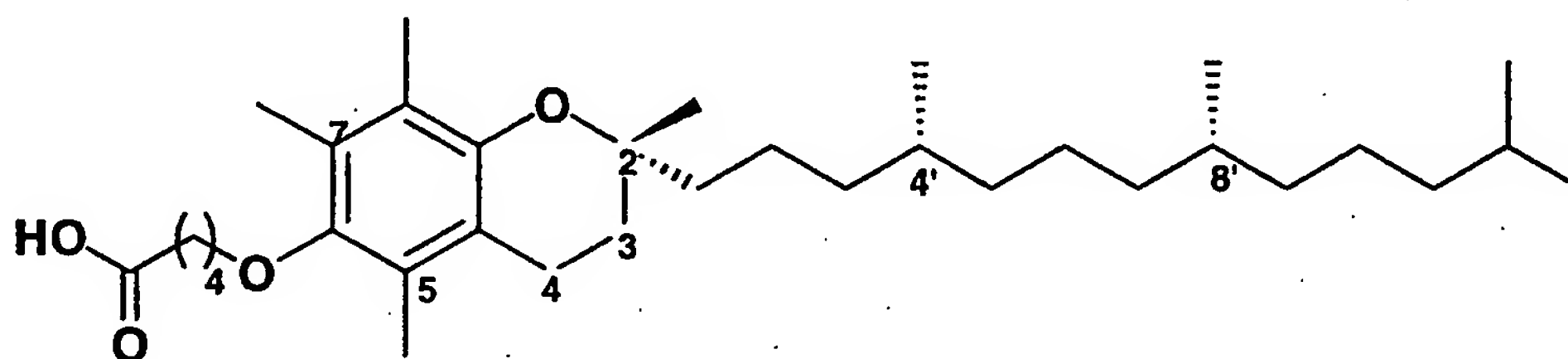
15

20 2,5,7,8-tetramethyl-(2R-(4R,8R,12-trimethyltridecyl) chroman-6-yloxy) butyric acid (3)



(85% yield). ¹H-NMR (CDCl₃/TMS, ppm): 0.87 (m, 12H, 4a'-, 8a'-, 12a'-, 13'-CH₃), 1.0 - 1.6 (m, 26H, 4'-, 8'-, 12'-CH, 1'-, 2'-, 3'-, 5'-, 6'-, 7'-, 9'-, 10'-, 11'-CH₂, 2a-CH₃), 1.81 (m, 2H, 3-CH₂), 2.14, 2.17, 2.21 (3 x s, 9H, 5a-, 7a-, 8a-CH₃), 2.62 (t, J = 6.6 Hz, 2H, 4-CH₂), 2.72 (t, J = 7.2 Hz, 2H, CH₂COOH), 3.74 (t, J = 6.1 Hz, 2H, OCH₂); ¹³C-NMR (CDCl₃, ppm): 11.7, 11.8, 12.7 (5a-, 7a-, 8a-CH₃), 19.6, 19.7 (CH₃), 20.6, 21.0 (CH₂), 22.6, 22.7 (CH₃), 23.9 (2a-CH₃), 24.4, 24.8, 25.3 (CH₂), 28.0 (CH), 30.9, 31.2 (3-CH₂), 32.7, 32.8 (CH), 37.3, 37.4, 37.5, 39.4, 40.0 (CH₂), 71.3 (OCH₂), 74.8 (2-C), 117.5, 122.9, 125.7, 127.7 (aryl C), 147.8, 147.9 (aryl C-O), 178.9 (COOH); HRMS (CI, m/z): 516.424374 (M + H⁺, Calc. for C₃₃H₅₇O₄ 516.424386).

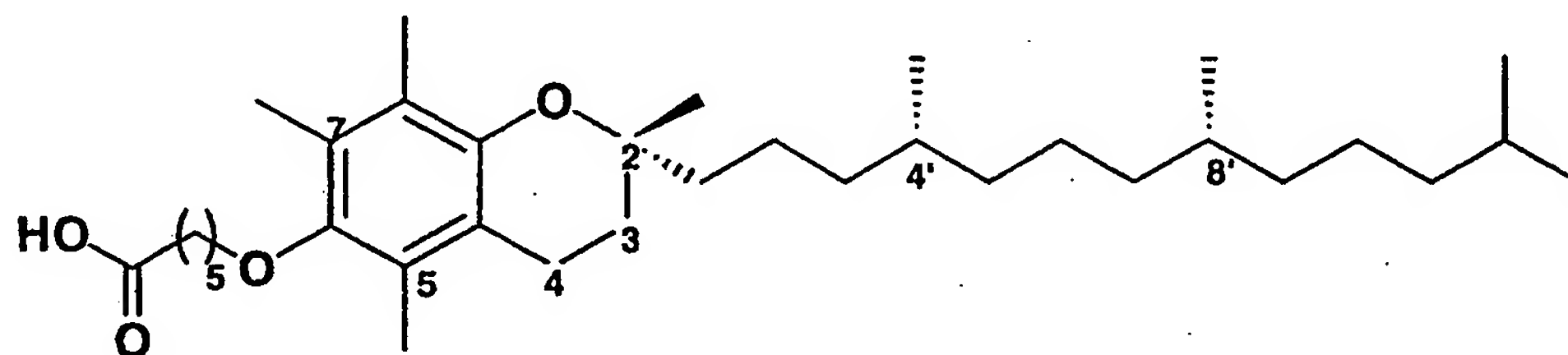
15 2,5,7,8-tetramethyl-2R-(4R,8R,12-trimethyltridecyl)
chroman-6-yloxy) valeric acid (4)



20 (90% yield). ¹H-NMR (CDCl₃/TMS, ppm): 0.87 (m, 12H, 4a'-, 8a'-, 12a'-, 13'-CH₃), 1.0 - 1.6 (m, 28H, 4'-, 8'-, 12'-CH, 1'-, 2'-, 3'-, 5'-, 6'-, 7'-, 9'-, 10'-, 11'-CH₂, 2a-CH₃), 1.81 (m, 2H, 3-CH₂), 2.09, 2.14, 2.18 (3 x s, 9H, 5a-, 7a-, 8a-CH₃), 2.49 (t, J = 6.8 Hz, 2H, CH₂COOH), 2.59 (t, J = 6.6 Hz, 2H, 4-CH₂), 3.68 (t, J = 5.5 Hz, 2H, OCH₂); ¹³C-NMR (CDCl₃, ppm): 11.7, 11.8, 12.7 (5a-, 7a-, 8a-CH₃), 19.6, 19.7 (CH₃), 20.6, 21.0, 21.4 (CH₂), 22.6, 22.7 (CH₃), 23.8 (2a-

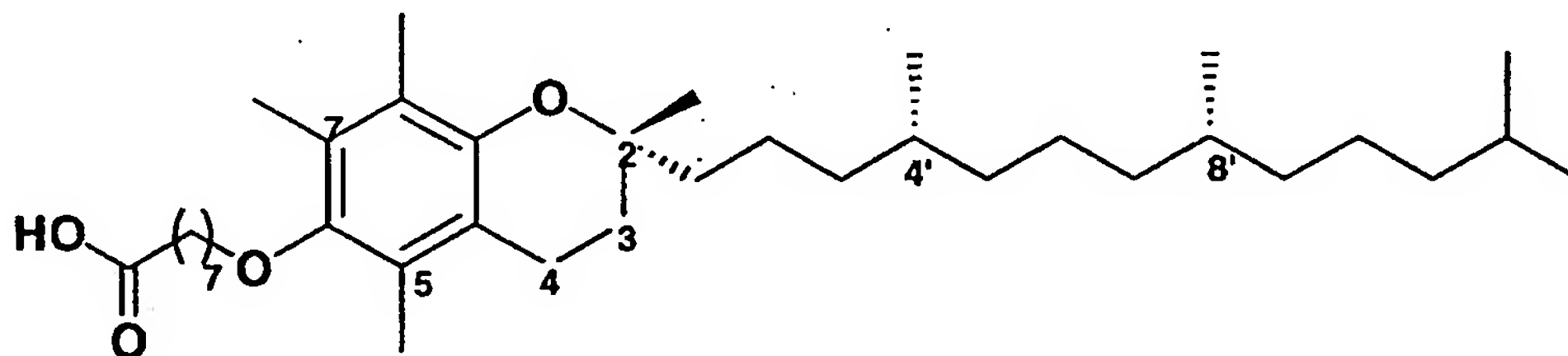
CH₃), 24.4, 24.8 (CH₂), 28.0 (CH), 30.0 (CH₂), 31.2 (3-CH₂), 32.7, 32.8 (CH), 35.8, 37.3, 37.4, 37.5, 39.4, 40.0 (CH₂), 72.2 (OCH₂), 74.9 (2-C), 117.8, 123.2, 125.4, 127.3 (aryl C), 147.6, 148.3 (aryl C-O), 178.7 (COOH); HRMS (CI, m/z): 530.433514 (M + H⁺, Calc. for C₃₄H₅₉O₄ 530.433516).

2,5,7,8-tetramethyl-2R-(4R,8R,12-trimethyltridecyl)
chroman-6-yloxy)hexanoic acid (5)



(77% yield). ¹H-NMR (CDCl₃/TMS, ppm): 0.87 (m, 12H, 4a'-, 8a'-, 12a'-, 13'-CH₃), 1.0 - 1.6 (m, 30H, 4'-, 8'-, 12'-CH, 1'-, 2'-, 3'-, 5'-, 6'-, 7'-, 9'-, 10'-, 11'-CH₂, 2a-CH₃), 1.81 (m, 2H, 3-CH₂), 2.08, 2.12, 2.16 (3 x s, 9H, 5a-, 7a-, 8a-CH₃), 2.32 (t, J = 6.5 Hz, 2H, CH₂COOH), 2.57 (t, J = 6.6 Hz, 2H, 4-CH₂), 3.64 (t, J = 5.5 Hz, 2H, OCH₂); ¹³C-NMR (CDCl₃, ppm): 11.8, 11.9, 12.7 (5a-, 7a-, 8a-CH₃), 19.6, 19.7 (CH₃), 20.6, 21.0 (CH₂), 22.6, 22.7 (CH₃), 23.8 (2a-CH₃), 24.4, 24.6, 24.8, 25.7 (CH₂), 28.0 (CH), 30.0 (CH₂), 31.3 (3-CH₂), 32.7, 32.8 (CH), 34.0, 37.3, 37.3, 37.4, 39.3, 40.0 (CH₂), 72.6 (OCH₂), 74.7 (2-C), 117.4, 122.7, 125.4, 127.8 (aryl C), 147.6, 148.2 (aryl C-O), 179.6 (COOH); HRMS (CI, m/z): 545.457026 (M + H⁺, Calc. for C₃₅H₆₁O₄ 545.456986).

2,5,7,8-tetramethyl-2R-(4R,8R,12-trimethyltridecyl)
chroman-6-yloxy)octanoic acid (6)

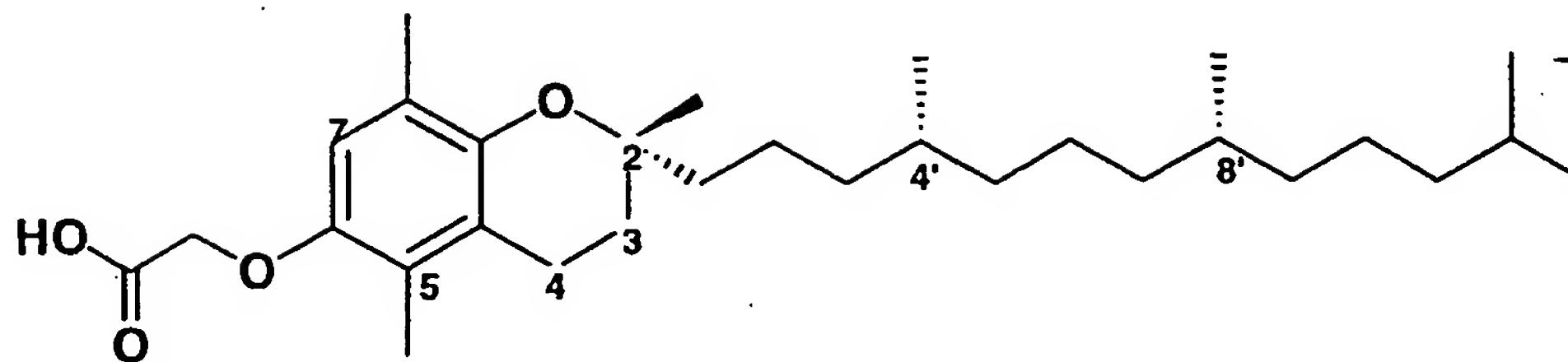


5

(91% yield). ¹H-NMR (CDCl₃/TMS, ppm): 0.87 (m, 12H, 4a'-, 8a'-, 12a'-, 13'-CH₃), 1.0 - 1.6 (m, 34H, 4'-, 8'-, 12'-CH, 1'-, 2'-, 3'-, 5'-, 6'-, 7'-, 9'-, 10'-, 11'-CH₂, 2a-CH₃), 1.81 (m, 2H, 3-CH₂), 2.08, 2.11, 2.16 (3 x s, 9H, 5a-, 7a-, 8a-CH₃), 2.36 (m, 2H, CH₂COOH), 2.58 (t, J = 6.6 Hz, 2H, 4-CH₂), 3.62 (t, J = 5.5 Hz, 2H, OCH₂); ¹³C-NMR (CDCl₃, ppm): 11.7, 11.8, 12.7 (5a-, 7a-, 8a-CH₃), 19.6, 19.7 (CH₃), 20.6, 21.0 (CH₂), 22.6, 22.7 (CH₃), 23.8 (2a-CH₃), 24.4, 24.6, 24.8, 25.1, 25.7, 26.6 (CH₂), 28.0 (CH), 30.0 (CH₂), 31.3 (3-CH₂), 32.7, 32.8 (CH), 34.0, 37.3, 37.3, 37.4, 39.3, 40.0 (CH₂), 72.7 (OCH₂), 74.6 (2-C), 117.6, 122.8, 125.5, 127.6 (aryl C), 147.5, 148.3 (aryl C-O), 179.4 (COOH); HRMS (CI, m/z): 573.484396 (M + H⁺, Calc. for C₃₇H₆₅O₄ 573.488286).

20

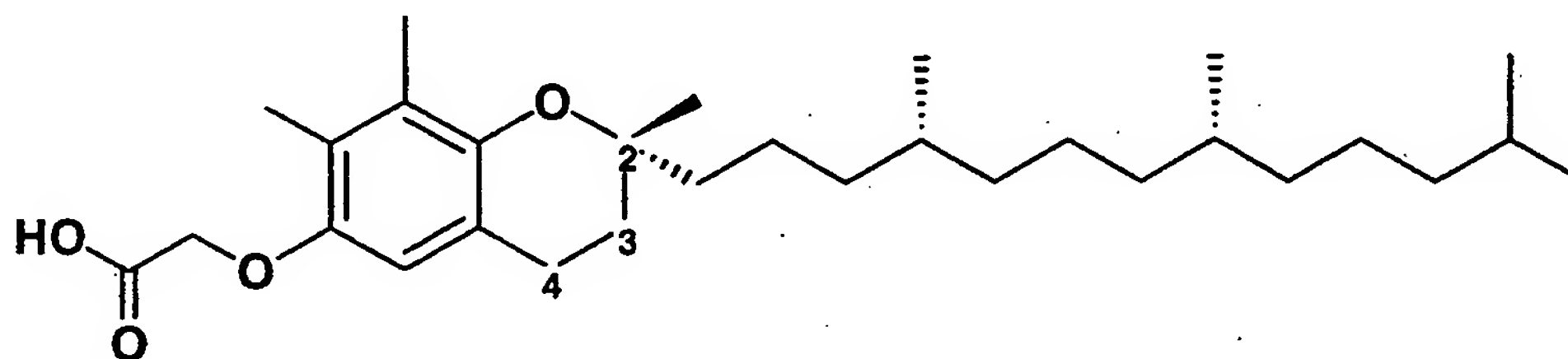
2,5,8-trimethyl-(2R-(4R,8R,12-trimethyltridecyl)
chroman-6-yloxy)acetic acid (7)



A solution of R,R,R- α -tocopherol (75 mg, 0.18 mmol) in N,N-dimethylformamide (2 mL) was treated with methyl
 5 bromoacetate (0.4 g, 2.8 mmol) and an excess of powdered NaOH (0.5 g, 12.5 mmol). The resulting yellow slurry was stirred vigorously for 24 h at room temperature. The reaction was acidified with 5 N HCl and extracted with diethyl ether (3 x 10 ml). The combined ether layers were washed with H₂O (3 x 10
 10 ml) and brine (1 x 10 ml), and then dried with Na₂SO₄. The ether solution was concentrated to a yellow oil that was purified by silica gel chromatography eluting with 19% (v/v) EtOAc and 2% acetic acid in hexanes. The resulting yellow liquid was dissolved in diethyl ether (30 ml), washed with H₂O (3 x 10 mL) and brine
 15 (1 x 10 mL), and then dried with Na₂SO₄. The resulting solution was concentrated to a light yellow oil and dried in vacuo for 48 h. This yielded 7 as a waxy, off-white solid (80 mg, 97%). ¹H-NMR (CDCl₃/TMS, ppm): 0.87 (m, 12H, 4a'-, 8a'-, 12a'-, 13'-CH₃), 1.0 - 1.6 (m, 24H, 4'-, 8'-, 12'-CH, 1'-, 2'-, 3'-, 5'-, 6'-, 7'-, 9'-, 10'-, 11'-CH₂, 2a-
 20 CH₃), 1.81 (m, 2H, 3-CH₂), 2.12, 2.14 (2 x s, 6H, 5a-, 8a-CH₃), 2.61 (t, J = 6.6 Hz, 2H, 4-CH₂), 4.59 (s, 2H, OCH₂), 6.53 (s, 1H, aryl CH); ¹³C-NMR (CDCl₃, ppm): 11.2, 16.1 (5a-, 8a-CH₃), 19.6, 19.7 (CH₃), 20.7, 21.0 (CH₂), 22.6, 22.7 (CH₃), 23.8 (2a-CH₃), 24.4, 24.8 (CH₂), 27.9 (CH), 31.2 (3-CH₂), 32.7, 32.8 (CH), 37.2, 37.4, 37.5, 39.4, 40.0
 25 (CH₂), 66.8 (OCH₂), 74.8 (2-C), 113.8, 120.7, 123.1, 127.3 (aryl C),

147.1, 148.2 (aryl C-O), 175.3 (COOH); HRMS (CI, m/z):
475.377840 (M + H⁺, Calc. for C₃₀H₅₁O₄ 475.378736).

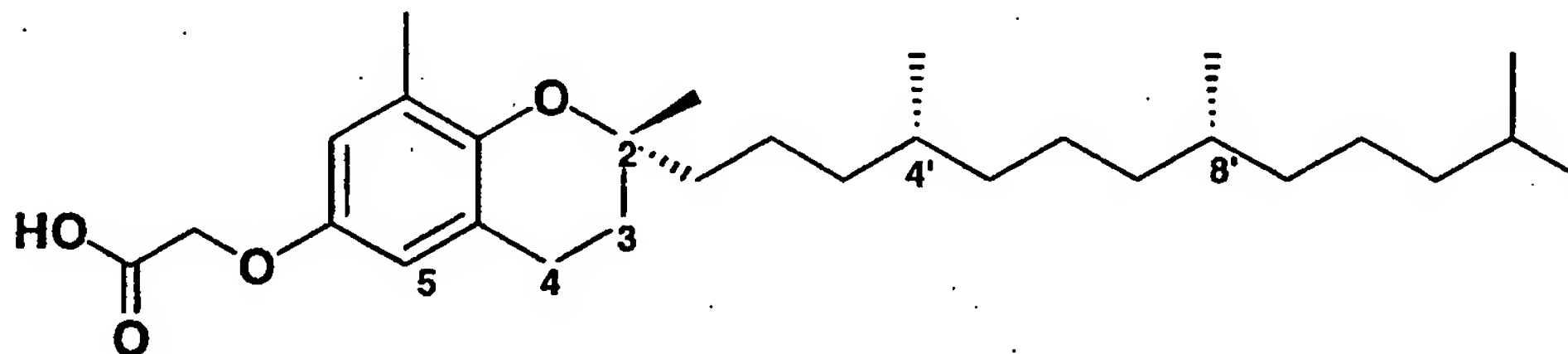
2,7,8-trimethyl-(2R-(4R,8R,12-trimethyltridecyl)
5 chroman-6-yloxy)acetic acid (8)



A solution of R,R,R- α -tocopherol (100 mg, 0.24 mmol)
10 in N,N-dimethylformamide (5 mL) was treated with methyl
bromoacetate (1.1 g, 7.4 mmol) and an excess of powdered NaOH
(1.0 g, 25 mmol). The resulting yellow slurry was stirred
vigorously for 24 h at room temperature. The reaction was
acidified with 5 N HCl and extracted with diethyl ether (3 x 10
15 ml). The combined ether layers were washed with H₂O (3 x 10
ml) and brine (1 x 10 ml), and then dried with Na₂SO₄. The ether
solution was concentrated to a yellow oil that was purified by
silica gel chromatography eluting with 19% (v/v) EtOAc and 2%
acetic acid in hexanes. The resulting yellow liquid was dissolved
20 in diethyl ether (30 ml), washed with H₂O (3 x 10 mL) and brine
(1 x 10 mL), and then dried with Na₂SO₄. The resulting solution
was concentrated to a light yellow oil and dried in vacuo for 48 h.
This yielded 8 as a waxy, off-white solid (110 mg, 97%). ¹H-NMR
(CDCl₃/TMS, ppm): 0.87 (m, 12H, 4a'-, 8a'-, 12a'-, 13'-CH₃), 1.0 -
25 1.6 (m, 24H, 4'-, 8'-, 12'-CH, 1'-,2'-,3'-,5'-,6'-,7'-,9'-,10'-,11'-CH₂,
2a-CH₃), 1.81 (m, 2H, 3-CH₂), 2.12, 2.19 (2 x s, 6H, 7a-, 8a-CH₃),

2.61 (t, $J = 6.6$ Hz, 2H, 4-CH₂), 4.59 (s, 2H, OCH₂), 6.39 (s, 1H, aryl CH); ¹³C-NMR (CDCl₃, ppm): 11.9, 12.0 (7a-, 8a-CH₃), 19.6, 19.7 (CH₃), 20.7, 21.0 (CH₂), 22.6, 22.7 (CH₃), 23.8 (2a-CH₃), 24.4, 24.8 (CH₂), 27.9 (CH), 31.2 (3-CH₂), 32.7, 32.8 (CH), 37.2, 37.4, 37.5, 39.4, 40.0 (CH₂), 66.6 (OCH₂), 75.7 (2-C), 110.6, 117.7, 125.0, 126.3 (aryl C), 146.9, 148.7 (aryl C-O), 175.0 (COOH); HRMS (CI, m/z): 475.377962 ($M + H^+$, Calc. for C₃₀H₅₁O₄ 475.378736).

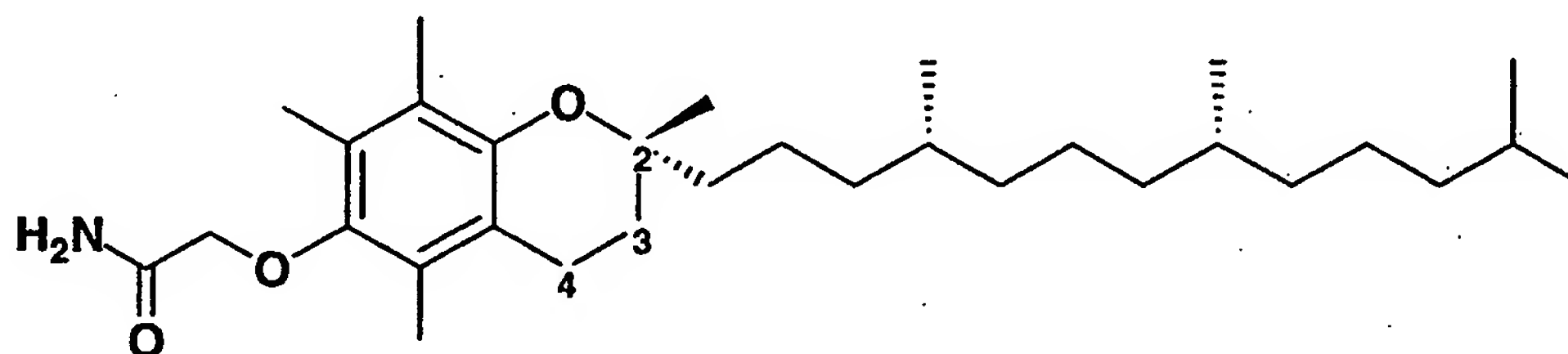
10 2,8-dimethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)acetic acid (9)



A solution of R,R,R- α -tocopherol (100 mg, 0.25 mmol) in N,N-dimethylformamide (5 mL) was treated with methyl bromoacetate (1.1 g, 7.4 mmol) and an excess of powdered NaOH (1.0 g, 25 mmol). The resulting yellow slurry was stirred vigorously for 24 h at room temperature. The reaction was acidified with 5 N HCl and extracted with diethyl ether (3 x 10 ml). The combined ether layers were washed with H₂O (3 x 10 ml) and brine (1 x 10 ml), and then dried with Na₂SO₄. The ether solution was concentrated to a yellow oil that was purified by silica gel chromatography eluting with 19% (v/v) EtOAc and 2% acetic acid in hexanes. The resulting yellow liquid was dissolved in diethyl ether (30 ml), washed with H₂O (3 x 10 mL) and brine (1 x 10 mL), and then dried with Na₂SO₄. The resulting solution was concentrated to a light yellow oil and dried in vacuo for 48 h.

This yielded 9 as a waxy, off-white solid (111 mg, 98%). ¹H-NMR (CDCl₃/TMS, ppm): 0.87 (m, 12H, 4a'-, 8a'-, 12a'-, 13'-CH₃), 1.0 - 1.6 (m, 24H, 4'-, 8'-, 12'-CH, 1'-, 2'-, 3'-, 5'-, 6'-, 7'-, 9'-, 10'-, 11'-CH₂, 2a-CH₃), 1.81 (m, 2H, 3-CH₂), 2.15 (s, 3H, 8a-CH₃), 2.71 (t, J = 6.6 Hz, 2H, 4-CH₂), 4.59 (s, 2H, OCH₂), 6.48 (d, J = 3.0 Hz, 1H, aryl CH), 6.61 (d, J = 3.0 Hz, 1H, aryl CH); ¹³C-NMR (CDCl₃, ppm): 16.2 (8a-CH₃), 19.6, 19.7 (CH₃), 21.0 (CH₂), 22.6, 22.7 (CH₃), 24.0 (2a-CH₃), 24.4, 24.8 (CH₂), 27.9 (CH), 31.2 (3-CH₂), 32.7, 32.8 (CH), 37.2, 37.4, 37.5, 39.4, 40.0 (CH₂), 65.7 (OCH₂), 75.8 (2-C), 112.3, 115.6, 121.1, 127.5 (aryl C), 147.2, 149.9 (aryl C-O), 174.8 (COOH); HRMS (CI, m/z): 460.3552022 (M + H⁺, Calc. for C₃₀H₅₁O₄ 460.355262).

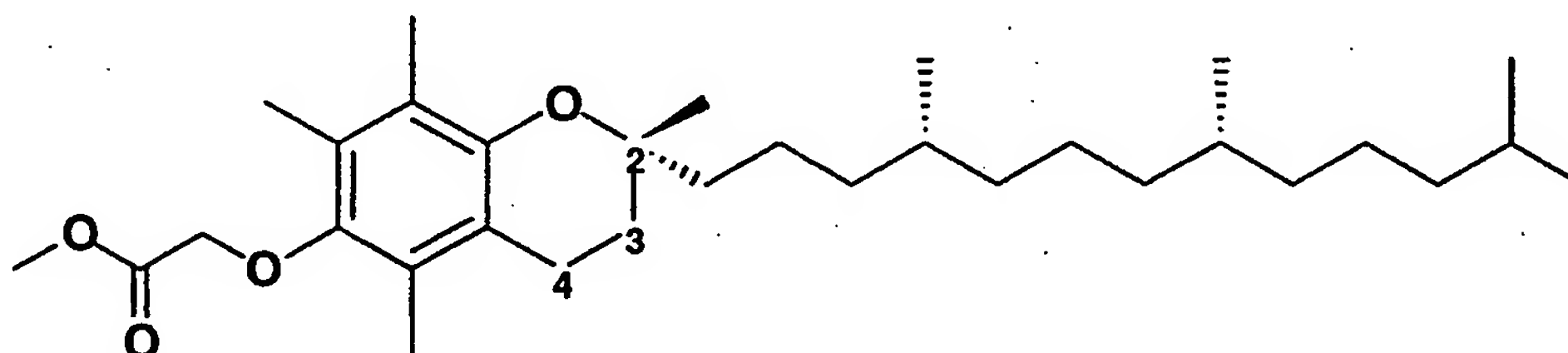
2,5,7,8-tetramethyl-(2R-(4R,8R,12-trimethyltridecyl) chroman-6-yloxy)acetamide (10)



A solution of 1 (0.1 g, 0.2 mmol) in CH₂Cl₂ (5 mL) was treated with N-hydroxysuccinimide (26 mg, 0.23 mmol) and dicyclohexylcarbodiimide (46 mg, 0.23 mmol). After 2 min, a white precipitate formed. The resulting suspension was stirred for 2 h. The reaction stirred for an additional 6 h. The reaction mixture was cooled to -30 °C and filtered. The filtrate was concentrated and the resulting colorless oil was purified by silica gel chromatography eluting with EtOAc (35%, v/v) in hexanes.

This yielded a white solid (75 mg, 76%). ¹H-NMR (CDCl₃/TMS, ppm): 0.87 (m, 12H, 4a'-, 8a'-, 12a'-, 13'-CH₃), 1.0 - 1.6 (m, 24H, 4'-, 8'-, 12'-CH, 1'-,2'-,3'-,5'-,6'-,7'-,9'-,10'-,11'-CH₂, 2a-CH₃), 1.81 (m, 2H, 3-CH₂), 2.10, 2.12, 2.16 (3 x s, 9H, 5a-, 7a-, 8a-CH₃), 2.59 (t, J = 6.6 Hz, 2H, 4-CH₂), 4.19 (s, 2H, OCH₂), 6.36, 6.92 (2 x broad, 2H, NH); ¹³C-NMR (CDCl₃, ppm): 11.7, 11.8, 12.7 (5a-, 7a-, 8a-CH₃), 19.6, 19.7 (CH₃), 20.6, 21.0 (CH₂), 22.6, 22.7 (CH₃), 23.8 (2a-CH₃), 24.4, 24.8 (CH₂), 28.0 (CH), 31.2 (3-CH₂), 32.7, 32.8 (CH), 37.3, 37.4, 37.5, 39.4, 40.0 (CH₂), 70.9 (OCH₂), 74.9 (2-C), 117.8, 123.3, 125.4, 127.3 (aryl C), 146.5, 148.4 (aryl C-O), 172.1 (COOH); HRMS (CI, m/z): 488.409341 (M + H⁺, Calc. for C₃₁H₅₄NO₃ 488.410370).

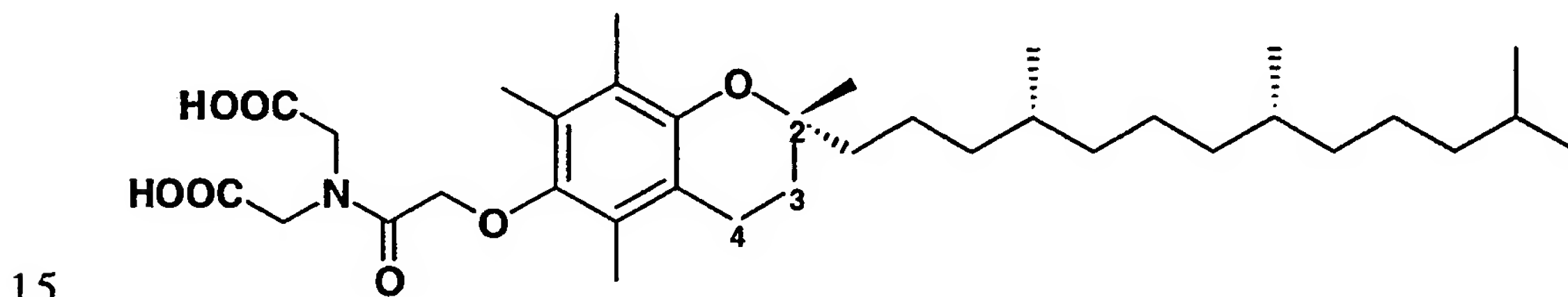
15 Methyl2,5,7,8-tetramethyl-(2R-(4R,8R,12-trimethyltridecyl) chroman-6-yloxy)acetate (11)



A solution of 1 (0.1 g, 0.2 mmol) in CH₂Cl₂ (5 mL) was treated with N,N-dimethylaminopyridine (26 mg, 0.23 mmol), methanol (1 ml) and dicyclohexylcarbodiimide (46 mg, 0.23 mmol). After 2 min, a white precipitate formed. The resulting suspension was stirred for 6 h. The reaction mixture was cooled to -30 °C and filtered. The filtrate was concentrated and the resulting colorless oil was purified by silica gel chromatography eluting with EtOAc (40%, v/v) in hexanes. This yielded a white

solid (82 mg, 80%). ¹H-NMR (CDCl₃/TMS, ppm): 0.87 (m, 12H, 4a'-, 8a'-, 12a'-, 13'-CH₃), 1.0 - 1.6 (m, 24H, 4'-, 8'-, 12'-CH, 1'-, 2'-, 3'-, 5'-, 6'-, 7'-, 9'-, 10'-, 11'-CH₂, 2a-CH₃), 1.81 (m, 2H, 3-CH₂), 2.10, 2.16, 2.20 (3 x s, 9H, 5a-, 7a-, 8a-CH₃), 2.59 (t, J = 6.6 Hz, 2H, 4-CH₂), 3.85 (s, 3H, OCH₃), 4.32 (s, 2H, OCH₂); ¹³C-NMR (CDCl₃, ppm): 11.7, 11.8, 12.7 (5a-, 7a-, 8a-CH₃), 19.6, 19.7 (CH₃), 20.6, 21.0 (CH₂), 22.6, 22.7 (CH₃), 23.8 (2a-CH₃), 24.4, 24.8 (CH₂), 28.0 (CH), 31.2 (3-CH₂), 32.7, 32.8 (CH), 37.3, 37.4, 37.5, 39.4, 40.0 (CH₂), 50.2 (OCH₃), 69.8 (OCH₂), 74.9 (2-C), 117.6, 123.0, 125.6, 127.5 (aryl C), 147.6, 148.2 (aryl C-O), 169.8 (COOH); HRMS (CI, m/z): 503.408411 (M + H⁺, Calc. for C₃₂H₅₅O₄ 503.410036).

2-(N,N-(carboxymethyl)-2(2,5,7,8-tetramethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)acetic acid (12)

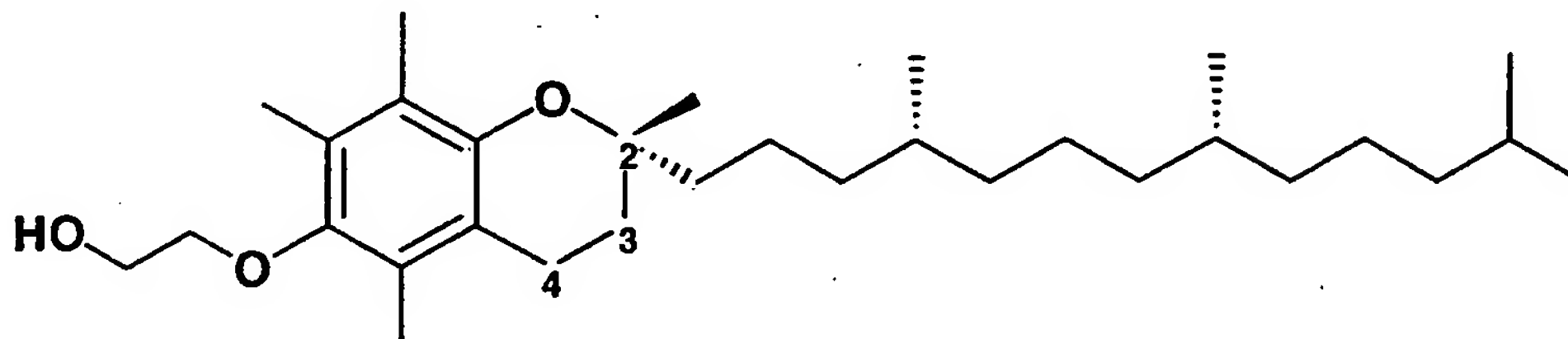


A solution of 1 (0.2 g, 0.4 mmol) in CH₂Cl₂ (5 mL) was treated with diethyl iminodiacetate (77 mg, 0.4 mmol) and O-7-azabenzotriazol-1-yl-N,N,N',N'-tetramethyuronium hexafluorophosphate (HATU) (46 mg, 0.23 mmol). After 12 h, the reaction mixture was concentrated to a paste and then purified by silica gel chromatography eluting with EtOAc (30%, v/v) in hexanes. This yielded the desired diester intermediate as colorless oil (150 mg, 55%). ¹H-NMR (CDCl₃/TMS, ppm): 0.87 (m, 12H, 4a'-, 8a'-, 12a'-, 13'-CH₃), 1.0 - 1.6 (m, 30H, 4'-, 8'-, 12'-CH, 1'-, 2'-, 3'-, 5'-, 6'-, 7'-, 9'-, 10'-, 11'-CH₂, 2a-CH₃), 1.78 (m, 2H, 3-CH₂), 2.08,

2.13, 2.17 (3 x s, 9H, 5a-, 7a-, 8a-CH₃), 2.58 (t, J = 6.8 Hz, 2H, 4-CH₂), 4.19, 4.22 (q, J = 7.4 Hz, 4H, OCH₂), 4.30, 4.33, 4.42 (3 x s, 6H, 2 x NCH₂, OCH₂); ¹³C-NMR (CDCl₃, ppm): 11.7, 11.8, 12.7 (5a-, 7a-, 8a-CH₃), 14.0 (CH₃), 19.6, 19.7 (CH₃), 20.6, 21.0 (CH₂), 22.6, 22.7 (CH₃), 23.8 (2a-CH₃), 24.4, 24.8 (CH₂), 28.0 (CH), 31.2 (3-CH₂), 32.7, 32.8 (CH), 37.3, 37.4, 37.5, 39.4, 40.0 (CH₂), 48.1, 49.4 (NCH₂), 61.2, 61.5 OCH₂), 71.8 (OCH₂), 74.8 (2-C), 117.5, 122.9, 125.6, 127.4 (aryl C), 148.0, 148.1 (aryl C-O), 168.8, 169.0 (CO); MS (CI, m/z): 660 (M + H⁺, Calc. for C₃₉H₆₅NO₇ 659.47610).

10 A solution of the diester intermediate (0.15 g, 0.23 mmol) in ethanol (4 ml) was treated with 1 N NaOH (1 ml). The resulting cloudy mixture was stirred at 70 °C for 15 h. The reaction mixture was acidified with 1 N HCl and the ethanol was removed in vacuo. The resulting aqueous solution was extracted
15 with CHCl₃ (5 x 20 ml) and the combined organic layers dried with Na₂SO₄. This yielded 12 (0.13 g, 52%) as a white solid. ¹H-NMR (CDCl₃/TMS, ppm): 0.87 (m, 12H, 4a'-, 8a'-, 12a'-, 13'-CH₃), 1.0 - 1.6 (m, 24H, 4'-, 8'-, 12'-CH, 1'-, 2'-, 3'-, 5'-, 6'-, 7'-, 9'-, 10'-, 11'-CH₂, 2a-CH₃), 1.70 (m, 2H, 3-CH₂), 2.01, 2.05, 2.08 (3 x s, 9H, 5a-, 7a-, 8a-CH₃), 2.47 (m, 2H, 4-CH₂), 4.18 (m, 4H, 2 x NCH₂), 4.31 (m, 2H, OCH₂); ¹³C-NMR (CDCl₃, ppm): 11.5, 11.6, 12.4 (5a-, 7a-, 8a-CH₃), 19.4, 19.5 (CH₃), 20.6, 21.0 (CH₂), 22.6, 22.7 (CH₃), 23.8 (2a-CH₃), 24.4, 24.8 (CH₂), 28.0 (CH), 31.2 (3-CH₂), 32.4, 32.5 (CH), 37.0, 37.2, 37.5, 39.1, 40.0 (CH₂), 48.1, 49.4 (NCH₂), 71.1 (OCH₂), 74.8 (2-C),
20 117.5, 122.9, 125.4, 127.2 (aryl C), 147.8, 148.1 (aryl C-O), 168.8, 169.0 (CO); HRMS (CI, m/z): 604.420882 (M + H⁺, Calc. for C₃₅H₅₈NO₇ 604.421329).

2-(2,5,7,8-tetramethyl-(2R-(4R,8R,12-trimethyltridecyl) chroman-6-yloxy))ethan-1-ol (13) —



5 A solution of R,R,R- α -tocopherol (0.5 g, 1.16 mmol) in N,N-dimethylformamide (20 mL) was treated with iodoethanol (1.7 g, 10 mmol) and an excess of powdered NaOH (2.5 g, 63 mmol). The resulting yellow slurry was stirred vigorously for 24 h at room temperature. The reaction was acidified with 5 N HCl

10 and extracted with diethyl ether (3 x 30 ml). The combined ether layers were washed with H₂O (3 x 30 ml) and brine (1 x 30 ml), and then dried with Na₂SO₄. The ether solution was concentrated to a yellow oil that was purified by silica gel chromatography eluting with 30% (v/v) EtOAc and 2% acetic acid in hexanes. The

15 resulting yellow liquid was dissolved in diethyl ether (30 ml), washed with H₂O (3 x 20 mL) and brine (1 x 20 mL), and then dried with Na₂SO₄. The resulting solution was concentrated to a light yellow oil and dried in vacuo for 48 h. This yielded 13 as yellow oil (0.40 g, 73%). ¹H-NMR (CDCl₃/TMS, ppm): 0.87 (m, 12H, 4a'-, 8a'-, 12a'-, 13'-CH₃), 1.0 - 1.6 (m, 24H, 4'-, 8'-, 12'-CH, 1'-, 2'-, 3'-, 5'-, 6'-, 7'-, 9'-, 10'-, 11'-CH₂, 2a-CH₃), 1.81 (m, 2H, 3-CH₂), 2.07, 2.14, 2.16 (3 x s, 9H, 5a-, 7a-, 8a-CH₃), 2.59 (t, J = 6.6 Hz, 2H, 4-CH₂), 3.79 (m, 2H, OCH₂), 3.94 (m, 2H, OCH₂); ¹³C-NMR (CDCl₃, ppm): 11.7, 11.8, 12.7 (5a-, 7a-, 8a-CH₃), 19.6, 19.7 (CH₃), 20.6, 21.0 (CH₂), 22.6, 22.7 (CH₃), 23.8 (2a-CH₃), 24.4, 24.8 (CH₂), 28.0 (CH),

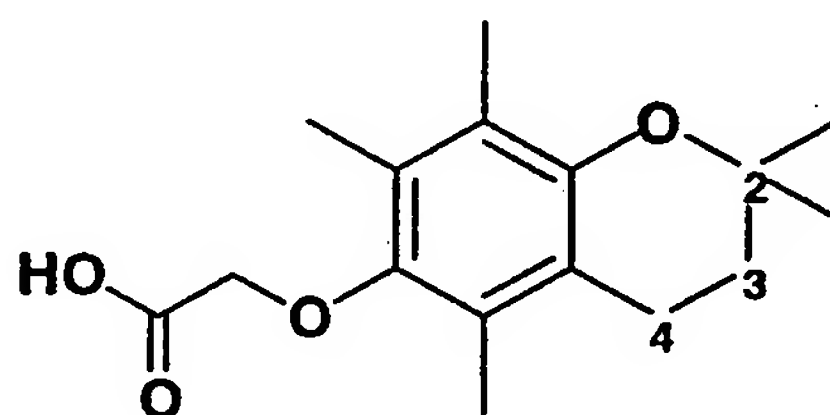
25 31.2 (3-CH₂), 32.7, 32.8 (CH), 37.3, 37.4, 37.5, 39.4, 40.0 (CH₂),

63.1, 69.2 (OCH₂), 75.0 (2-C), 117.8, 123.4, 126.4, 128.3 (aryl C), 149.2, 149.5 (aryl C-O); MS (CI, m/z): 475 (M + H⁺, Calc. for C₃₁H₅₄O₃ 474.40729).

5

2-(2,5,7,8-pentamethylchroman-6-yloxy)acetic acid

(14)

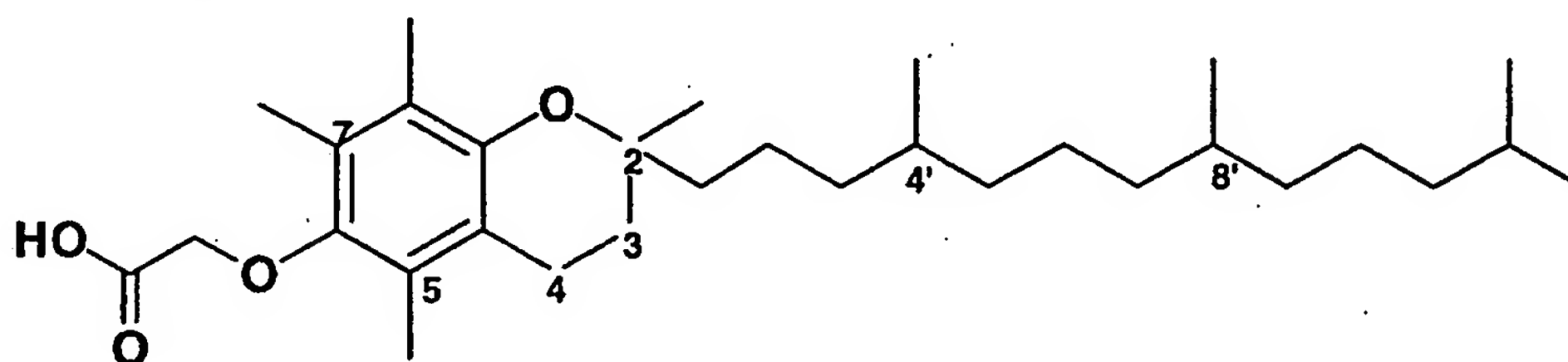


A solution of 2,2,5,7,8-pentamethyl-6-chroman-ol (0.3 g, 1.36 mmol) in N,N-dimethylformamide (20 mL) was treated with methyl bromoacetate (0.8 g, 5.3 mmol) and an excess of powdered NaOH (0.7 g, 18 mmol). The resulting yellow slurry was stirred vigorously for 24 h at room temperature. The reaction was acidified with 5 N HCl and extracted with diethyl ether (3 x 30 ml). The combined ether layers were washed with H₂O (3 x 30 ml) and brine (1 x 30 ml), and then dried with Na₂SO₄. The ether solution was concentrated to a yellow oil that was purified by silica gel chromatography eluting with 30% (v/v) EtOAc and 2% acetic acid in hexanes. The resulting yellow liquid was dissolved in diethyl ether (30 ml), washed with H₂O (3 x 20 mL) and brine (1 x 20 mL), and then dried with Na₂SO₄. The resulting solution was concentrated to a light yellow oil and dried in vacuo for 48 h. This yielded 14 as a white solid (0.31 g, 82%). ¹H-NMR (CDCl₃/TMS, ppm): 1.31 (s, 6H, CH₃), 1.81 (t, J = 7.8 Hz, 3-CH₂), 2.10, 2.16, 2.19 (3 x s, 9H, 5a-, 7a-, 8a-CH₃), 2.61 (t, J = 7.8 Hz, 2H, 4-CH₂), 4.39 (s, 2H, OCH₂); ¹³C-NMR (CDCl₃, ppm): 11.7, 11.8, 12.7 (5a-,

7a-, 8a-CH₃), 20.9, 26.8, 32.7 (alkyl), 69.1, (OCH₂), 72.9 (2-C), 117.5, 123.2, 125.5, 127.3 (aryl), 147.0, 148.6 (O-aryl), 173.8 (COOH); HRMS (CI, m/z): 279.159238 (M + H⁺, Calc. for C₁₆H₂₃O₄ 279.159634).

5

2,5,7,8-tetramethyl-(2RS-(4RS,8RS,12-trimethyltridecyl) chroman-6-yloxy)acetic acid (15)



10

A solution of all racemic - α -tocopherol (0.5 g, 1.16 mmol) in N,N-dimethylformamide (20 mL) was treated with methyl bromoacetate (3.4 g, 8.3 mmol) and an excess of powdered NaOH (1.2 g, 30 mmol). The resulting yellow slurry was stirred vigorously for 24 h at room temperature. The reaction was acidified with 5 N HCl and extracted with diethyl ether (3 x 30 ml). The combined ether layers were washed with H₂O (3 x 30 ml) and brine (1 x 30 ml), and then dried with Na₂SO₄. The ether solution was concentrated to a yellow oil that was purified by silica gel chromatography eluting with 19% (v/v) EtOAc and 2% acetic acid in hexanes. The resulting yellow liquid was dissolved in diethyl ether (30 ml), washed with H₂O (3 x 20 mL) and brine (1 x 20 mL), and then dried with Na₂SO₄. The resulting solution was concentrated to a light yellow oil and dried in vacuo for 48 h. This yielded 15 as a waxy, off-white solid (80%). ¹H-NMR (CDCl₃/TMS, ppm): 0.88 (m, 12H, 4a'-, 8a'-, 12a'-, 13'-CH₃), 1.0 -

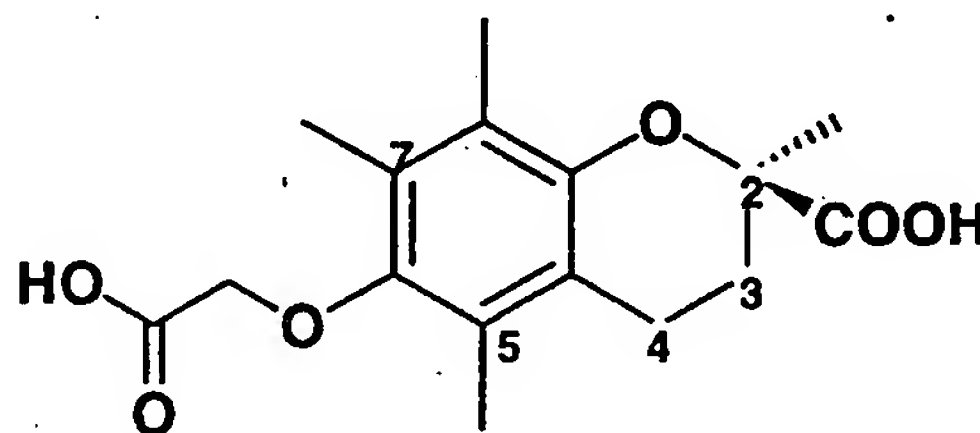
20

25

1.6 (m, 24H, 4'-, 8'-, 12'-CH, 1'-, 2'-, 3'-, 5'-, 6'-, 7'-, 9'-, 10'-, 11'-CH₂, 2a-CH₃), 1.84 (m, 2H, 3-CH₂), 2.07, 2.14, 2.16 (3 x s, 9H, 5a-, 7a-, 8a-CH₃), 2.61 (t, J = 6.6 Hz, 2H, 4-CH₂), 4.34 (s, 2H, OCH₂); ¹³C-NMR (CDCl₃, ppm): 11.5, 11.7, 12.6 (5a-, 7a-, 8a-CH₃), 19.6, 19.7 (CH₃), 20.6, 21.3 (CH₂), 22.6, 22.8 (CH₃), 23.8 (2a-CH₃), 24.5, 24.9 (CH₂), 29.0 (CH), 31.6 (3-CH₂), 32.6, 32.8 (CH), 37.5, 37.8, 37.9, 39.5, 41.0 (CH₂), 69.3 (OCH₂), 75.1 (2-C), 117.9, 123.3, 125.5, 127.3 (aryl C), 147.0, 148.0 (aryl C-O), 173.9 (COOH); HRMS (CI, m/z): 489.394375 (M + H⁺, Calc. for C₃₁H₅₃O₄ 489.394383).

10

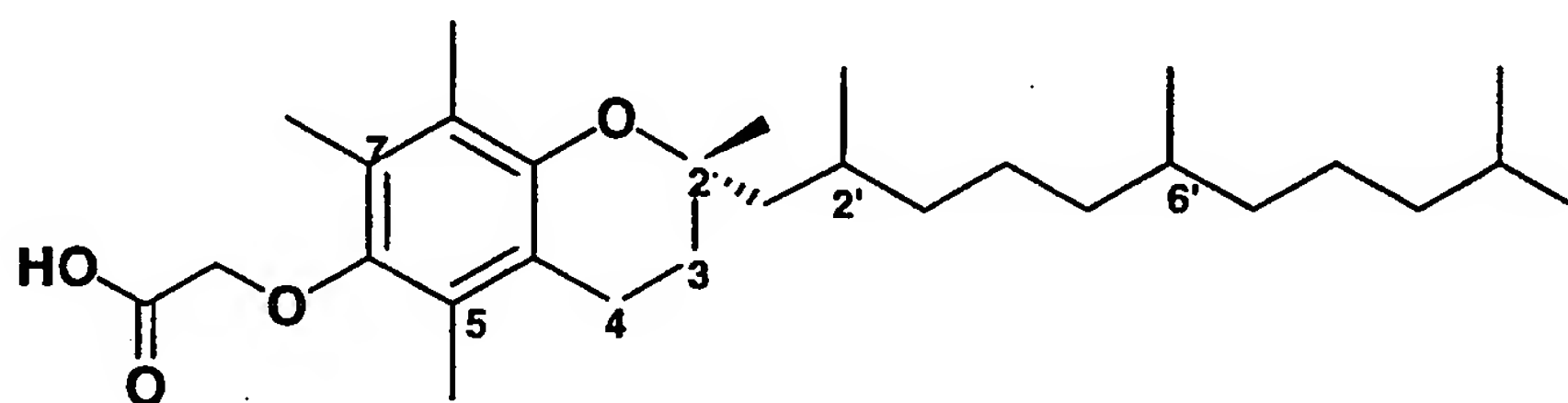
2,5,7,8-tetramethyl-(2R-(carboxy)chroman-6-yloxy))
acetic acid (16)



15 A solution of (-)-(R)-6-hydroxy-2,5,7,8-tetramethylchroman-2-carboxylic acid (0.34g, 1.36 mmol) in N,N-dimethylformamide (20 mL) was treated with methyl bromoacetate (0.8 g, 5.3 mmol) and an excess of powdered NaOH (0.7 g, 18 mmol). The resulting yellow slurry was stirred
 20 vigorously for 24 h at room temperature. The reaction was acidified with 5 N HCl and extracted with diethyl ether (3 x 30 ml). The combined ether layers were washed with H₂O (3 x 30 ml) and brine (1 x 30 ml), and then dried with Na₂SO₄. The ether solution was concentrated to a yellow oil that was purified by
 25 silica gel chromatography eluting with 30% (v/v) EtOAc and 2% acetic acid in hexanes. The resulting yellow liquid was dissolved

in diethyl ether (30 ml), washed with H₂O (3 x 20 mL) and brine (1 x 20 mL), and then dried with Na₂SO₄. The resulting solution was concentrated to light yellow oil and dried in vacuo for 48h. This yielded 16 as a white solid (0.33g, 80%). ¹H-NMR (CDCl₃/TMS, ppm): 1.52 (s, 3H, 2a-CH₃), 2.10 (m, 2H, 3-CH₂), 2.12, 2.16, 2.19 (3 x s, 9H, 5a-, 7a-, 8a-CH₃), 2.56 (t, J = 6.5 Hz, 2H, 4-CH₂), 4.36 (s, 2H, OCH₂).

10 2,5,7,8-tetramethyl-2R-(2RS,6RS,10-trimethylundecyl)
chroman-6-yloxy)acetic acid (17)



A solution of 10g (40mmol) of (-)-(S)-6-hydroxy-
 15 2,5,7,8-tetramethylchroman-2-carboxylic acid and 0.5g of p-toluenesulfonic acid monohydrate in 200 ml of methanol was stirred and refluxed for 4hr. After cooling, the solution was diluted with water and extracted with diethyl ether. The combined ether layers were washed with saturated aqueous
 20 sodium bicarbonate solution, H₂O, and brine (1 x 30 ml), and then dried with Na₂SO₄. The resulting solution was concentrated and dried in vacuo for 48 h. This yielded 10 g (95%) of methyl (-)-(S)-6-hydroxy-2,5,7,8-tetramethylchroman-2-carboxylate as a colorless solid which was used without further purification. ¹H-
 25 NMR (CDCl₃/TMS, ppm): 1.52 (s, 3H, 2a-CH₃), 2.10 (m, 2H, 3-CH₂), 2.12, 2.16, 2.19 (3 x s, 9H, 5a-, 7a-, 8a-CH₃), 2.56 (t, J = 6.5 Hz, 2H,

4-CH₂), 3.55 (s, 3H, OCH₃); MS (CI, m/z): 264.422 M + H⁺, Calc. for C₁₅H₂₀O₄ 265.3224.

To a solution of 2g (7.58mmol) of this ester in 7.5ml of N, N-dimethylformamide (DMF) was added 2.6 g (18.8mmol) of anhydrous granular potassium carbonate followed by 2.3 ml (20 mmol) of benzylchloride. The resulting slurry was stirred at RT for 41 h then poured into 50 ml of water and worked up with ether in the usual way. The product was freed of excess benzyl chloride at 50° under high vacuum. There was obtained 2.69g (100%) of pure (TLC) (-)-(S)-6-benzyloxy-2,5,7,8-tetramethylchroman-2carboxylic acid methyl ester as a yellow solid, m.p. 102-106°. The analytical specimen of this compound was prepared as a colorless solid m.p. 108-109° (from ether/methanol). ¹H-NMR (CDCl₃/TMS, ppm): 1.54 (s, 3H, 2a-CH₃), 2.01 (m, 2H, 3-CH₂), 2.14, 2.17, 2.19 (3 x s, 9H, 5a-, 7a-, 8a-CH₃), 2.51 (t, J = 6.7 Hz, 2H, 4-CH₂), 3.64 (s, 3H, OCH₃), 5.12(s, 2H, 6-OCH₂), 7.15 (m, 5H, ArH); MS (CI, m/z): 355.232 M + H⁺, Calc. for C₂₂H₂₅O₄ 354.448.

A solution of 3.54g (10mmol) of the above ether ester, in 20 ml of toluene and 10ml of CH₂Cl₂ was stirred with cooling from dry ice/acetone bath while 12 ml (18 mmol) of 25% disobutylaluminum hydride in toluene (Texas Alkyls) was added dropwise, over 10 min. After stirring at ca. -70° for 30 min, the reaction mixture was cautiously decomposed (-70°) with 10 ml of MeOH. Following the addition of 50 ml of water and 50 ml of 1N aqueous H₂SO₄ solution, the mixture was warmed to RT, and worked up with ether in the usual way giving 3.2 g (100%) of crude aldehyde [(+) S-6-Benzyloxy-2,5,7,8-tetramethylchroman-2-carbaldehyde] as a viscous oil which was purified by silica gel

chromatography eluting with 19% (v/v) EtOAc in hexane. ¹H-NMR (CDCl₃/TMS, ppm) : 1.53 (s, 3H, 2a-CH₃), 2.11 (m, 2H, 3-CH₂), 2.24, 2.27, 2.29 (3 x s, 9H, 5a-, 7a-, 8a-CH₃), 2.481 (t, J = 6.7 Hz, 2H, 4-CH₂), 5.19(s, 2H, 6-OCH₂), 7.20 (m, 5H, ArH), 9.6(s, 1H, CHO); MS (CI, m/z): 325.332 M + H⁺, Calc. for C₂₁H₂₄O₃ 324.422.

A solution of 9.6g of pseudoionone was dissolved in 100 ml of 95% ethanol; after 0.68 g of sodium borohydride in ethanol had been added at room temperature, the mixture was stirred for 2 hr and then left standing overnight. The mixture was added to a solution of 2 g of sodium hydroxide in 500 ml of water. The mixture was extracted with ether, and the ether extract was washed with water, dried, and concentrated. The distillation of the residual oil in vacuo gave a colorless oil (pseudoionol); bp 112-120°C/5mmHG. 7.7g (80%).

To a solution of 2.97g of pseudoionol in 10 ml of acetonitrile, there were added, under stirring and while the temperature was kept below 30°C, 4.53g of triphenylphosphine hydrochloride which had been obtained by passing dry hydrogen chloride into a solution of triphenylphosphine in dry ether. After the mixture had been left standing overnight at room temperature, the acetonitrile was removed under reduced pressure below 50°C. To the residue there were added 4.47 gm of (+) S-6-Benzoyloxy-2,5,7,8-tetramethylchroman-2-carbaldehyde in 15 ml of dimethylformamide, and the mixture was stirred. When a clear solution was obtained, sodium methoxide prepared from 0.352 g of sodium and 7 ml of anhydrous methanol was stirred in, drop by drop below 15°C. The reaction mixture was turned red by the ylid formed. After the addition was complete, stirring was continued for 30 min at 10°C; then the mixture was gradually

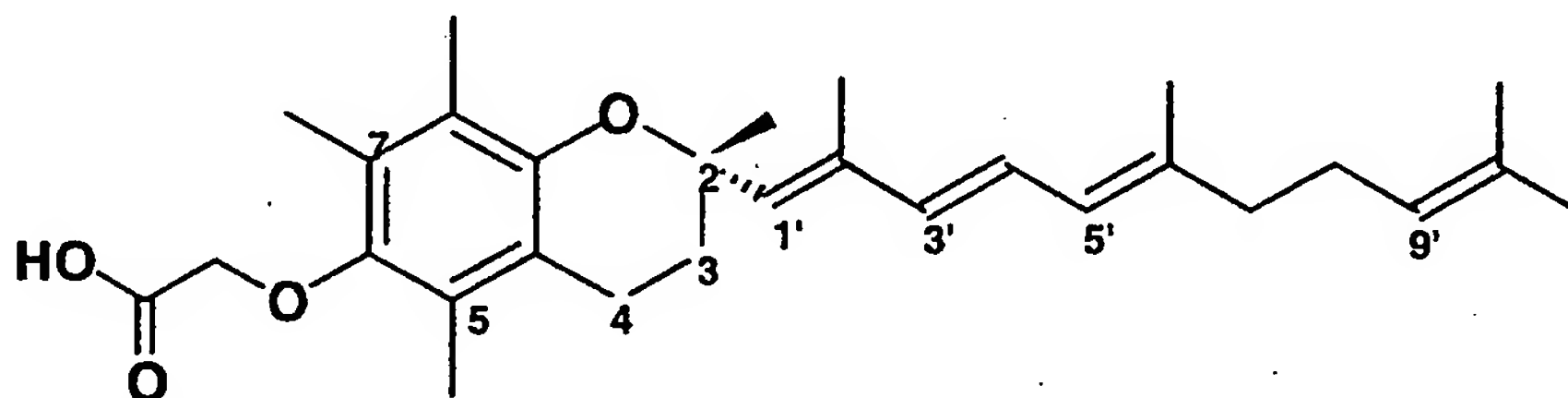
heated to 80°C, when the red color disappeared. The product was poured into 200 ml of 50% aqueous methanol, dried, and concentrated in vacuo. The residual oil was dissolved in 20 ml of ether, and an ethereal solution of mercuric chloride was added until no more precipitate formed. When the precipitate was filtered and the filtrate was washed with water, dried and concentrated, to give 4.7 g of yellow oil were obtained. The crude mixture of cis and trans alkene (MS (CI, m/z): 485.22, $M + H^+$, Calc. for $C_{34}H_{44}O_2$ 484.7255) was dissolved in 30 ml of ethyl acetate and 0.80 g of 5% palladium on carbon was added, and the mixture was shaken under 40 psi of H_2 for 30 hrs and then filtered through Celilte and rinsed well with ethyl acetate. The filtrate was concentrated and purified by silica gel chromatography eluting with EtOAc in hexane (1:9) to give 2,5,7,8-tetramethyl -(2R-(2RS,6RS,10-trimethylundecyl))-6-chromanol (60% yield) 1H -NMR ($CDCl_3$ /TMS, ppm): 0.97 (m, 12H, 2a'-, 6a'-, 10a'-, 11'- CH_3), 1.1 - 1.7 (m, 20H, 2'-, 6'-, 10'-CH, 1'-, 3'-, 4'-, 5'-, 7'-, 8'-, 9'- CH_2 , 2a- CH_3), 1.88 (m, 2H, 3- CH_2), 2.17, 2.19, 2.20 (3 x s, 9H, 5a-, 7a-, 8a- CH_3), 2.63 (t, $J = 6.7$ Hz, 2H, 4- CH_2); (MS (CI, m/z): 403.27, $M + H^+$, Calc. for $C_{27}H_{46}O_2$ 402.6632.

A solution of 2,5,7,8-tetramethyl -(2R-(2RS,6RS,10-trimethylundecyl))-6-chromanol (0.466 g, 1.16 mmol) in N,N-dimethylformamide (20 mL) was treated with methyl bromoacetate (3.4 g, 8.3 mmol) and an excess of powdered NaOH (1.2 g, 30 mmol). The resulting yellow slurry was stirred vigorously for 24 h at room temperature. The reaction was acidified with 5 N HCl and extracted with diethyl ether (3 x 30 ml). The combined ether layers were washed with H_2O (3 x 30 ml) and brine (1 x 30 ml), and then dried with Na_2SO_4 . The ether

solution was concentrated to a yellow oil that was purified by silica gel chromatography eluting with 19% (v/v) EtOAc and 2% acetic acid in hexanes. This yielded compound 17 in 76% yield. ¹H-NMR (CDCl₃/TMS, ppm): 0.97 (m, 12H, 2a'-, 6a'-, 10a'-, 11'-CH₃), 1.2 - 1.7 (m, 20H, 2'-, 6'-, 10'-CH, 1'-, 3'-, 4'-, 5'-, 7'-, 8'-, 9'-CH₂, 2a-CH₃), 1.92 (m, 2H, 3-CH₂), 2.18, 2.20, 2.23 (3 x s, 9H, 5a-, 7a-, 8a-CH₃), 2.68 (t, J = 6.8 Hz, 2H, 4-CH₂), 4.48 (s, 2H, OCH₂); MS (CI, m/z): 461.44, M + H⁺, Calc. for C₂₉H₄₈O₄ 460.700.

10

2,5,7,8-tetramethyl-2R-(2,6,10-trimethyl-1,3,5,9 EZ
decatetraen)chroman-6-yloxy) acetic acid (18)



15 To a solution of methyl (-)-(S)- 6-hydroxy-2,5,7,8-tetramethylchroman-2-carboxylate (20 gms 0.075mole) in 50ml of dry DMF, imidazole (13 gm, 0.1911mole), and tert-butyldimethyl-silylchloride (14 gm, 0.0933 mole) were added. The mixture was stirred at 23°C for 24 hr and then treated with
20 ether and poured into 1N HCl. The organic extracts were dried (brine, Na₂SO₄) and concentrated in vacuo. The crude product was purified by flash chromatography (9:1 hexane :ethyl acetate) to yield 6-[dimethyl (1,1-dimethylethyl) silyl] - 2,5,7,8-tetramethylchroman-2-carboxylate (TBS protected methyl ester). . ¹H-NMR
25 (CDCl₃/TMS, ppm) : 0.12(s, 6H). 1.102(s, 9H), 1.18 (s, 3H), 1.48 (s, 3H), 1.645 (s, 3H), 2.07(s, 3H), 2.2 (t, J = 6.5hz 2H), 2.48-2.7 (m,

2H) and 3.72(s,3H, OCH₃) (MS (CI, m/z): 379.32, M + H⁺, Calc. for C₂₁H₃₄O₄ 378.586.

A solution of 3.78 g(10mmol) of the above ether ester, in 20 ml of toluene and 10ml of CH₂Cl₂ was stirred with cooling from dry ice/acetone bath while 12 ml (18 mmol) of 25% disobutylaluminum hydride in toluene (Texas Alkyls) was added dropwise, over 10 min. After stirring at ca. -70° for 30 min, the reaction mixture was cautiously decomposed (-70°) with 10 ml of MeOH. Following the addition of 50 ml of water and 50 ml of 1N aqueous H₂SO₄ solution, the mixture was warmed to RT, and worked up with ether in the usual way giving 3.2g (90%) of crude aldehyde [(+)-S-6-[dimethyl(1,1-dimethylethyl)silyl]-2,5,7,8-tetramethyl-chroman-2-carbaldehyde] as a viscous oil which was purified by silica gel chromatography eluting with 19% (v/v) EtOAc in hexane. Concentration of the solution followed by drying under vacuo for 48 h yielded TBDS aldehyde (78%) as a solid of mp 66-68°C. ¹H-NMR (CDCl₃/TMS, ppm) : 0.12(s, 6H). 1.1(s, 9H), 1.38 (s, 3H), 1.64 (s, 3H), 2.12 (s, 3H), 2.16(s, 3H), 2.3-2.2 (m, 2H), 2.53 (m, 2H) and 9.82(d, J=1.4Hz, 1H); MS (CI, m/z): 349.40 M + H⁺, Calc. for C₂₀H₃₂SiO₃ 348.560.

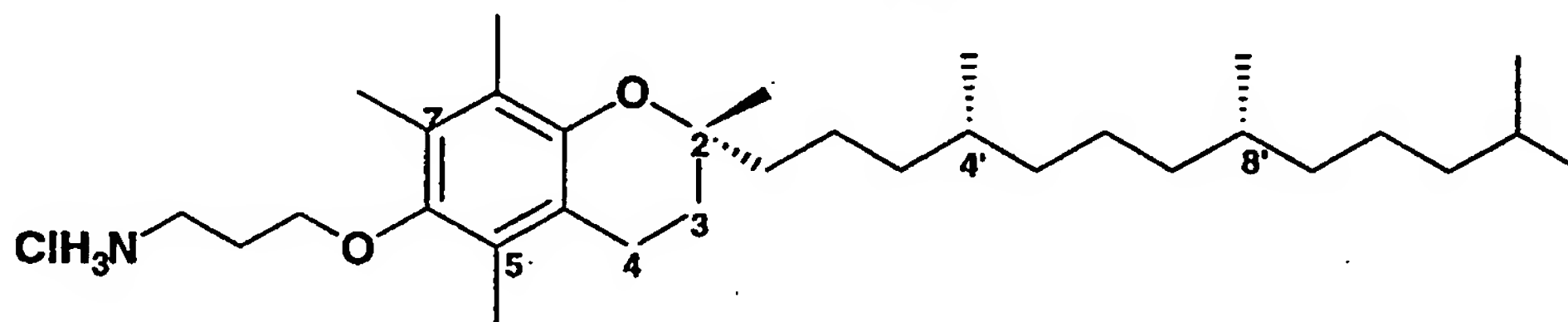
To a solution of 2.97 g of psedoionol in 10 ml of acetonitrile, there were added, under stirring and while the temperature was kept below 30°C, 4.53 g of triphenylphosphine hydrochloride which had been obtained by passing dry hydrogen chloride into a solution of triphenylphosphine in dry ether. After the mixture had then been left standing overnight at room temperature, the acetonitrile was removed under reduced pressure below 50°C. To the residue there were added 4.80 gm of [(+)-S-6-[dimethyl(1,1-dimethylethyl)silyl]-2,5,7,8-

tetramethylchroman-2-carbaldehyde] in 15 ml of dimethylformamide, and the mixture was stirred. When a clear solution was obtained, sodium methoxide prepared from 0.352 g of sodium and 7 ml of anhydrous methanol was stirred in, drop by drop below 15°C. The reaction mixture was turned red by the ylid formed. After the addition was complete, stirring was continued for 30 min at 10°C; then the mixture was gradually heated to 80°C, when the red color disappeared. The product was poured into 200 ml of 50% aqueous methanol, dried, and concentrated in vacuo. The residual oil was dissolved in 20 ml of ether, and an ethereal solution of mercuric chloride was added until no more precipitate formed. When the precipitate was filtered and the filtrate was washed with water, dried and concentrated, to give 4.7 g of yellow oil were obtained. The crude silyl ether mixture of cis and trans alkene was dissolved in THF and tetra-n-butylammoniumfluoride (0.031mole) was added. After being stirred at 23°C for 40 minutes, the mixture was poured into water and extracted into ether. The ether extract was dried concentrated and purified by silica gel chromatography eluting with EtOAc in hexane (1:9) to give 2,5,7,8-tetramethyl-2R-(2,6,10-trimethyl-1,3,5,9 EZ decatetraen)-6-chromanol (68% yield). ¹H-NMR (CDCl₃/TMS, ppm) : 1.28 (s, 3H, 2aCH₃), 1.65(s, 3H), 1.70(s,6H) 1.72 (s,3H), 1.9(m, 6H), 2.18 (s,3H), 2.35 (S, 6H), 2.53 (t, J = 6.6Hz, 2H, 4CH₂), 5.13 - 5.27 (m, 3H) and 6.44(m, 2H) ; MS (CI, m/z): 395.17 M + H⁺, Calc. for C₂₇H₃₈O₂ 394.60.

A solution of 2,5,7,8-tetramethyl-2R-(2,6,10-trimethyl-1,3,5,9 EZ decatetraen)-6-chromanol (0.457 g, 1.16 mmol) in N,N-dimethylformamide (20 mL) was treated with methyl bromoacetate (3.4 g, 8.3 mmol) and an excess of powdered

NaOH (1.2 g, 30 mmol). The resulting yellow slurry was stirred vigorously for 24 h at room temperature. The reaction was acidified with 5 N HCl and extracted with diethyl ether (3 x 30 ml). The combined ether layers were washed with H₂O (3 x 30 ml) and brine (1 x 30 ml), and then dried with Na₂SO₄. The ether solution was concentrated to a yellow oil that was purified by silica gel chromatography eluting with 19% (v/v) EtOAc and 2% acetic acid in hexanes. The resulting liquid was dissolved in diethyl ether (30 ml), washed with H₂O (3 x 20 mL) and brine (1 x 20 mL), and then dried with Na₂SO₄. The resulting solution was concentrated and dried in vacuo for 48 h. This yielded compound 18 in 67% yield. ¹H-NMR (CDCl₃/TMS, ppm) : 1.24 (s, 3H, 2aCH₃), 1.63(s, 3H), 1.72(s,6H) 1.74 (s,3H), 1.92(m, 6H), 2.18 (s,3H), 2.29 (S, 6H), 2.43 (t, J = 6.6Hz, 2H, 4CH₂), 4.68 (s,2H, OCH₂), 5.10 - 5.27 (m, 3H) and 6.34(m, 2H) ; MS (CI, m/z): 452.24 M - H⁺, Calc. for C₂₇H₃₈O₂ 452.63.

3-(2,5,7,8-tetramethyl-(2R-(4R,8,12-trimethyltridecyl)chroman-6-yloxy)propyl-1-ammonium chloride (19)



20

A solution of 3-bromopropylamine hydrobromide (1.0 g, 4.6 mmol) in a 2:1 dioxane/H₂O (45 mL) was cooled to 0 °C and treated with K₂CO₃ (6.22 g, 45 mmol) and di-tert-butyl dicarbonate (1.5 g, 6.9 mmol). The reaction was stirred for 15 h while warming to room temperature. The dioxane was removed in vacuo and the remaining aqueous mixture was acidified with 5

25

N HCl and extracted with ethyl acetate (5 x 25 mL). The combined organic layers were dried with MgSO_4 and yielded 3-bromo-N-(tert-butoxycarbonyl)propylamine as a colorless oil (0.93 g, 93 %). $^1\text{H-NMR}$ (CDCl_3/TMS , ppm): 1.41 (s 9H, CH_3), 2.02 (quintet, $J = 6.4$ Hz, 2H, CH_2), 3.23 (m, 2H, NCH_2), 3.41 (t, $J = 6.6$ Hz, CH_2Br), 4.8 (broad, 1H, NH); $^{13}\text{C-NMR}$ (CDCl_3 , ppm): 28.3 (CH_3), 30.7, 32.6, 38.9 (CH_2), 79.3 (quaternary C), 155.9 (CO); MS (CI, m/z): 239, 241 ($\text{M} + \text{H}^+$ Calc. for $\text{C}_8\text{H}_{16}\text{BrNO}_2$ 237.03644).

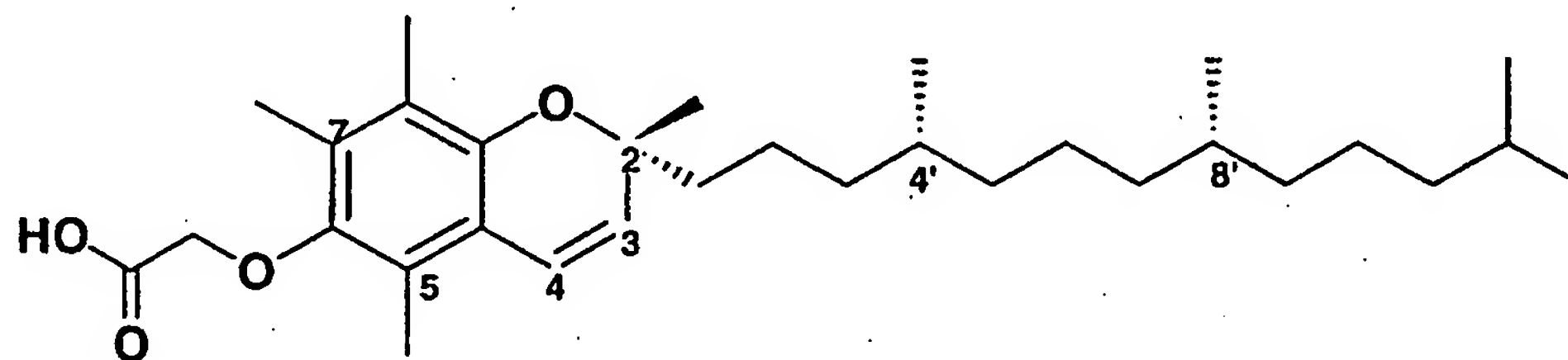
A solution of R,R,R- α -tocopherol (0.5 g, 1.16 mmol) in N,N-dimethylformamide (15 mL) was treated with 3-bromo-N-(tert-butoxycarbonyl)propylamine (0.9 g, 3.8 mmol) and an excess of powdered NaOH (0.32 g, 8 mmol). The resulting yellow slurry was stirred vigorously for 24 h at room temperature. The reaction was acidified with 5 N HCl and extracted with diethyl ether (3 x 30 ml). The combined ether layers were washed with H_2O (3 x 30 ml) and brine (1 x 30 ml), and then dried with Na_2SO_4 . The ether solution was concentrated to a yellow oil that was purified by silica gel chromatography eluting with EtOAc (10% v/v) in hexanes. This yielded desired ether as a colorless oil (0.45 g, 66%). $^1\text{H-NMR}$ (CDCl_3/TMS , ppm): 0.87 (m, 12H, 4a'-, 8a'-, 12a'-, 13'- CH_3), 1.0 - 1.6 (m, 33H, 4'-, 8'-, 12'-CH, 1'-, 2'-, 3'-, 5'-, 6'-, 7'-, 9'-, 10'-, 11'- CH_2 , 2a- CH_3), 1.81 (m, 2H, 3- CH_2), 1.99 (quintet, $J = 6.2$ Hz, 2H, CH_2), 2.07, 2.14, 2.16 (3 x s, 9H, 5a-, 7a-, 8a- CH_3), 2.59 (t, $J = 6.6$ Hz, 2H, 4- CH_2), 3.43 (m, 2H, NCH_2), 3.73 (t, $J = 5.7$ Hz, 2H, OCH_2), 4.34 (s, 2H, OCH_2); $^{13}\text{C-NMR}$ (CDCl_3 , ppm): 11.7, 12.0, 12.9 (5a-, 7a-, 8a- CH_3), 19.6, 19.7 (CH_3), 20.6, 21.0 (CH_2), 22.6, 22.7 (CH_3), 23.7 (2a- CH_3), 24.4, 24.8 (CH_2), 27.9 (CH), 31.2 (3- CH_2), 32.7, 32.8 (CH), 37.2, 37.4, 37.5, 39.3, 40.1 (CH_2), 70.2 (OCH_2), 74.8 (2-C), 117.5,

122.9, 125.5, 127.5 (aryl C), 147.5, 148.0 (aryl C-O), 156.0 (CO);
MS (CI, m/z): 589 M + H⁺, Calc. for C₃₇H₆₅NO₄ 587.49136.

The above N-protected ether (0.1 g, 0.17 mmol) was dissolved 4 N HCl in dioxane (1 mL, 4 mmol) and stirred for 4 h.
5 The dioxane was removed by blowing a stream of argon over the reaction mixture. The resulting material was dried in vacuo for 8 h yielding 19 as a white solid (82 mg, 99%). ¹H-NMR (CDCl₃/TMS, ppm): 0.87 (m, 12H, 4a'-, 8a'-, 12a'-, 13'-CH₃), 1.0 - 1.6 (m, 33H, 4'-, 8'-, 12'-CH, 1'-, 2'-, 3'-, 5'-, 6'-, 7'-, 9'-, 10'-, 11'-CH₂, 2a-CH₃), 1.81
10 (m, 2H, 3-CH₂), 1.99 (quintet, J = 6.2 Hz, 2H, CH₂), 2.07, 2.11, 2.15 (3 x s, 9H, 5a-, 7a-, 8a-CH₃), 2.29 (m, 2H, CH₂), 2.59 (t, J = 6.6 Hz, 2H, 4-CH₂), 3.43 (m, 2H, NCH₂), 3.79 (m, 2H, OCH₂) ¹³C-NMR (CDCl₃, ppm): 11.8, 11.9, 12.7 (5a-, 7a-, 8a-CH₃), 19.6, 19.7 (CH₃), 20.6, 21.0 (CH₂), 22.6, 22.7 (CH₃), 23.9 (2a-CH₃), 24.4, 24.8 (CH₂), 28.0
15 (CH), 28.4 (CH₃), 31.2 (3-CH₂), 32.7, 32.8 (CH), 37.3, 37.4, 37.5, 39.4, 40.0 (CH₂), 74.8 (OCH₂), 75.0 (2-C), 117.5, 122.9, 126.0, 127.3 (aryl C), 147.8, 148.0 (aryl C-O); HRMS (CI, m/z): 487.438887 (M + H⁺, Calc. for C₃₂H₅₇NO₂ 487.438935).

20

2,5,7,8-tetramethyl-(2R-(4R,8R,12-trimethyltridecyl) chroman-3-ene-6-yloxy) acetic acid (20)



25

A solution of R,R,R,-α-tocopherol acetate (2 g, 4.2mmol) in anhydrous toluene (150 mL) was heated to reflux

and then treated with 2,3-dichloro-5,6-dicyano-1,4-benzoquinone (0.96 g, 4.2 mmol) in 4 portions at 1 h intervals. The reaction was refluxed for 24 h. During this time the reaction mixture became a dark red color and then it precipitated a light colored solid. The reaction was cooled to room temperature, filtered, and the filtrate was concentrated. The resulting dark colored oil was purified by silica gel chromatography eluting with ethyl acetate (10%, v/v) in hexanes. This yielded the desired chromene acetate as a colorless oil (1.74g, 88%). ¹H-NMR (CDCl₃/TMS, ppm): 0.87 (m, 12H, 4a'-, 8a'-, 12a'-, 13'-CH₃), 1.0 - 1.6 (m, 24H, 4'-, 8'-, 12'-CH, 1'-,2'-,3'-,5'-,6'-,7'-,9'-,10'-,11'-CH₂, 2a-CH₃), 2.07, 2.13, 2.18 (3 x s, 9H, 5a-, 7a-, 8a-CH₃), 2.35 (s, 3H, CH₃CO-), 5.61, 6.52 (2 x d, J = 10.0 Hz, 2H, CH); ¹³C-NMR (CDCl₃, ppm): 11.5, 11.6, 13.1 (5a-, 7a-, 8a-CH₃), 14.1 (CH₃), 19.6, 19.7 (CH₃), 20.4, 21.4 (CH₂), 22.6, 22.7 (CH₃), 24.4, 24.8 (CH₂), 25.8 (2a-CH₃), 27.9 (CH), 30.8 (3-CH₂), 32.7, 32.8 (CH), 37.2, 37.4, 39.4, 41.0 (CH₂), 60.3 (2-C), 117.6, 119.7, 122.3, 122.6, 128.9, 129.6 (aryl and vinyl C), 141.2, 148.4 (aryl C-O), 169.4 (CO); HRMS (CI, m/z): 471.375799 M + H⁺, Calc. for C₃₁H₅₀O₃ 470.375996.

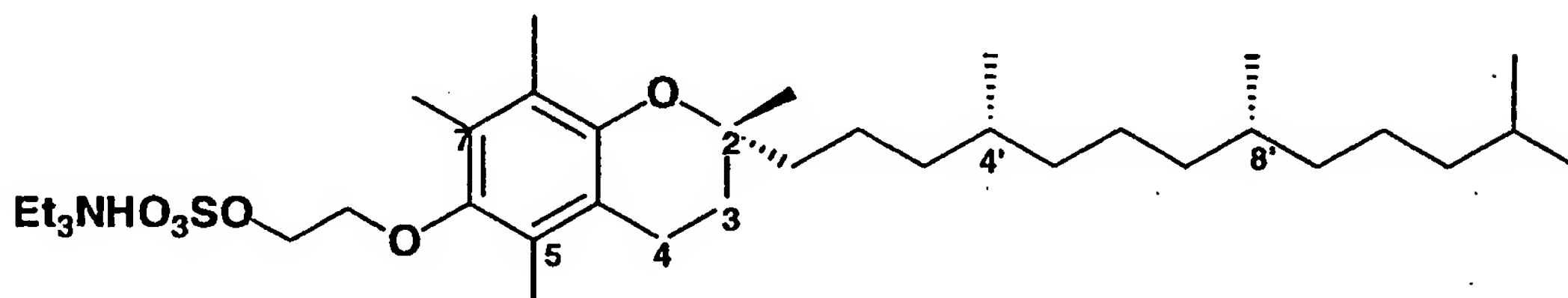
A solution of the chromene acetate (1.0 g, 2.13 mmol) in ethanol (20 mL) was treated with 2 N NaOH (20 mL) and stirred at 60 °C for 90 min. The reaction mixture was cooled, acidified with 5 N HCl, and the ethanol was removed in vacuo. The resulting aqueous solution was extracted with ether and concentrated to a light yellow oil that was purified by silica gel chromatography eluting with ethyl acetate (15%, v/v) in hexanes. This yielded the desired chromene-6-ol intermediate as a colorless oil (0.92 g, 98%). ¹H-NMR (CDCl₃/TMS, ppm): 0.87 (m, 12H, 4a'-, 8a'-, 12a'-, 13'-CH₃), 1.0 - 1.6 (m, 24H, 4'-, 8'-, 12'-CH, 1'-,2'-,3'-,5'-,6'-, 7'-,9'-,10'-,11'-CH₂, 2a-CH₃), 2.14, 2.18, 2.19 (3 x

s, 9H, 5a-, 7a-, 8a-CH₃), 5.63, 6.55 (2 x d, J = 10.0 Hz, 2H, CH); ¹³C-NMR (CDCl₃, ppm): 10.8, 11.6, 12.4 (5a-, 7a-, 8a-CH₃), 19.6, 19.7 (CH₃), 21.3 (CH₂), 22.6, 22.7 (CH₃), 24.4, 24.8 (CH₂), 25.2 (2a-CH₃), 27.9 (CH), 30.9 (3-CH₂), 32.7, 32.8 (CH), 37.2, 37.4, 37.5, 39.3, 40.5 (CH₂), 50.8 (2-C), 116.2, 117.8, 120.1, 122.3, 123.0, 130.0 (aryl and vinyl C), 144.6, 145.3 (aryl C-O), 169.4 (CO); HRMS (CI, m/z): 428.365275 M + H⁺, Calc. for C₂₉H₄₈O₂ 428.365431.

A solution of the chromene-6-ol intermediate (0.9 g, 2.1 mmol) in N,N-dimethylformamide (20 mL) was treated with methyl bromoacetate (3.4 g, 8.3 mmol) and an excess of powdered NaOH (1.2 g, 30 mmol). The resulting yellow slurry was stirred vigorously for 24 h at room temperature. The reaction was acidified with 5 N HCl and extracted with diethyl ether (3 x 30 ml). The combined ether layers were washed with H₂O (3 x 30 ml) and brine (1 x 30 ml), and then dried with Na₂SO₄. The ether solution was concentrated to a yellow oil that was purified by silica gel chromatography eluting with 19% (v/v) EtOAc and 2% acetic acid in hexanes. The resulting yellow liquid was dissolved in diethyl ether (30 ml), washed with H₂O (3 x 20 mL) and brine (1 x 20 mL), and then dried with Na₂SO₄. The resulting solution was concentrated to a light yellow oil and dried in vacuo for 48 h. This yielded 19 as a colorless (0.90 g, 88%). ¹H-NMR (CDCl₃/TMS, ppm): 0.87 (m, 12H, 4a'-, 8a'-, 12a'-, 13'-CH₃), 1.0 - 1.6 (m, 24H, 4'-, 8'-, 12'-CH, 1'-, 2'-, 3'-, 5'-, 6'-, 7'-, 9'-, 10'-, 11'-CH₂, 2a-CH₃), 2.07, 2.10, 2.19 (3 x s, 9H, 5a-, 7a-, 8a-CH₃), 4.37 (s, 2H, OCH₂), 5.62, 6.50 (2 x d, J = 10.0 Hz, 2H, CH); ¹³C-NMR (CDCl₃, ppm): 11.3, 11.5, 12.9 (5a-, 7a-, 8a-CH₃), 19.6, 19.7 (CH₃), 21.3 (CH₂), 22.6, 22.7 (CH₃), 24.4, 24.8 (CH₂), 25.6 (2a-CH₃), 27.9 (CH), 30.9 (3-CH₂), 32.7, 32.8 (CH), 37.2, 37.4, 37.5, 39.3, 40.9 (CH₂), 60.5 (OCH₂), 69.1 (2-C),

118.0, 119.8, 122.8, 122.9, 129.6, 19.8 (aryl and vinyl C), 147.5, 147.8 (aryl C-O), 173.4 (CO); HRMS (CI, m/z): 487.378731 M + H⁺, Calc. for C₃₁H₅₁O₄ 487.378736.

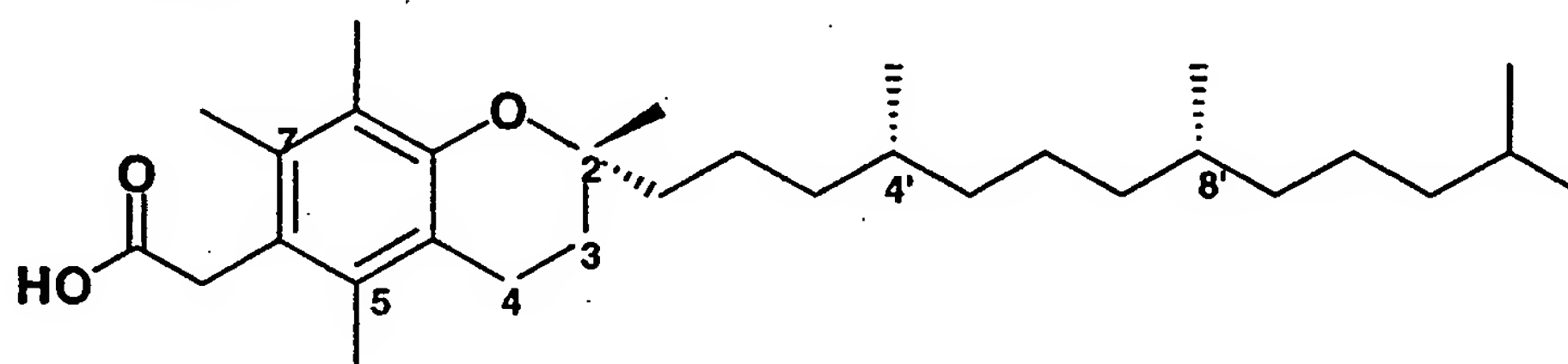
5 2-(2,5,7,8-tetramethyl-(2R-(4R,8,12-trimethyltridecyl)chroman-6-yloxy)triethylammonium sulfate (21)



10 A solution of 2-(2,5,7,8-tetramethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy))ethan-1-ol (13) (0.1 g, 0.21 mmol) in anhydrous DMF (2 mL) and pyridine (0.6 mL) was treated sulfur trioxide-N,N-dimethylformamide complex (0.16 g, 1.0 mmol), and the resulting solution was stirred for 24 h. The
15 reaction mixture was quenched with 1 N HCl and then extracted with CH₂Cl₂ (5 x 5 mL). Gaseous ammonia was bubbled through the CH₂Cl₂ solution for 10 min. The resulting solution was concentrated to a yellow paste and purified by silica gel chromatography eluting with MeOH (10%, v/v) and triethyl amine
20 (2%) in CHCl₃. This yielded 21 as a yellow semi-solid (92 mg, 77%)
¹H-NMR (CDCl₃/TMS, ppm): 0.87 (m, 12H, 4a'-, 8a'-, 12a'-, 13'-CH₃), 1.0 - 1.6 (m, 33H, 4'-, 8'-, 12'-CH, 1'-, 2'-, 3'-, 5'-, 6'-, 7'-, 9'-, 10'-, 11'-CH₂, 2a-CH₃), 1.81 (m, 2H, 3-CH₂), 1.95 2.01, 2.05 (3 x s, 9H, 5a-, 7a-, 8a-CH₃), 2.45 (t, J = 6.6 Hz, 2H, 4-CH₂), 3.05 (m, 6H, NCH₂), 3.79
25 (m, 2H, OCH₂), 4.21 (m, 2H, OCH₂); ¹³C-NMR (CDCl₃, ppm): 9.46 (CH₃), 12.4, 12.6, 13.5 (5a-, 7a-, 8a-CH₃), 20.3, 20.4 (CH₃), 21.3,

21.7 (CH₂), 23.3, 23.4 (CH₃), 24.5 (2a-CH₃), 25.1, 25.5 (CH₂), 28.6 (CH), 31.9 (3-CH₂), 33.3, 33.4 (CH), 37.9, 38.1, 40.0, 40.8 (CH₂), 46.9 (NCH₂), 67.4, 71.9 (OCH₂), 75.5 (2-C), 118.3, 123.5, 126.5, 128.3 (aryl C), 148.5 (aryl C-O); HRMS (CI, m/z): 554.364102 M - NH₃,
 5 Calc. for C₃₁H₅₄O₆S 554.364119.

6-(2,5,7,8-tetramethyl-(2R-(4R,8,12-trimethyltridecyl)chroman)acetic acid (22)



10

A solution of R,R,R- α -tocopherol (1.0 g, 2.3 mmol) in anhydrous CH₂Cl₂ (25 mL) was cooled to 0 °C. Diisopropylethyl amine (2 mL, 11.6 mmol) was added followed by the dropwise addition of trifluoromethylsulfonic anhydride (5.0 g, 17.7 mmol).
 15 The solution turned to a dark immediately and was allowed to warm to room temperature while stirring for 24 h. The reaction was quenched with H₂O and then was extracted with diethyl ether (2 x 100 mL). The combined ether layers were washed with 1 N HCl (50 mL), H₂O (50 mL), brine (50 mL), and then dried with
 20 MgSO₄. The ether solution was concentrated to a yellow oil and purified by silica gel chromatography eluting with ethyl acetate (3%, v/v) in hexane. This yielded the desired triflate intermediate as a yellow oil (1.3 g, quantitative). ¹H-NMR (CDCl₃/TMS, ppm): 0.87 (m, 12H, 4a'-, 8a'-, 12a'-, 13'-CH₃), 1.0 - 1.6 (m, 24H, 4'-, 8'-, 12'-CH, 1'-, 2'-, 3'-, 5'-, 6'-, 7'-, 9'-, 10'-, 11'-CH₂, 2a-CH₃), 1.81 (m, 2H, 3-CH₂), 2.07, 2.13, 2.21 (3 x s, 9H, 5a-, 7a-, 8a-CH₃), 2.62 (t, J = 6.6
 25

Hz, 2H, 4-CH₂); ¹³C-NMR (CDCl₃, ppm): 11.9, 13.2, 14.0 (5a-, 7a-, 8a-CH₃), 19.6, 19.7 (CH₃), 20.6, 21.0 (CH₂), 22.6, 22.7 (CH₃), 23.8 (2a-CH₃), 24.4, 24.8 (CH₂), 28.0 (CH), 31.2 (3-CH₂), 32.7, 32.8 (CH), 37.3, 37.4, 37.5, 39.4, 40.0 (CH₂), 75.6 (2-C), 118.4, 124.4, 126.7, 128.1 (aryl C), 139.6, 150.9 (aryl C-O); ¹⁹F-NMR (CDCl₃, ppm): -73.52; HRMS (CI, m/z): 563.337803 (M + H⁺, Calc. for C₃₀H₅₀O₄F₃S 563.338192).

A solution of the triflate (1.3 g, 2.31 mmol) in anhydrous DMF (23 mL) was treated with LiCl (0.98 g, 4.62 mmol), triphenylphosphine (0.37 g, 1.4 mmol), 2,6-di-tert-butyl-4-methylphenol (2-3 crystals), tributyl(vinyl)tin (0.73 g, 2.31 mmol), and dichlorobis(triphenylphosphine)-palladium(II) (0.24 g, 0.35 mmol). This mixture was heated to 120 °C and stirred. After 2h, additional tributyl(vinyl)tin (0.73 g, 2.31 mmol). After 8 h, the reaction was cooled to room temperature and added to a mixture of H₂O (50 mL) and diethyl ether (50 mL). The ether layer was washed with 1 N HCl (6 x 30 mL) and a saturated solution of KF (6 x 30 mL). The ether solution was dried with Na₂SO₄ and then concentrated to a dark oil. This material was purified by silica gel chromatography eluting with ethyl acetate (3%, v/v) in hexane yielding the 6-vinylchroman intermediate as a clear oil (0.38 g, 38%). ¹H-NMR (CDCl₃/TMS, ppm): 0.87 (m, 12H, 4a'-, 8a'-, 12a'-, 13'-CH₃), 1.0 - 1.6 (m, 24H, 4'-, 8'-, 12'-CH, 1'-, 2'-, 3'-, 5'-, 6'-, 7'-, 9'-, 10'-, 11'-CH₂, 2a-CH₃), 1.86 (m, 2H, 3-CH₂), 2.20, 2.24, 2.28 (3 x s, 9H, 5a-, 7a-, 8a-CH₃), 2.62 (t, J = 6.8 Hz, 2H, 4-CH₂), 5.18, 5.56 (2 x dd, J_{gem} = 2.3 Hz, J_{cis} = 11.2 Hz, J_{trans} = 18.7 Hz, 2H, =CH₂), 6.77 (dd, J = 18.7, 11.2 Hz, 1H, CH); ¹³C-NMR (CDCl₃, ppm): 11.9, 16.3, 17.2 (5a-, 7a-, 8a-CH₃), 19.7, 19.8 (CH₃), 20.8, 21.1 (CH₂), 22.6, 22.7 (CH₃), 23.9 (2a-CH₃), 24.5, 24.8 (CH₂), 28.0

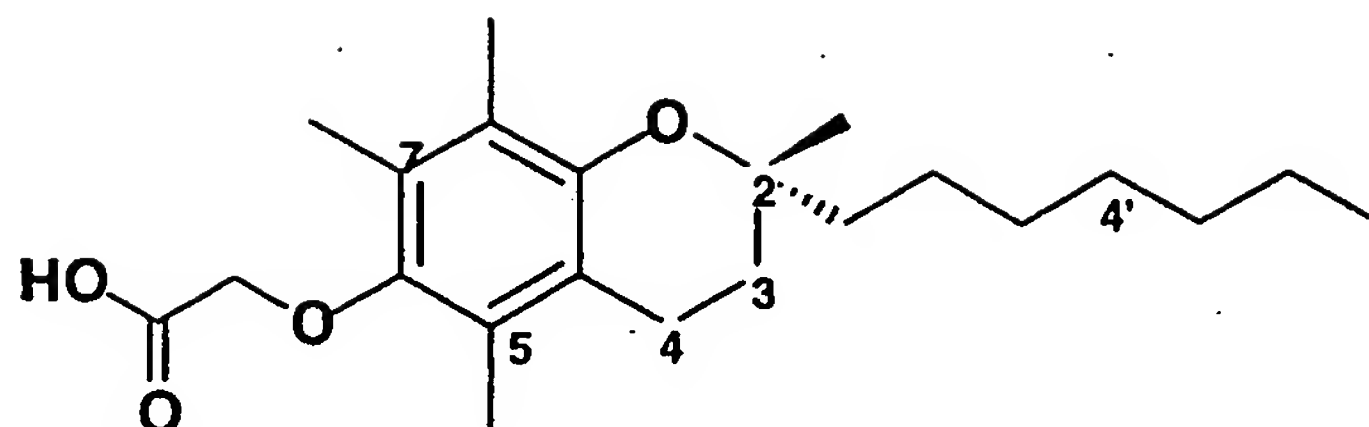
(CH), 31.2 (3-CH₂), 32.7, 32.8 (CH), 37.3, 37.5, 37.5, 39.4, 40.1 (CH₂), 74.9 (2-C), 116.7, 119.0, 122.0, 129.8, 131.2, 132.8, 136.8 (aryl/vinyl C), 150.9 (aryl C-O); HRMS (CI, m/z): 440.401602 (M + H⁺, Calc. for C₃₁H₅₂O 440.401812).

5 A solution of the 6-vinylchroman intermediate (0.12 g, 0.27 mmol) in anhydrous THF (1 mL) was cooled to 0 °C and treated with 9-BBN (0.60 mL, 0.5 M in THF, 0.3 mmol). The reaction mixture was heated to reflux for 8 h. The reaction was quenched with water (1.5 mL) and treated with NaBO₃•4H₂O and
10 the resulting slurry was stirred overnight. Diethyl ether (4 mL) and the reaction mixture were extracted with CH₂Cl₂ (2 x 20 mL). The organic layers were concentrated to a clear oil that was purified by silica gel chromatography eluting with ethyl acetate (50%, v/v) in hexane. This yielded the desired 6-(2-
15 hydroxyethyl)chroman intermediate as a colorless oil (30 mg, 24 %). ¹H-NMR (CDCl₃/TMS, ppm): 0.87 (m, 12H, 4a'-, 8a'-, 12a'-, 13'-CH₃), 1.0 - 1.6 (m, 24H, 4'-, 8'-, 12'-CH, 1'-, 2'-, 3'-, 5'-, 6'-, 7'-, 9'-, 10'-, 11'-CH₂, 2a-CH₃), 1.81 (m, 2H, 3-CH₂), 2.17, 2.24, 2.28 (3 x s, 9H, 5a-, 7a-, 8a-CH₃), 2.68 (t, J = 6.8 Hz, 2H, 4-CH₂), 3.01 (t, J = 7.5 Hz, 2H, Ar-CH₂), 3.74 (t, J = 7.5 Hz, 2H, OCH₂); ¹³C-NMR (CDCl₃, ppm): 12.0, 15.1, 16.0 (5a-, 7a-, 8a-CH₃), 19.6, 19.7 (CH₃), 20.6, 21.0 (CH₂), 22.6, 22.7 (CH₃), 23.8 (2a-CH₃), 24.4, 24.8 (CH₂), 28.0 (CH), 31.2 (3-CH₂), 32.7, 32.8 (CH), 37.3, 37.4, 37.5, 39.4, 40.0 (CH₂), 62.2 (OCH₂), 72.6 (2-C), 116.8, 122.3, 124.9, 132.4, 133.9 (aryl C), 150.1
20 (aryl C-O); HRMS (CI, m/z): 458.412154 (M + H⁺, Calc. for C₃₁H₅₄O₂ 458.412384).
25

A solution of pyridinium chlorochromate (32 mg, 0.1 mmol) in anhydrous CH₂Cl₂ (0.5 mL) was treated with a solution of the 6-(2-hydroxyethyl)chroman intermediate (32 mg, 0.07 mmol)

in CH₂Cl₂ (0.5 mL). The reaction was stirred for 2 h at which time no starting material was visible by thin layer chromatography. Diethyl ether (2 mL) was added and the resulting solution was filtered through a thin pad of celite. The filtrate was concentrated and yielded a yellow oil (20 mg). This oil was dissolved in t-BuOH (0.5 mL) and treated with phosphate buffer (0.5 mL, 1 N, pH = 4.0), 2-methyl-2-butene (0.1 mL) and NaClO₂ (5.4 mg, 0.05 mmol). After stirring for 40 min, the reaction mixture was extracted with CHCl₃ (6 x 10 mL) and the combined organic layers were dried with Na₂SO₄. The CHCl₃ solution was concentrated to a yellow oil that was purified by preparative thin layer chromatography eluting with ethyl acetate (30%, v/v) and acetic acid (1%) in hexanes. This yielded 22 as colorless oil (20 mg, 63%).
¹H-NMR (CDCl₃/TMS, ppm): 0.87 (m, 12H, 4a', 8a', 12a', 13'-CH₃), 1.0 - 1.6 (m, 24H, 4', 8', 12'-CH, 1', 2', 3', 5', 6', 7', 9', 10', 11'-CH₂, 2a-CH₃), 1.81 (m, 2H, 3-CH₂), 2.17, 2.24, 2.28 (3 x s, 9H, 5a-, 7a-, 8a-CH₃), 2.66 (t, J = 6.8 Hz, 2H, 4-CH₂), 3.71 (s, 2H, CH₂COOH);
¹³C-NMR (CDCl₃, ppm): 12.0, 15.3, 16.2 (5a-, 7a-, 8a-CH₃), 19.6, 19.7 (CH₃), 20.6, 21.0 (CH₂), 22.6, 22.7 (CH₃), 23.8 (2a-CH₃), 24.4, 24.8 (CH₂), 28.0 (CH), 28.9, 31.2 (3-CH₂), 32.7, 32.8 (CH), 37.3, 37.4, 37.5, 39.4, 40.0 (CH₂), 72.6 (2-C), 117.1, 122.2, 124.9, 132.4, 132.7 (aryl C), 150.2 (aryl C-O), 179.2 (COOH); HRMS (CI, m/z): 472.391583 (M + H⁺, Calc. for C₃₁H₅₂O₃ 472.391644).

25 2,5,7,8-tetramethyl-(2R-(heptyl) chroman-6-yloxy)acetic acid (23)



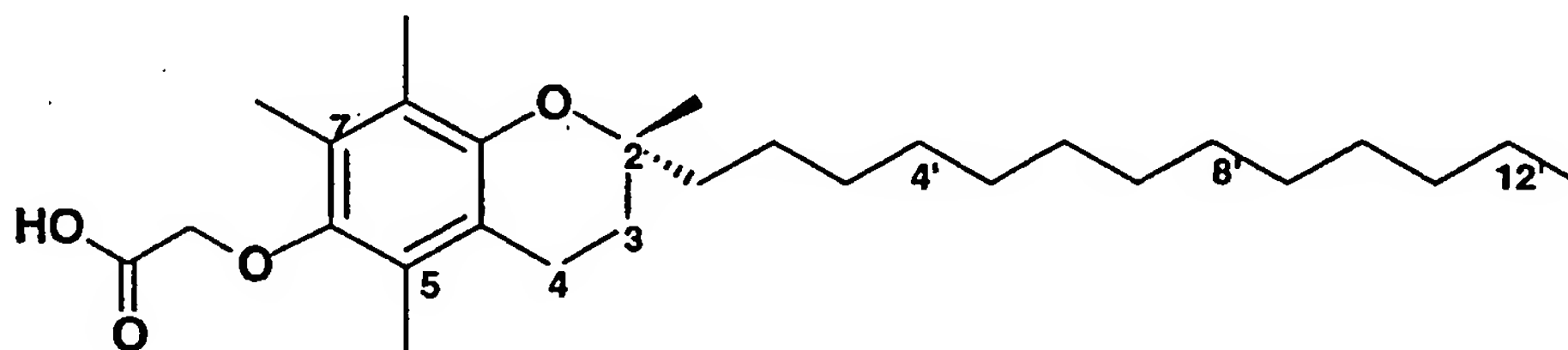
A solution of hexyltriphenylphosphonium bromide (0.880g, 2.05mmol) in 11.2 ml of anhydrous DME was stirred at room temperature while 0.86 ml (2.06mmol) of 2.4 M n-butyllithium in hexane was added. The resulting red solution was stirred for 2h at room temperature, then a solution of [(+)-S-6-Benzyloxy-2,5,7,8-tetramethylchroman-2-carbaldehyde (306 mg, 0.944 mmol) in 3 ml of anhydrous DME was added and stirring was continued for 3 h at 65-75°C. After cooling, the reaction mixture was poured into cold dilute H₂SO₄ and work up ether was carried out in the usual manner. The ether was concentrated in vacuo to afford the oily material. Product was isolated using column chromatography and eluted with chloroform to yield 46% of the product. The mixture of cis and trans alkene was dissolved in 30 ml of ethyl acetate and 50 mg of 5% palladium on carbon was added, and the mixture was shaken under 40 psi of H₂ for 10 hrs and then filtered through Celite and rinsed well with ethyl acetate. The filtrate was concentrated and purified by silica gel chromatography eluting with EtOAc in hexane (1:9) to give (2R)-2,5,7,8-tetramethyl-2-(heptyl)-6-chromanol (60% yield) ¹H-NMR (CDCl₃/TMS, ppm): 0.89 (s, 3H), 1.3-1.5 (m, 15H), 1.89 (m, 2H), 2.2 (s, 3H), 2.08(s, 3H), 2.23 (s, 3H), and 2.48 (t, J = 6.5 Hz, 2H); MS (CI, m/z): 305.35 M + H⁺, Calc. for C₂₀H₃₂O₂ 304.4746).

A solution of 2,5,7,8-tetramethyl-2-(heptyl) chromanol (0.353 g, 1.16 mmol) in N,N-dimethylformamide (20 mL) was treated with methyl bromoacetate (3.4 g, 8.3 mmol) and

an excess of powdered NaOH (1.2 g, 30 mmol). The resulting yellow slurry was stirred vigorously for 24 h at room temperature. The reaction was acidified with 5 N HCl and extracted with diethyl ether (3 x 30 ml). The combined ether layers were washed with H₂O (3 x 30 ml) and brine (1 x 30 ml), and then dried with Na₂SO₄. The ether solution was concentrated to a yellow oil that was purified by silica gel chromatography eluting with 19% (v/v) EtOAc and 2% acetic acid in hexanes. The resulting liquid was dissolved in diethyl ether (30 ml), washed with H₂O (3 x 20 mL) and brine (1 x 20 mL), and then dried with Na₂SO₄. The resulting solution was concentrated and dried in vacuo for 48 h. This yielded compound 23 in 36% yield. ¹H-NMR (CDCl₃/TMS, ppm): ¹H-NMR (CDCl₃/TMS, ppm): 0.88 (s, 3H), 1.2-1.5 (m, 15H), 1.88 (m, 2H), 2.1 (s, 3H), 2.18(s, 3H), 2.2 (s, 3H) , 2.55 (t, J =6.5 Hz, 2H) and 4.78 (s, 2H); HRMS (CI, m/z):363.2535 (M + H⁺, Calc. for C₂₂H₃₅O₄ 363.2541).

2,5,7,8-tetramethyl-(2R-(tridecyl) chroman-6-yloxy)
acetic acid (24)

The compounds 24 and 25 were synthesized in manner identical to the synthesis of 23 using appropriate phosphonium bromide.

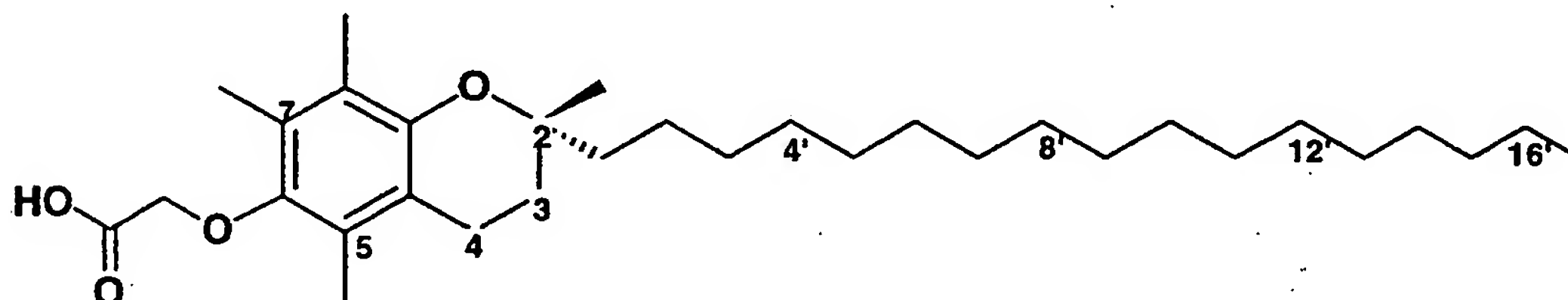


¹H-NMR (CDCl₃/TMS, ppm): 0.83 (s, 3H), 1.25-1.57 (m, 27H), 1.88 (m, 2H), 2.1 (s, 3H), 2.18 (s, 3H), 2.20(s, 3H), 2.55 (t, J

=6.6 Hz, 2H) and 4.48 (s, 2H) ; MS (CI, m/z): 447.14 M + H⁺, Calc. For C₂₈H₄₆O₄ 446.6732.

2,5,7,8-tetramethyl-(2R-(heptadecyl) chroman-6-yloxy)acetic acid

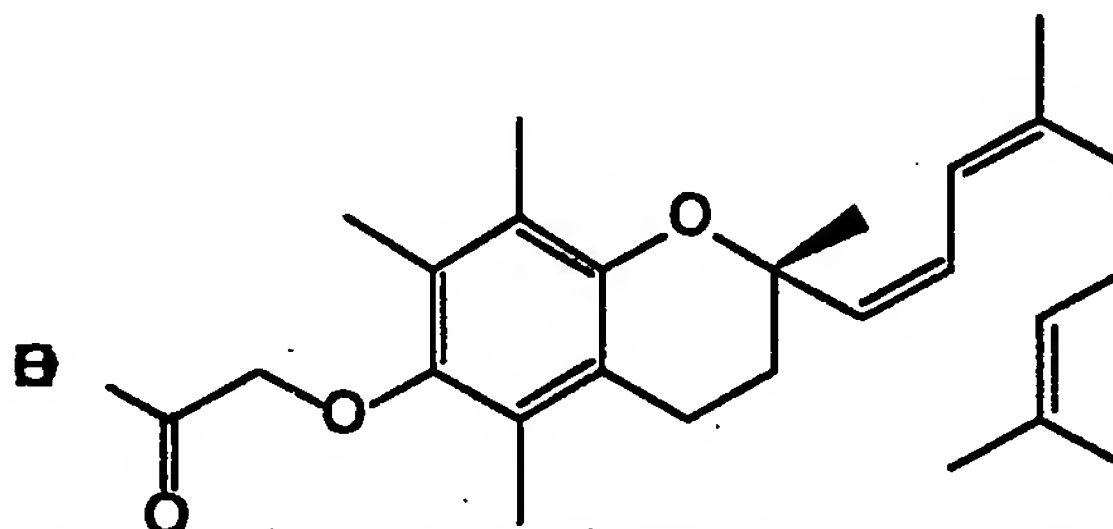
5 (25)



¹H-NMR (CDCl₃/TMS, ppm): 0.86 (s, 3H), 1.15-1.67 (m, 35H), 1.88 (m, 2H), 2.16 (s, 3H), 2.20 (s, 3H), 2.23(s, 3H), 2.55 (t, J =6.4 Hz, 2H) and 4.78 (s, 2H) ; MS (CI, m/z): 503.45 M + H⁺, Calc. For C₃₂H₅₄O₄ 502.781.

2,5,7,8-tetramethyl-2R-(4,8,-dimethyl-1,3,7
EZ
nonotrien) chroman-6-yloxy) acetic acid (26)

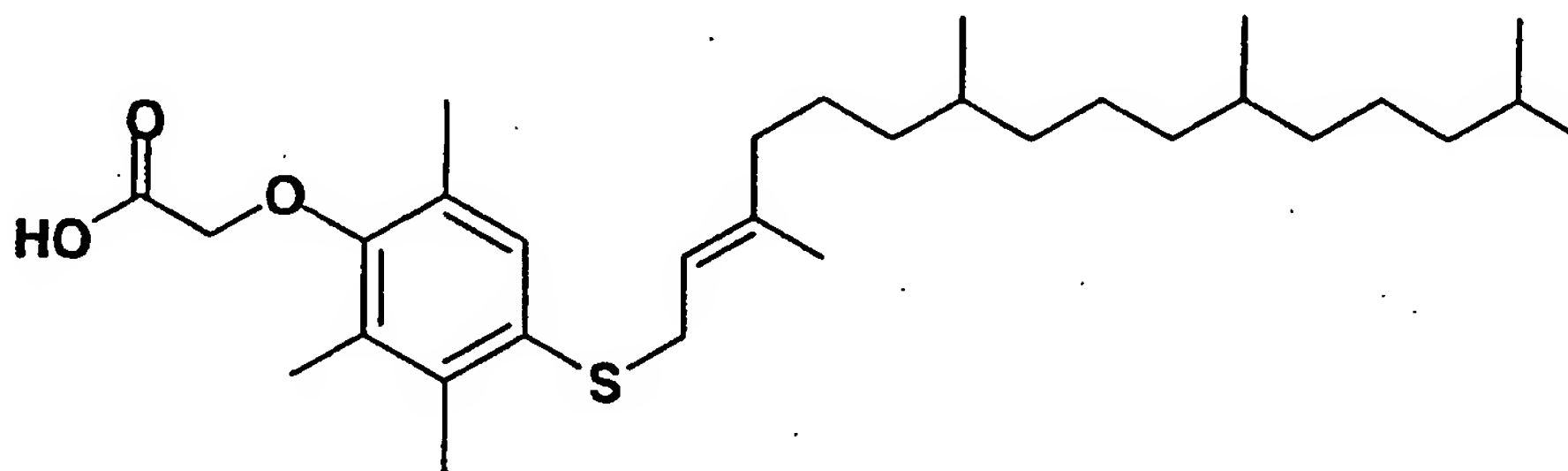
15



20 Compound 26 was synthesized in a manner identical to the synthesis of compound 18 using nerol instead of psedoionol. ¹H-NMR (CDCl₃/TMS, ppm) : 1.24 (s, 3H, 2aCH₃), 1.63(m, 1H), 1.68 (s,3H), 1.74(s,6H), 1.92(m, 6H), 2.18 (s,3H), 2.29 (S, 6H), 2.43 (t, J = 6.6Hz, 2H, 4CH₂), 4.68 (s, 2H, OCH₂), 5.64(m, 2H) and 5.27 (m, 1H) ; MS (CI, m/z): 413.24 M +H⁺, Calc. for C₂₆H₃₆O₄ 412.0115.

EZ, RS, RS, RS-(phytyltrimethylbenzenethiol-6-yloxy)
acetic acid (27)

5



2,3,6,-trimethylphenol (1.6g, 11.8 mmol) was
 10 dissolved in 50 mL of anhydrous methanol which had been
 deoxygenated by bubbling with nitrogen. Ammonium thiocyanate
 (2.2 g, 28.9 mmol) was added to this solution which was then
 cooled to 0°C and bubbled with chlorine gas. The initially colorless
 homogeneous solution becomes pink and then green with the
 15 formation of a white . The solution was stirred for 1 h at 0° C and
 then for a further hour at 20°C. The dissolved chlorine was
 removed by bubbling with nitrogen and the precipitate removed
 by filtration. Evaporation of the filtrate under reduced pressure
 followed by drying under high vacuum (0.1 torr) yielded 2.20 g
 20 (97%) of 2,3,5-Trimethyl-4-hydroxyphenylthiocyanate in a form
 pure enough for the next step in the synthesis. An analytical
 sample was recrystallized from hexanes : white crystals, mp 100.3
 °C. ¹H NMR (CDCl₃) δ 7.2 (s, 1 H), 5.0 (s, 1 H) 2.4 (s, 3 H), 2.2 (s, 6
 H).

25

2,3,5-Trimethyl-4-hydroxyphenylthiocyanate (2 g,
 10.35 mmol) was dissolved in 100 mL of anhydrous ether
 containing 25 mL of anhydrous tetrahydrofuran. This solution
 was added dropwise over 1 h to 100 mL of anhydrous ether
 containing LiAlH₄ (0.9 g, 24 mmol) at room temperature. After a

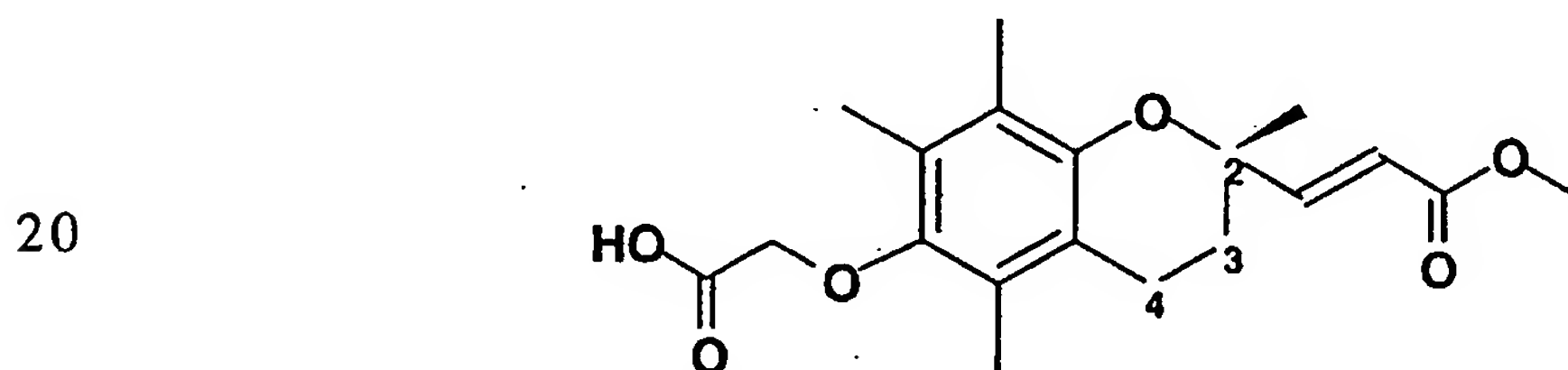
further hour at 20 ° C, the unreacted LiAlH_4 was destroyed by cooling the heterogeneous mixture to 0 °C and adding moist ether (50 mL), H_2O (50 mL), and 1 N HCl (50 mL). A further 50 mL of water was added and the organic phase was separated and
5 washed with water (2 x 50 mL), NaHCO_3 solution (2 x 50 mL), water (2 x 50 mL), and saturated NaCl (50 mL). The organic phase was dried over anhydrous MgSO_4 and filtered and the solvent removed under reduced pressure. Silica gel column chromatography with 5% ethyl acetate in hexane gave 1.8 g (90%)
10 of 2,3,5-trimethyl-4-hydroxybenzenethiol as a white powder, mp 86 °C [Lit.¹ mp 86 °C].

Solution of 2,3,5-trimethyl-4-hydroxybenzenethiol (3 g, 17.83 mmol), isophytol (4.8 g, 16.19 mmol), anhydrous zinc chloride (1.2 g, 8.8 mmol) and 0.2 mL of glacial acetic acid in 30
15 mL of absolute ether was refluxed for 1 h. The solvent was then removed in vacuo at 50 ° C and the red oil obtained was dissolved in a mixture of 50 mL of petroleum ether and 20 mL of 70% aqueous methanol. The ether layer was dried (Na_2SO_4) and evaporated in vacuo to give a red oil, which was purified by silica
20 gel chromatography eluting with hexane:ether (9:1) to give 3g(38%) EZ, RS, RS, RS-Phytyltrimethylhydroxybenzenethiol as yellow oil. ^1H NMR (CDCl_3) δ 7.11 (s, 1 H, Ar-H), 5.23 (t, 1 H, vinylic-H), 4.62 (s, 1 H, OH), 3.34 (d, 2 H, Ar-S- CH_2 -), 2.41 (s, 3 H, Ar- CH_3), 2.19 (s, 3 H, Ar- CH_3), 2.18 (s, 3 H, Ar- CH_3), 0.83-1.92 (m,
25 39 H, Phytol chain).

A solution of phytyltrimethylhydroxybenzenethiol (3g, 6.7 mmol) in N, N-dimethyl-formamide (80 mL) was treated with methyl bromoacetate (7.4 g, 48.3 mmol) and an excess of powdered NaOH (7 g, 175 mmol). The resulting pink oil was

stirred at RT for 24 h. The reaction mixture was acidified with 5 N HCl and extracted with ether (3 x 150 mL). The combined ether layers were washed with H₂O (3 x 150 mL) and brine (1 x 150 mL), and then dried (Na₂SO₄). The ether solution was concentrated to a yellow oil that was purified by silica gel chromatography eluting with 20% EtOAc in hexane to give 3 g (88%) of EZ, RS, RS, RS- (phytyltrimethylbenzenethiol-6-yloxy)acetic acid as a yellow oil. ¹H NMR (CDCl₃) δ 10.90 (s, 1 H, COOH), 8.08 (s, 1 H, Ar-H), 5.30 (t, 1 H, vinylic-H), 4.35 (s, 2 H, CH₂COOH), 3.42 (d, 2 H, Ar-S-CH₂-), 2.34 (s, 3 H, Ar-CH₃), 2.25 (s, 3 H, Ar-CH₃), 2.22 (s, 3 H, Ar-CH₃), 0.83-1.94 (m, 39 H, Phytol chain). HRMS (CI, m/z): 504.362821(M+H⁺, Calc. for C₃₁H₅₃O₃S 504.363718).

(R)-2[(2,5,7,8-tetramethyl-2-(3 propene methyl ester) chroman-6-yloxy]acetic acid (28)



To a slurry of (carbomethoxymethyl)triphenyl phosphonium bromide (1.8 gm, 4.32 mmol in 12 ml of THF at °C was added 1.66 ml of n BuLi (2.5M in hexane) dropwise. The resulting solution was removed to room temperature for 2h, and then a solution of (+)S-6-[dimethyl(1,1-dimethylethyl)silyl]-2,5,7,8-tetramethyl chroman-2-carbaldehyde (1.31g, 3.76 mmol) in

7 ml THF was added via cannula. The solution was stirred at room temperature for 44hr and then 10 ml of 1N aq. HCl was added. The layer were separated and then aq. phase was extracted with ether (3 X 15 ml). The combined organic layer were washed with
5 brine, dried over Na_2SO_4 and filtered. After concentration of the filtrate, the crude alkene was purified by flash chromatography eluting with dichloromethane to give mixture of the cis and trans alkene in 93% yield. The silyl ether mixture of cis and trans
10 alkene (3.76mmol) was dissolved in THF and tetra-n-butylammoniumfluoride (0.041mole) was added. After being stirred at 23°C for 1.5h, the mixture was poured into water and extracted into ether. The ether extract was dried concentrated and purified by silica gel chromatography eluting with EtOAc in hexane (3:7) and both the cis and trans isomer of 2,5,7,8-
15 tetramethyl-2R-(3'propenemethyl ester)-6-chromanol were isolated and characterized (68% yield) $^1\text{H-NMR}$ (CDCl_3/TMS , ppm): 1.65 (s, 3H, 2a CH_3), 2.12 (m, 2H, 3 CH_2), 2.39 (s, 9H, CH_3), 2.48 (m, 2H, 4 CH_2), 3.78 (s, 3H, OCH_3), 6.11 (d, 1H, CH=) and 7.13 (d, 1H, CH=).

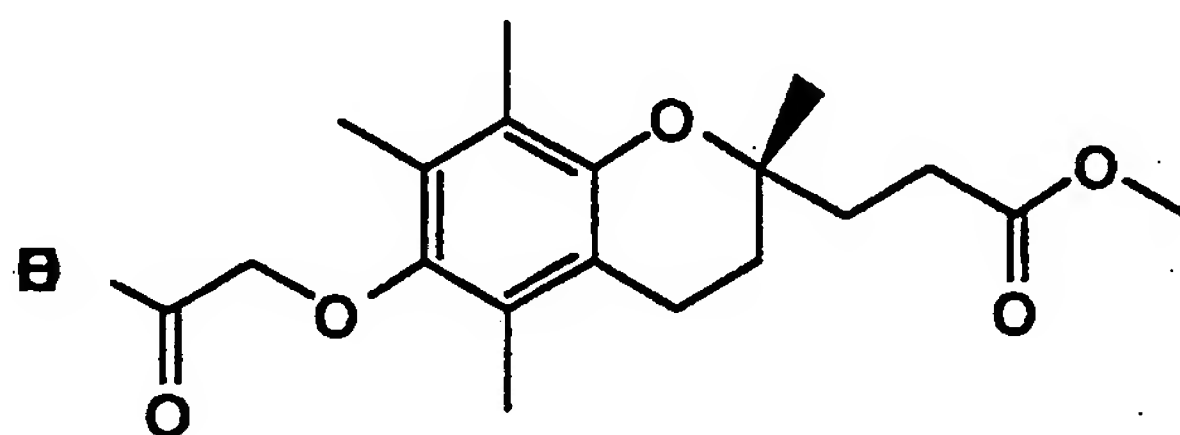
20 A solution of 2,5,7,8-tetramethyl-2R-(3'propene methyl ester)6-chromanol (0.353 g, 1.16 mmol) in N,N-dimethylformamide (20 mL) was treated with methyl bromoacetate (3.4 g, 8.3 mmol) and an excess of powdered NaOH (1.2 g, 30 mmol). The resulting yellow slurry was stirred
25 vigorously for 24 h at room temperature. The reaction was acidified with 5 N HCl and extracted with diethyl ether (3 x 30 ml). The combined ether layers were washed with H_2O (3 x 30 ml) and brine (1 x 30 ml), and then dried with Na_2SO_4 . The ether solution was concentrated to a yellow oil that was purified by

silica gel chromatography eluting with 19% (v/v) EtOAc and 2% acetic acid in hexanes. The resulting liquid was dissolved in diethyl ether (30 ml), washed with H₂O (3 x 20 mL) and brine (1 x 20 mL), and then dried with Na₂SO₄. The resulting solution was concentrated and dried in vacuo for 48 h. This yielded compound 28 in 40% yield. ¹H-NMR (CDCl₃/TMS, ppm): 1.68 (s, 3H, 2a CH₃), 2.11 (m, 2H, 3CH₂), 2.36 (s, 9H, CH₃), 2.56 (m, 2H, 4 CH₂), 3.70 (s, 3H, OCH₃), 4.78 (s, 2H, OCH₂), 6.03 (d, 1H, CH=) and 7.03 (d, 1H, CH=); MS (CI, m/z): 337.24 M+H⁺, Calc. for C₁₈H₂₄O₆ 336.3867.

10

2,5,7,8-tetramethyl-(2R-(propionate) chroman-6-yloxy) acetic acid (29)

15



The mixture of cis and trans alkene 2,5,7,8-tetramethyl-2R-(3 propene methylester)-6-chromanol was dissolved in 30 ml of ethyl acetate and 50 mg of 5% palladium on carbon was added, and the mixture was shaken under 40 psi of H₂ for 24 hrs and then filtered through Celilte and rinsed well with ethyl acetate. The filtrate was concentrated and purified by silica gel chromatography eluting with EtOAc in hexane (1:9) to give compound # 29. ¹H-NMR (CDCl₃/TMS, ppm): 1.62 (s, 3H, 2a CH₃), 2.0-2.3 (m, 6H, CH₂), 2.41 (s, 9H, CH₃), 2.53 (m, 2H, 4 CH₂), 3.67 (s, 3H, OCH₃) and 4.88 (s, 2H, OCH₂); MS (CI, m/z): 339.34 M+H⁺, Calc. for C₁₈H₂₆O₆ 338.4025.

EXAMPLE 3

5 Cell Culture Conditions

All test cell lines were cultured at 37°C in 5% CO₂ in standard media supplemented with fetal calf serum, using established standard conditions. Plastic adherent cells were disassociated with trypsin, washed, counted, and used directly in
10 experiments. All cells were examined routinely to verify no mycoplasma contamination.

15 EXAMPLE 4

Solubility and Dilution of Novel Tocopherol and Tocotrienol Compounds

All compounds were handled as if they were light
20 sensitive (photodegradable). All compounds were initially dissolved in absolute ethanol and subsequently diluted to a final concentration of 0.5% ethanol with the appropriate media.

25 EXAMPLE 5

Determination of Effective Concentration (EC₅₀) to Induce Apoptosis

Whereas the parental non-structurally modified forms of tocopherols do not exhibit effective apoptotic properties against a battery of tumor cells, fifteen out of twenty-nine RRR- α -tocopherol compounds, structurally modified via ether linked moieties of different composition and size were extremely effective at inducing tumor cells to undergo apoptosis while having no apoptotic inducing properties on normal cells. Compounds 1, 2, 3, 7, 8, 9, 12, 15, 17, 19, 20, 21, 22, 25, 26, and 27 exhibit effective growth inhibitory (apoptotic inducing) properties specific for human cancer cells from a wide variety of cell lineages, including (i) breast (estrogen responsive Michigan Cancer Foundation human breast cancer cell line number 7, MCF-7 McGuire; non-estrogen responsive M.D. Anderson metastatic breast human cancer cell line, MDA-MB-435; and, estrogen non-responsive M.D. Anderson metastatic human breast cancer cell line, MDA-MB-231); (ii) prostate (androgen responsive human prostate cancer cell line, LnCaP and the androgen non-responsive human prostate cancer cell line, PC-3 and the DU-145 cell line); (iii) promyelocytic leukemia cells (human Promyelocytic Leukemia Cell Line, HL-60), lymphoid cell lines Jurkat and HL-60; (iv) cervical (human cervical cancer cell line, ME-180); (v) ovarian (human ovarian cancer cell line, C-170 cells); (vi) endometrial (human endometrial cancer cell line, RL-95-2 cells); (vii) colon cell lines DLD-1; and (viii) lung cell line A-549. Normal primary breast cells (normal primary early passage human mammary epithelial cells, HMEC) and immortalized, non-tumorigenic mammary cells (Michigan Cancer Foundation immortalized but non-tumorigenic human mammary number 10A cells, MCF-10A)

do not undergo apoptosis when cultured with the above pharmacodynamically designed forms of tocopherol.

The effective therapeutic dose of novel reagents for controlling cancer growth is referred to as the growth inhibitory concentration (IC_{50}) or effective concentration (EC_{50}) that blocks 50% cancer growth via DNA synthesis inhibition, cell cycle blockage and/or cell death. The apoptotic EC_{50} for a battery of test cancer cells for the fourteen novel compounds of this invention are presented in Tables 1 and 2.

TABLE 1

Apoptosis Induced by Novel Tocopherol Compounds (EC₅₀ range $\mu\text{g/ml}$)

Cell Type	VES	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Breast Cancer																
HMEC	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	NT
MCF-10A	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	NT
MDA-MB-435	5-10	5-10	10-20	5-10	N	N	N	5-10	5-10	5-10	N	N	5-10	N	N	20-30
MDA-MB-231	5-10	5-10	10-20	5-10	N	N	N	5-10	5-10	5-10	N	N	5-10	N	N	20-30
MCF-7	10-20	5-10	20-30	20-30	N	N	N	10-20	10-20	10-20	N	N	5-10	N	N	20-30
T47D	N	N	N	N	N	N	N	N	NT	NT	NT	NT	NT	NT	NT	NT
Cervical																
ME-180	10-20	1-5	5-10	10-20	N	N	N	5-10	5-10	5-10	N	N	5-10	N	N	N
Ovarian																
C-170	N	10-20	10-20	10-20	N	N	N	10-20	10-20	N	N	N	10-20	N	N	N
Endometrial																
RL-95-2	10-20	10-20	10-20	10-20	N	N	N	5-10	1-5	5-10	N	N	5-10	N	N	1-5
Prostate																
PREC	N	N	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT
LnCaP	5-10	5-10	5-10	5-10	N	N	N	2.5-5	5-10	5-10	N	N	>20-30	NT	N	NT
PC-3	10-20	5-10	5-10	5-10	N	N	N	5-10	5-10	5-10	NT	N	10-20	N	N	NT
DU-145	10-20	5-10	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT
Colon																
HT-29	5-10	10-20	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT
DLD-1	10-20	10-20	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT
Lung																
A-549	20-30	10-20	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT
Lymphoid Cells																
Myeloma	10-20	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT
Raji	10-20	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT
Ramos	10-20	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT
Jurkat	10-20	10-20	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT
HL-60	10-20	5-10	10-20	10-20	N	N	N	5-10	10-20	10-20	N	N	20-30	N	N	NT

EC₅₀ = $\mu\text{g/ml}$ of tocopherol compounds 1-29 inducing 50% apoptosis; N = No apoptosis when treated for 2 days with 1-60 EC₅₀ $\mu\text{g/ml}$ of tocopherol compounds 1-29; NT = Not tested; * = compounds exhibiting toxicity

TABLE 2
Apoptosis Induced by Novel Tocopherol Compounds (EC₅₀ range $\mu\text{g/ml}$)

Cell Type	16	17	18	19	20	21	22	23	24	25	26	27	28	29
Breast Cancer														
HMEC	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT
MCF-10A	NT	N	NT	N	N	N	NT	N	NT	N	N	NT	NT	NT
MDA-MB-435	N	NT	N	10-20	10-20	N	NT	N	N	N	20-40	NT	NT	NT
MDA-MB-231	N	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT
MCF-7	N	10-20	N	10-20	5-10	N	15-20	N	N	N	N	10-20	NT	NT
T47D	NT	10-20	NT	N	5-10	NT	NT	NT	NT	NT	N	NT	NT	NT
Cervical														
ME-180	NT	20-30	N	1-5	1-5	1-5	NT	NT	NT	N	*	NT	NT	NT
Ovarian														
C-170	NT	20-30	N	1-5	*	N	NT	NT	NT	N	20-30	NT	NT	NT
Endometrial														
RL-95-2	NT	NT	NT	NT	NT	N	NT	NT	NT	N	20-30	NT	NT	NT
Prostate														
PREC	NT	NT	N		NT	NT	NT	NT	NT	NT	NT	NT	NT	NT
LnCaP	NT	10-20	NT	5-10	5-10	N	NT	NT	N	N	10-20	10-20	NT	NT
PC-3	NT	NT	NT	N	5-10	N	NT	N	N	20-30	N	NT	NT	NT
DU-145	NT	NT	NT	5-10	5-10	N	NT	N	N	20-30	N	NT	NT	NT
Colon														
HT-29	NT	N	N	NT	NT	NT	NT	N	NT	N	N	NT	NT	NT
DLD-1	NT	NT	NT	NT	NT	NT	NT	NT	N	N	20-40	NT	NT	NT
Lung														
A-549	NT	N	N	20-30	20-30	NT	NT	NT	NT	NT	N	NT	NT	NT
Lymphoid Cells														
Myeloma	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT
Raji	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT
Ramos	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT	NT
Jurkat	NT	10-20	N	10-20	10-20	NT	NT	NT	NT	NT	20-30	NT	NT	NT
HL-60	NT	10-20	N	10-20	10	NT	NT	NT	NT	NT	N	NT	NT	NT

EC₅₀ = $\mu\text{g/ml}$ of tocopherol compounds 1-29 inducing 50% apoptosis; N = No apoptosis when treated for 2 days with 1-60 EC₅₀ $\mu\text{g/ml}$ of tocopherol compounds 1-29; NT = Not tested; * = compounds exhibiting toxicity

EXAMPLE 6

Bioassay for Apoptosis

Cells were cultured at 1.5×10^5 cells/well in 12 well
5 plates. Cells were allowed to adhere overnight, then incubated
with novel test compounds at 0.01, 0.1, 1, 5, 10 & 20 μ g/mL for 1,
2 and 3 days. After treatment, cells (floating + trypsin released
adherent cells) were pelleted, washed and stained with 2 μ g/ml
DAPI (4',6-diamidine-2'-phenylindole dihydrochloride) in 100%
10 methanol for 15 minutes at 37°C and/or TUNEL stained, then
viewed using a Zeiss ICM 405 microscope. Cells whose nucleus
contained clearly condensed or fragmented chromatin were scored
as apoptotic. Data are presented as percent cells undergoing
apoptosis.

15

EXAMPLE 7

Bioassay for DNA synthesis arrest

20 To assay DNA synthesis, all cells were used at 2.5×10^5 /ml. Cells were treated with each of the compounds 1-29
(Tables 1 and 2) at concentrations of 0.01, 0.1, 1, 5, 10 and 20
 μ g/mL and 200 μ l of each treatment group were plated in
quadruplicate in a 96 well culture plate (Corning, Corning NY).
25 Experiments were done in duplicate, one plate used for viability
testing and the other plate for examination of ^3H -TdR uptake to
monitor DNA synthesis. Plates were cultured for 48 hours at 37°C,
5% CO₂. Eight hours prior to the end of incubation, ^3H -TdR was
added to one of the duplicate plates and incubation continued for

8 hours. The cells were then harvested (trypsinization was required to harvest adherent cells), and isotope uptake was determined as counts per minute (cpm). For viability studies, at the end of the incubation, the cells were removed from the wells and viability checked by the Trypan Blue Exclusion method. Percent viability and percent DNA synthesis in comparison to untreated or vehicle treated cells of each treatment group were calculated.

10

EXAMPLE 8

Bioassay for Cell Cycle Arrest

The cells were cultured with novel test agents for 2-3 days, fixed in 95% ethanol and stained with propidium iodide overnight. DNA content was determined using a Coulter Epics Elite Flow Cytometer with an argon laser setting of 488 nm. Cell size was measured simultaneously, and data were analyzed as to percent cells in each cell cycle phase using the Coulter Multicycle Program.

20

EXAMPLE 9

Bioassay for Cellular Differentiation

To determine if the novel compounds were inducing cellular differentiation, the cells were cultured on cover slips, fixed in 95% ethanol and stained with a lipid specific stain for detection of milk lipids. Additionally, cells were examined by

immunohistology and by Western analyses for presence of milk protein casein, using polyclonal antibodies produced in the lab.

EXAMPLE 10

5

DNA Synthesis Arrest Effects

The cells were cultured for 48 hours, pulsed 8 hours with tritiated thymidine, harvested and counted. Data are presented as counts per minute. Verification of DNA synthesis arrest is determined by reduced tritiated thymidine uptake by cells treated with test compounds. Further verification of DNA synthesis arrest is determined by propidium iodide staining and standard cell cycle analyses.

15

EXAMPLE 11

Mechanisms of Induction of Apoptosis

The mechanism of induction of apoptosis by these compounds appears to involve three distinct apoptotic signaling pathways; namely, activation of latent transforming growth factor-beta (TGF- β), activation of the Fas/Fas ligand signaling pathways, and signaling by the stress kinase (c-Jun N-terminal Kinase) pathway.

TGF- β s are potent growth inhibitory molecules that are known to inhibit cell growth by inhibition of DNA synthesis arrest and by induction of apoptosis. TGF- β s are involved only in induction of the apoptotic pathway, i.e., there is no evidence currently that the TGF- β s effect DNA synthesis arrest; however, this possibility has not been completely ruled out. TGF- β s are

made and secreted by cells in a latent non-active form. To be effective as tumor growth inhibitors, the latent TGF- β s must be activated by induction of cell surface proteins that provide a proper structure for processing and activating proteases that cut
5 the latent protein and release the active TGF- β .

The compounds of the present invention are shown to activate proteases such as cathepsin D family proteases, and upregulate the mannose-6-phosphate receptor which binds inactive TGF- β and permits activation via proteases. Active TGF-
10 signals via cell membrane TGF- β receptors I and II to activate down stream kinases referred to as stress kinases or c-Jun N-terminal Kinases (JNK) which phosphorylate and activate transcription factors c-Jun, ATF-2 and Elk-1. Prolonged activation of transcription factor c-Jun causes tumor cells to undergo
15 apoptosis. These transcription factors, acting as homodimers or heterodimers with a multitude of transcription factor partners activate proapoptotic genes and/or downregulate antiapoptotic genes leading to DNA fragmentation. The compounds of the present invention do not generate an anti-proliferative outcome to
20 TGF- β signaling in normal non-tumor cells.

A second apoptotic inducing mechanism called the Fas/Fas ligand apoptotic signaling pathway is activated by the novel compounds of the present invention. Activated Fas/Fas ligand signaling may lead to rapid cell death by apoptosis. Thus,
25 for tumor cells to escape death by Fas/Fas ligand, they must inactivate this most important apoptotic pathway. The mechanism for inactivation of the Fas/Fas ligand signaling pathway by tumor cells varies; however, many tumor cells down

regulate the expression of Fas receptor and Fas ligand on their membranes.

Most important, R,R,R-2-(2,5,7,8-tetramethyl-2-(4,8,12-trimethyltridecyl)chroman-6-yloxy)acetic acid (1) has been shown to induce Fas/Fas ligand resistant tumor cells to become Fas/Fas ligand sensitive. Compound 1 also has the ability to enhance the expression of Fas ligand on the membrane of LNCaP prostate cells. Studies show that Fas signaling resistant human breast cancer cells retain the Fas receptor in their cytoplasm, but when cultured with compound 1, the Fas receptor is transported from the cytoplasm to the membrane; thereby rendering the cells Fas signaling sensitive. Furthermore, this compound is synergistic in anti-Fas triggered apoptosis in that greater amounts of cell killing is obtained with both human breast and prostate cancer cells when co-treated versus when treated separately. The ability of compound 1 to convert Fas signaling resistant tumor cells to Fas signaling sensitive tumor cells and to exhibit synergistic killing effects provides an extremely important mechanism for destruction of tumor cells both by the host immune surveillance system as well as by pharmaceutical intervention. The compounds of the present invention do not activate the Fas signaling pathway of normal non-tumor cells.

These compounds activate the JNK kinase signaling pathway, perhaps by TGF- β and Fas/Fas ligand signaling. Prolonged activation of JNK results in prolonged activation of c-Jun and ATF-2 transcription factors, which are postulated to play a role in expression or repression of proapoptotic and antiapoptotic genes, respectively.

EXAMPLE 12

Mechanism of Induction of DNA Synthesis Arrest, Cell Cycle Arrest and Cellular Differentiation

5 The mechanisms of growth inhibition by DNA synthesis arrest, cell cycle arrest and by induction of cellular differentiation have not been characterized as fully as the mechanism of growth inhibition by apoptosis. Studies show that the compounds of the present invention have profound effects on
10 the cell cycle, inducing DNA synthesis arrest of approximately 95% of the tumor cells within 24 hours of treatment. Tumor cells cultured with the compounds disclosed herein are growth inhibited in the G1 cell cycle phase, undergo morphological changes and express milk lipids, an indication that the cell cycle
15 blocked cells have undergone differentiation. P21, a gene known to be an inhibitor of entrance of cells from the G1 cell cycle phase to the S phase of the cell cycle, and the mRNA, as well as the protein of P21 gene, is up-regulated by treatment of MDA-MB-435 human breast cancer cells with compound 1.

20

EXAMPLE 13

In Vivo Potential for Human Cancer Cells

25 The present invention has potential for use as therapeutic agents. In vivo studies of tumor growth and metastasis of human tumor cells either ectopically or orthotopically transplanted into immune compromised animals, such as nude mice, or in vivo studies employing well recognized animal models are conducted. Inhibition of growth of human

tumor cells transplanted into immune compromised mice provide pre-clinical data for clinical trials. In vivo studies include two human tumor cell models, the metastatic non-estrogen responsive MDA-MB-435 breast cancer model, and the androgen non-responsive PC-3 prostate cancer model.

MDA-MB-435 Breast Cancer Model:

Pathogen free MDA-MB-435 human breast cancer cells stably transfected with a marker protein (fluorescent green protein) are grown as a solid tumor in immune compromised nude or SCID mice. The tumors are removed, and 1 mm sections of equal size are orthotopically transplanted into the mammary fat pad or ectopically transplanted into the hind flank of female nude mice. Tumor growth, metastasis, and death of the animals are determined. Tumor growth is measured by caliper evaluations of tumor size. At the time of sacrifice, tumors are removed, measured for size, and used for histochemical examination. Organs such as spleen, lymph nodes, lungs, and bone marrow, are examined for metastatic MDA-MB-435 cells by histochemical staining of tissue sections for expression of the marker fluorescent green protein.

PC-3 Prostate Cancer Model

Pathogen free PC-3 human prostate cancer cells stably transfected with a marker protein (fluorescent green protein) are grown as a solid tumor in nude mice. The tumors are removed, and 1 mm sections of equal size are ectopically transplanted into the hind flank of male nude mice. Tumor growth, metastasis, and death of the animals are determined. At the time of sacrifice,

tumors are removed, measured for size, and used for histochemical examination. Organs such as spleen, lymph nodes, lungs, bone marrow, are examined for metastatic PC-3 cells by histochemical staining of tissues for expression of the marker
5 fluorescent green protein.

Skin Cancer Animal Model

Skin cancer is induced in SENCAR and SKH-1 hairless mice by ultraviolet irradiation and chemical (DMBA) treatments.
10 In addition, mice specifically expressing the oncogene Her-2/neu in skin basal cells that spontaneously develop skin cancer are used. The compounds disclosed herein are topically applied to the skin daily, before and after skin cancer initiation, and development of skin papilloma formation is assessed. Control
15 mice are treated identically except that they receive vehicle treatments topically applied to their skin. The efficacy of these compounds in treating papilloma's as well as their ability to affect malignant conversion when supplied prior to premalignant progression is monitored.

20

EXAMPLE 14

25 Supplementation with Novel Compounds

Prior to initiation of the in vivo experiments, the compounds of this invention that exhibit the greatest amount of tumor cell killing are administered to nude, SCID, transgene, and other mice at varying levels to establish the highest level of

compound that can be administered safely without adverse effects. The compounds are administered in a model-appropriate manner; e.g., orally, injections, including injections directly into the target organ, or topically. After establishing the highest level of the compounds that can be tolerated and effective administration routes, the novel compounds are administered to the mice on a daily basis, and tumor growth and progression is determined as described above.

10

EXAMPLE 15

Establishing Maximum Tolerated Dose (MTD)

To establish the maximum tolerated dose (MTD) of compound 1, 25 strain Balb/c mice are placed into the following 5 groups:

Group 1. Non treated

Group 2. Vehicle treated (ETOH + Peanut oil)/0.1 ml gavage/mouse/day

20 Group 3. Compound #1 at 20 mgs/0.1ml gavage/mouse/day

Group 4. Compound #1 at 10 mgs/0.1 ml gavage/mouse/day

25 Group 5. Compound #1 at 5 mgs/0.1 ml gavage/mouse/day

Compound 1 is dissolved in 100% ethanol and diluted to the appropriate level in vitamin E depleted peanut oil to deliver 20, 10, and 5 mg/0.1 ml volume by gavage. Vehicle control consists of 100% ethanol plus vitamin E depleted peanut oil. Mice

are treated daily for 30 days. Whole body weights are taken weekly after initiation of the treatments. There are no differences in the weights of the mice among groups. The mice remain active and show no signs of toxicity.

- 5 High performance liquid chromatography (HPLC) analyses are conducted on serum and tissue samples at weekly intervals during the 30 day treatment. Compound 1 is detected in the serum and tissues from all three test groups.

10 **EXAMPLE 16**

Preparation of Stock Solution, Vehicle and Compound 1 Dilutions

Stock Solution of Compound 1:

Dissolve 2 grams of compound #1 in 5 mls of 100% ethanol (ETOH) and vortex at 37°C.

- 15 Compound 1 at 20 mg/0.1ml gavage/mouse:

Combine 1 ml of compound 1 stock solution, 3 mls of vitamin E depleted peanut oil and 400 mg of compound #1 (dry) and vortex at 37°C.

Compound 1 at 10 mg/0.1ml gavage/mouse:

- 20 Combine 1 ml of compound 1 stock solution and 3 mls of vitamin E depleted peanut oil.

Compound 1 at 5 mg/0.1ml gavage/mouse::

Combine 0.5 ml of compound 1 stock solution and 3 mls of vitamin E depleted peanut oil.

- 25 Vehicle:

Combine 1 ml ETOH 3 mls of vitamin E depleted peanut oil.

EXAMPLE 17

Chemopreventive properties of compound 1 in an ACI rat cancer model.

Compound 1 is used in vivo to treat transplanted
5 human breast, prostate, and colon tumors transplanted in immune
compromised nude mice. The chemopreventive effectiveness of
compound 1 in vivo against human breast cancer is shown in an
estrogen cancer initiated ACI rat breast cancer model.
Approximately 90% of rats implanted with estrogen pellets
10 develop breast cancer within 6 months after estrogen
implantation.

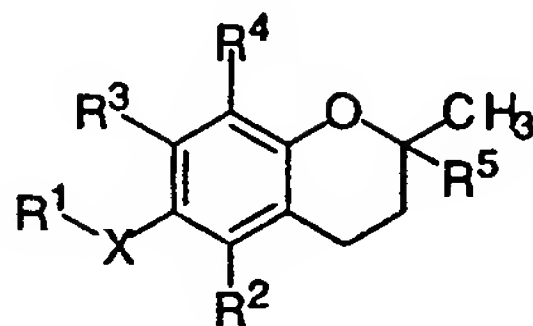
Compound 1 is dissolved in 100% ethanol and is
diluted to the appropriate dosage using vitamin E depleted peanut
oil. The maximum tolerated dose (MTD, maximum dose of
15 compound that can be administered without adverse affects) is
determined as described in Examples 14 and 15. Compound 1 is
administered at MTD and 1/2 MTD. ACI rats at 4 weeks of age are
subpannicularly implanted with estrogen pellets in the shoulder
region. Compound 1 at MTD and 1/2 MTD is administered by
20 gavage. Breast tumors are detected in the control group at
approximately 100 days following estrogen implantation. Ninety
percent of the control rats develop breast cancer within 6 months
after estrogen implantation. Tumor bearing animals from control
and treatment groups are sacrificed at various time intervals after
25 treatment initiation, and mammary tissue is examined for obvious
tumors, and further examined by histological analyses.

One skilled in the art will readily appreciate that the
present invention is well adapted to carry out the objects and
obtain the ends and advantages mentioned, as well as those

inherent therein. The present examples along with the methods, procedures, treatments, molecules, and specific compounds described herein are presently representative of preferred embodiments, are exemplary, and are not intended as limitations
5 on the scope of the invention. Changes therein and other uses will occur to those skilled in the art which are encompassed within the spirit of the invention as defined by the scope of the claims.

WHAT IS CLAIMED IS:

1. A compound having a structural formula



5

wherein X is selected from the group consisting of oxygen, nitrogen or sulfur;

R¹ is selected from the group consisting of alkyl, alkenyl, alkynyl, aryl, heteroaryl, carboxylic acid, carboxylate, carboxamide, ester, thioamide, thiolacid, thiolester, saccharide, alkoxy-linked saccharide, amine, sulfonate, sulfate, phosphate, alcohol, ethers and nitriles;

R² is selected from the group consisting of hydrogen, methyl, benzyl carboxylic acid, benzyl carboxylate, benzyl carboxamide, benzylester, saccharide and amine;

R³ is selected from the group consisting of hydrogen, methyl, benzyl carboxylic acid, benzyl carboxylate, benzyl carboxamide, benzylester, saccharide and amine;

R⁴ is selected from the group consisting of methyl, benzyl carboxylic acid, benzyl carboxylate, benzyl carboxamide, benzylester, saccharide and amine; and

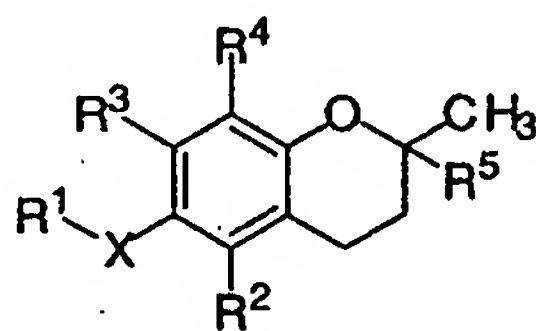
R⁵ is selected from the group consisting of alkyl, alkenyl, alkynyl, aryl, heteroaryl, carboxyl, amide and ester;

wherein when X is oxygen, R² is methyl, R³ is methyl, R⁴ is methyl and R⁵ is phetyl, R¹ is not butyric acid.

2. The compound of claim 1, wherein said compound is selected from the group consisting of 2,5,7,8-tetramethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)acetic acid, 2,5,7,8-tetramethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)propionic acid, 2,5,7,8-tetramethyl-2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)valeric acid, 2,5,7,8-tetramethyl-2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)hexanoic acid, 2,5,7,8-tetramethyl-2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)octanoic acid, 2,5,8-trimethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)acetic acid, 2,7,8-trimethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)acetic acid, 2,8-dimethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)acetic acid, 2,5,7,8-tetramethyl-2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)acetamide, methy 12,5,7,8-tetramethyl-2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)acetate, 2-(N,N-(carboxymethyl)-2(2,5,7,8-tetramethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)acetic acid, 2-(2,5,7,8-tetramethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy))ethan-1-ol, 2-(2,5,7,8-pentamethylchroman-6-yloxy)acetic acid, 2,5,7,8-tetramethyl-(2RS-(4RS,8RS,12-trimethyltridecyl)chroman-6-yloxy)acetic acid, 2,5,7,8-tetramethyl-(2R-(carboxy)chroman-6-yloxy))acetic acid, 2,5,7,8-tetramethyl-2R-(2RS,6RS,10-trimethylundecyl)chroman-6-yloxy)acetic acid, 2,5,7,8-tetramethyl-2R-(2,6,10-trimethyl-1,3,5,9 E:Z decatetraen)chroman-6-yloxy)acetic acid, 3-(2,5,7,8-tetramethyl-(2R-(4R,8,12-trimethyltridecyl)chroman-6-yloxy)propyl-1-ammonium chloride, 2,5,7,8-tetramethyl-(2R-(4r,8R,12-trimethyltridecyl)chroman-3-ene-6-yloxy)acetic acid,

6-(2,5,7,8-tetramethyl-(2R-(4R,8,12-trimethyltridecyl)chroman)acetic acid, 2,5,7,8,-tetramethyl-(2R-(heptadecyl)chroman-6-yloxy) acetic acid 2,5,7,8-tetramethyl-(2R-(heptyl)chroman-6-yloxy)acetic acid, 2,5,7,8,-tetramethyl-
 5 (2R-(tridecyl)chroman-6-yloxy) acetic acid, 2,5,7,8,-tetramethyl-(2R-(heptadecyl)chroman-6-yloxy) acetic acid, 2,5,7,8,-tetramethyl-2R-(4,8,-dimethyl-1,3,7 E:Z nonotrien)chroman-6-yloxy) acetic acid, E,Z,RS,RS-(phytyltrimethylbenzenethiol-6-yloxy)acetic acid (this compound 27 does not actually fit the
 10 structure of claim 1), (R)-2[(2,5,7,8-tetramethyl-2-(3 propene methyl ester)chroman-6-yloxy]acetic acid, and 2,5,7,8-tetramethyl-(2R-(propionate)chroman-6-yloxy)acetic acid.

15 3. A method for the treatment of a cell proliferative disease comprising administering to an animal a pharmacologically effective dose of a compound having a structural formula



20

wherein X is selected from the group consisting of oxygen, nitrogen or sulfur;

R¹ is selected from the group consisting of alkyl, alkenyl, alkynyl, aryl, heteroaryl, carboxylic acid, carboxylate,
 25 carboxamide, ester, thioamide, thiolacid, thiolester, saccharide, alkoxy-linked saccharide, amine, sulfonate, sulfate, phosphate, alcohol, ethers and nitriles;

R^2 is selected from the group consisting of hydrogen, methyl, benzyl carboxylic acid, benzyl carboxylate, benzyl carboxamide, benzylester, saccharide and amine;

R^3 is selected from the group consisting of hydrogen, methyl, benzyl carboxylic acid, benzyl carboxylate, benzyl carboxamide, benzylester, saccharide and amine;

R^4 is selected from the group consisting of methyl, benzyl carboxylic acid, benzyl carboxylate, benzyl carboxamide, benzylester, saccharide and amine; and

R^5 is selected from the group consisting of alkyl, alkenyl, alkynyl, aryl, heteroaryl, carboxyl, amide and ester.

4 The method of claim 3, wherein said compound is selected from the group consisting of 2,5,7,8-tetramethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)acetic acid, 2,5,7,8-tetramethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)propionic acid, 2,5,7,8-tetramethyl-(2R-(4R,8R,12-trimethyltridecyl) chroman-6-yloxy)butyric acid, 2,5,8-trimethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)acetic acid, 2,7,8-trimethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)acetic acid, 2,8-dimethyl-(2R-(4R,8R,12-trimethyltridecyl) chroman-6-yloxy) acetic acid, 2-(N,N-(carboxymethyl)-2(2,5,7,8-tetramethyl-(2R-(4R,8R,12-trimethyltridecyl) chroman-6-yloxy) acetic acid, 2,5,7,8-tetramethyl-(2RS-(4RS,8RS,12-trimethyltridecyl)chroman-6-yloxy)acetic acid, 2,5,7,8-tetramethyl-2R-(2RS,6RS,10-trimethylundecyl)chroman-6-yloxy)acetic acid, 3-(2,5,7,8-tetramethyl-(2R-(4R,8,12-trimethyltridecyl)chroman-6-yloxy)propyl-1-ammonium chloride,

2,5,7,8-tetramethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-3-ene-6-yloxy) acetic acid, 2-(2,5,7,8-tetramethyl-(2R-(4R,8,12-trimethyltridecyl) chroman-6-yloxy)triethylammonium sulfate, 6-(2,5,7,8-tetramethyl-(2R-(4R,8,12-

5 trimethyltridecyl)chroman)acetic acid, 2,5,7,8,-tetramethyl-(2R-(heptadecyl)chroman-6-yloxy) acetic acid, 2,5,7,8,-tetramethyl-2R-(4,8,-dimethyl-1,3,7 E:Z nonotrien)chroman-6-yloxy) acetic acid, and E,Z,RS,RS-(phytyltrimethylbenzenethiol-6-yloxy)acetic acid.

10

5. The method of claim 3, wherein said compound exhibits an anti-proliferative effect comprising apoptosis, DNA synthesis arrest, cell cycle arrest, or cellular differentiation.

15

6. The method of claim 3, wherein said animal is a human.

20

7. The method of claim 3, wherein said composition is administered in a dose of from about 1 mg/kg to about 60 mg/kg.

25

8. The method of claim 3, wherein administration of said composition is selected from the group consisting of oral, topical, intraocular, intranasal, parenteral, intravenous, intramuscular, or subcutaneous.

9. The method of claim 3, wherein said cell proliferative disease is selected from the group consisting of neoplastic diseases and non-neoplastic disorders.

5

10. The method of claim 9, wherein said neoplastic disease is selected from the group consisting of ovarian cancer, cervical cancer, endometrial cancer, bladder cancer, lung cancer, breast cancer, testicular cancer, prostate cancer, gliomas, fibrosarcomas, retinoblastomas, melanomas, soft tissue sarcomas, osteosarcomas, leukemias, colon cancer, carcinoma of the kidney, pancreatic cancer, basal cell carcinoma, and squamous cell carcinoma.

15

11. The method of claim 9, wherein said non-neoplastic disease is selected from the group consisting of psoriasis, benign proliferative skin diseases, ichthyosis, papilloma, restinosis, scleroderma, hemangioma, viral diseases, and autoimmune diseases.

12. The method of claim 11, wherein said autoimmune diseases are selected from the group consisting of autoimmune thyroiditis, multiple sclerosis, myasthenia gravis, systemic lupus erythematosus, dermatitis herpetiformis, celiac disease, and rheumatoid arthritis.

13. The method of claim 9, wherein said non-neoplastic disorders are selected from the group consisting of viral disorders and autoimmune disorders.

5

14. The method of claim 13, wherein said viral disorder is Human Immunodeficiency Virus.

10

15. The method of claim 13, wherein said autoimmune disorders are selected from the group consisting of the inflammatory process involved in cardiovascular plaque formation, ultraviolet radiation induced skin damage and disorders involving an immune component.

15

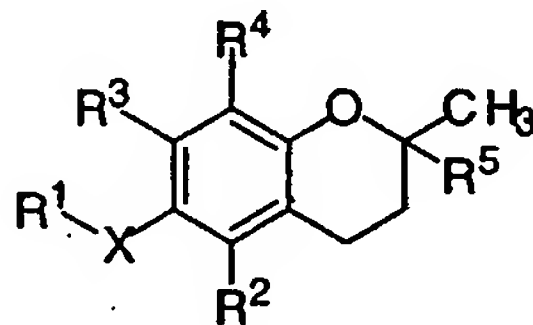
16 A pharmaceutical composition, comprising the compound of claim 3 and a pharmaceutically acceptable carrier.

20

17 The pharmaceutical composition of claim 16, wherein said compound is selected from the group consisting of 2,5,7,8-tetramethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)acetic acid, 2,5,7,8-tetramethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)propionic acid, 2,5,7,8-tetramethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)butyric acid, 2,5,8-trimethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)acetic acid, 2,7,8-trimethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)acetic acid, 2,8-

dimethyl-(2R-(4R,8R,12-trimethyltridecyl) chroman-6-yloxy) acetic acid, 2-(N,N-(carboxymethyl)-2(2,5,7,8-tetramethyl-(2R-(4R,8R,12-trimethyltridecyl) chroman-6-yloxy) acetic acid, 2,5,7,8-tetramethyl-(2RS-(4RS,8RS,12-trimethyltridecyl)chroman-6-yloxy)acetic acid, 2,5,7,8-tetramethyl-2R-(2RS,6RS,10-trimethylundecyl)chroman-6-yloxy)acetic acid, compound 19?, 2,5,7,8-tetramethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-3-ene-6-yloxy) acetic acid, compound 21?, compound 22?, 2,5,7,8-tetramethyl-(2R-(heptadecyl)chroman-6-yloxy) acetic acid, 2,5,7,8-tetramethyl-2R-(4,8,-dimethyl-1,3,7 EZ nonotrien)chroman-6-yloxy) acetic acid, and E,Z,RS,RS-(phytyltrimethylbenzenethiol-6-yloxy)acetic acid.

18. A method of inducing apoptosis of a cell, comprising the step of contacting said cell with a pharmacologically effective dose of a compound having a structural formula



20

wherein X is selected from the group consisting of oxygen, nitrogen or sulfur;

R¹ is selected from the group consisting of alkyl, alkenyl, alkynyl, aryl, heteroaryl, carboxylic acid, carboxylate, carboxamide, ester, thioamide, thiolacid, thiolester, saccharide, alkoxy-linked saccharide, amine, sulfonate, sulfate, phosphate, alcohol, ethers and nitriles;

R^2 is selected from the group consisting of hydrogen, methyl, benzyl carboxylic acid, benzyl carboxylate, benzyl carboxamide, benzylester, saccharide and amine;

R^3 is selected from the group consisting of hydrogen, methyl, benzyl carboxylic acid, benzyl carboxylate, benzyl carboxamide, benzylester, saccharide and amine;

R^4 is selected from the group consisting of methyl, benzyl carboxylic acid, benzyl carboxylate, benzyl carboxamide, benzylester, saccharide and amine; and

R^5 is selected from the group consisting of alkyl, alkenyl, alkynyl, aryl, heteroaryl, carboxyl, amide and ester.

19. The method of claim 18, wherein said compound is selected from the group consisting of 2,5,7,8-tetramethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)acetic acid, 2,5,7,8-tetramethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)propionic acid, 2,5,7,8-tetramethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)butyric acid, 2,5,8-trimethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)acetic acid, 2,7,8-trimethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)acetic acid, 2,8-dimethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)acetic acid, 2-(N,N-(carboxymethyl)-2(2,5,7,8-tetramethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-6-yloxy)acetic acid, 2,5,7,8-tetramethyl-(2RS-(4RS,8RS,12-trimethyltridecyl)chroman-6-yloxy)acetic acid, 2,5,7,8-tetramethyl-2R-(2RS,6RS,10-trimethylundecyl)chroman-6-yloxy)acetic acid, 3-(2,5,7,8-tetramethyl-(2R-(4R,8,12-trimethyltridecyl)chroman-6-yloxy)propyl-1-ammonium chloride,

2,5,7,8-tetramethyl-(2R-(4R,8R,12-trimethyltridecyl)chroman-3-ene-6-yloxy) acetic acid, 2-(2,5,7,8-tetramethyl-(2R-(4R,8,12-trimethyltridecyl) chroman-6-yloxy)triethylammonium sulfate, 6-(2,5,7,8-tetramethyl-(2R-(4R,8,12-

5 trimethyltridecyl)chroman)acetic acid, 2,5,7,8,-tetramethyl-(2R-(heptadecyl)chroman-6-yloxy) acetic acid, 2,5,7,8,-tetramethyl-2R-(4,8,-dimethyl-1,3,7 EZ nonotrien)chroman-6-yloxy) acetic acid, and E,Z,RS,RS-(phytyltrimethylbenzenethiol-6-yloxy)acetic acid.

10

20. The method of claim 18, wherein said method is useful in the treatment of a cell proliferative disease.

Compound	R ¹	R ²	R ³
Alpha (α)	CH ₃	CH ₃	CH ₃
Beta (β)	CH ₃	H	CH ₃
Gamma (γ)	H	CH ₃	CH ₃
Delta (δ)	H	H	CH ₃

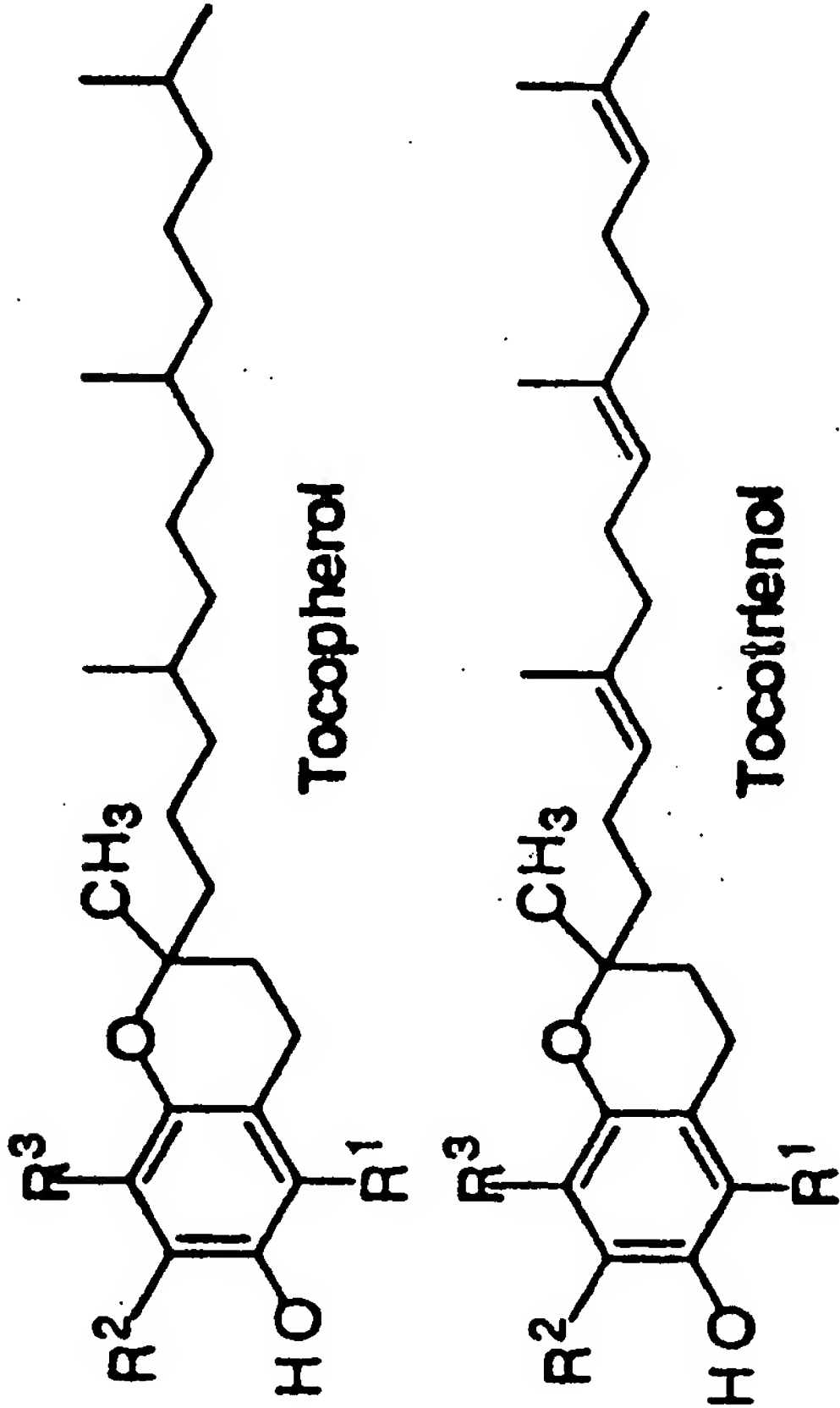
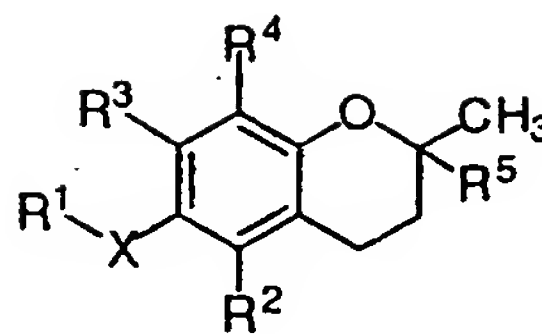


FIG. 1



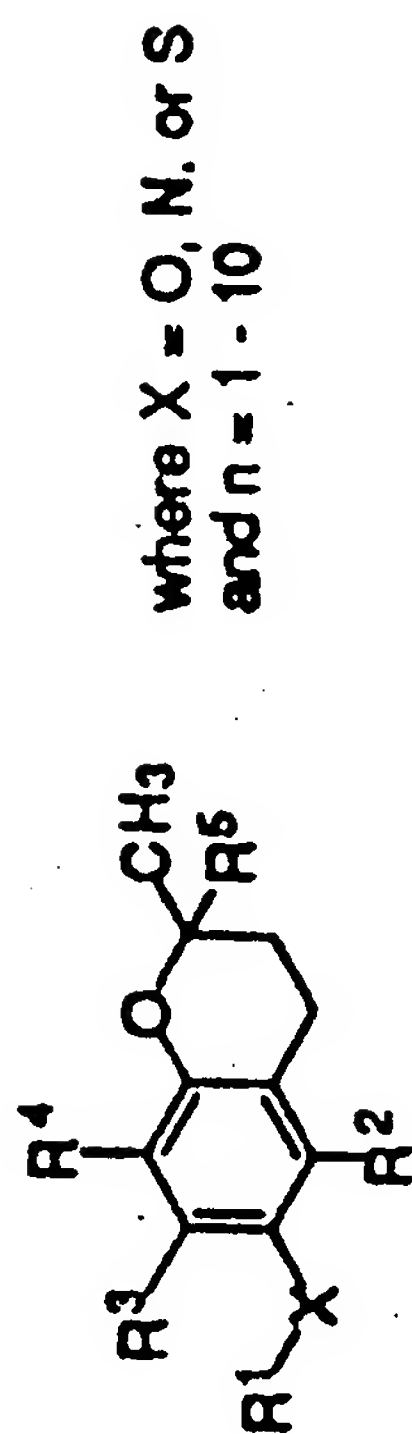
Compound	R ¹	R ²	R ³	R ⁴	R ⁵
1	CH ₂ CO ₂ H	CH ₃	CH ₃	CH ₃	phytyl
2	(CH ₂) ₂ CO ₂ H	CH ₃	CH ₃	CH ₃	phytyl
3	(CH ₂) ₃ CO ₂ H	CH ₃	CH ₃	CH ₃	phytyl
4	(CH ₂) ₄ CO ₂ H	CH ₃	CH ₃	CH ₃	phytyl
5	(CH ₂) ₅ CO ₂ H	CH ₃	CH ₃	CH ₃	phytyl
6	(CH ₂) ₇ CO ₂ H	CH ₃	CH ₃	CH ₃	phytyl
7	CH ₂ CO ₂ H	CH ₃	H	CH ₃	phytyl
8	CH ₂ CO ₂ H	CH ₃	H	CH ₃	phytyl
9	CH ₂ CO ₂ H	H	H	CH ₃	phytyl
10	CH ₂ CONH ₂	CH ₃	CH ₃	CH ₃	phytyl
11	CH ₂ CO ₂ CH ₃	CH ₃	CH ₃	CH ₃	phytyl
12	CH ₂ CON(CH ₂ CO ₂ H) ₂	CH ₃	CH ₃	CH ₃	phytyl
13	CH ₂ CH ₂ OH	CH ₃	CH ₃	CH ₃	phytyl
14	CH ₂ CO ₂ H	CH ₃	CH ₃	CH ₃	CH ₃
15	RS CH ₂ CO ₂ H	CH ₃	CH ₃	CH ₃	phytyl

FIG. 2-1

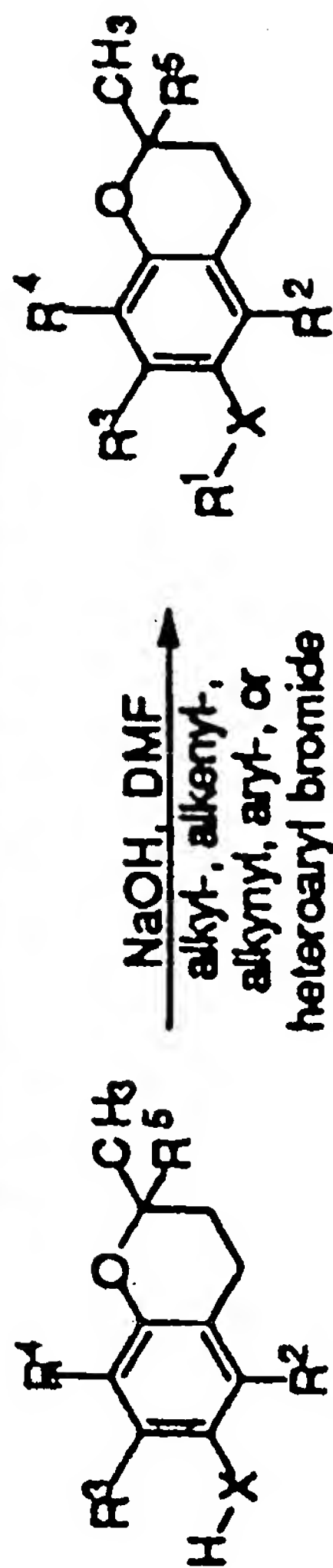
Compound	R ¹	R ²	R ³	R ⁴	R ⁵
16	CH ₂ CO ₂ H	CH ₃	CH ₃	CH ₃	COOH
17	R/RS CH ₂ CO ₂ H	CH ₃	CH ₃	CH ₃	phytyl
18	CH ₂ CO ₂ H	CH ₃	CH ₃	CH ₃	isoprenyl
19	NH ₃ Cl	CH ₃	CH ₃	CH ₃	phytyl
20	CH ₂ CO ₂ H	CH ₃	CH ₃	CH ₃	phytyl
21	OSO ₃ NHET ₃	CH ₃	CH ₃	CH ₃	phytyl
22	CH ₂ CO ₂ H	CH ₃	CH ₃	CH ₃	phytyl
23	CH ₂ CO ₂ H	CH ₃	CH ₃	CH ₃	phytyl
24	CH ₂ CO ₂ H	CH ₃	CH ₃	CH ₃	phytyl
25	CH ₂ CO ₂ H	CH ₃	CH ₃	CH ₃	phytyl
26	CH ₂ CO ₂ H	CH ₃	CH ₃	CH ₃	other
27	CH ₂ CO ₂ H	CH ₃	CH ₃	CH ₃	other
28	CH ₂ CO ₂ H	CH ₃	CH ₃	CH ₃	ester
29	CH ₂ CO ₂ H	CH ₃	CH ₃	CH ₃	ester



FIG. 2-2



$R^1 =$ alkyl, alkenyl, alkynyl, aryl, and heteroaryl.



$R^1 =$ alkyl, alkenyl, alkynyl, aryl, and heteroaryl carboxylic acids or carboxylates.

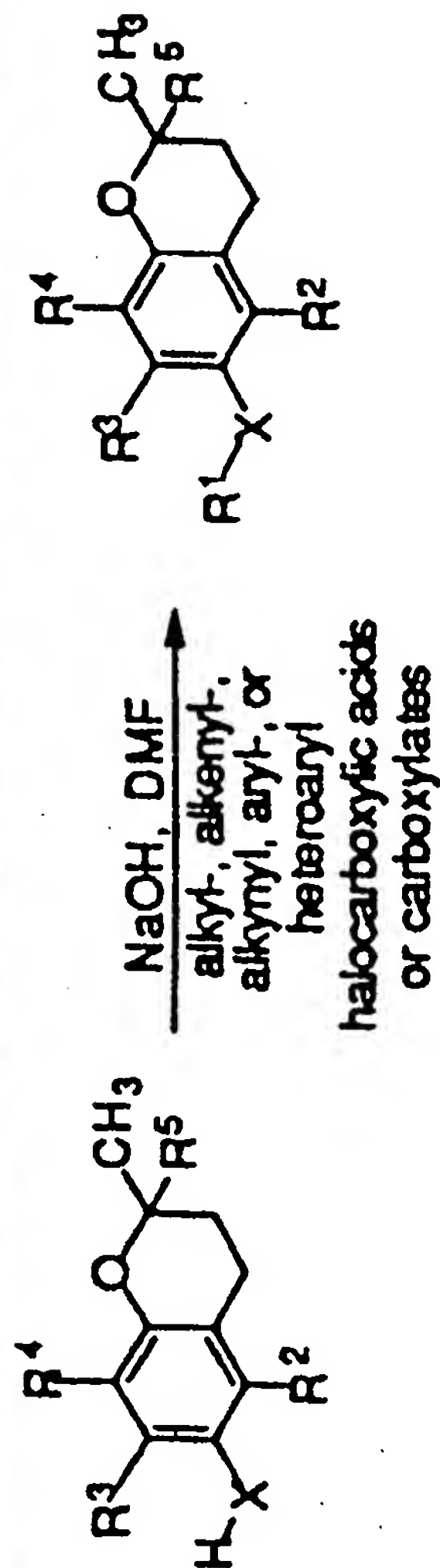
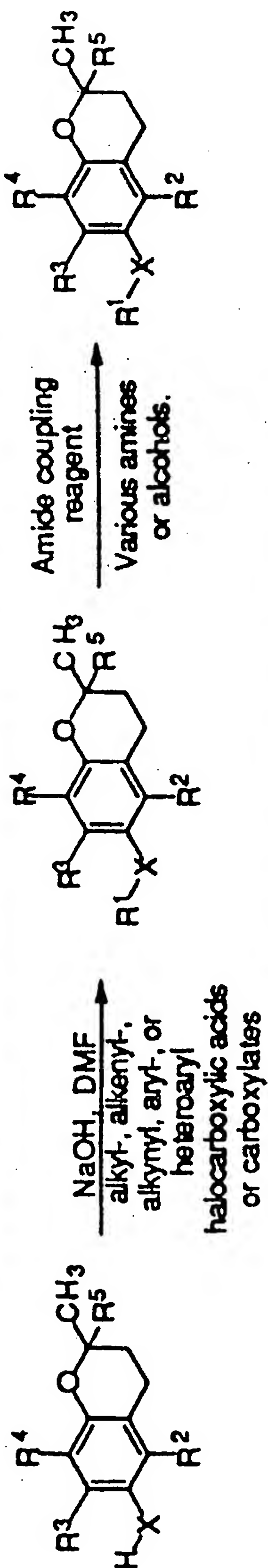


FIG. 3A-1

$R^1 = \text{alkyl, alkenyl, alkynyl, aryl, and heteroaryl carboxamides and esters.}$



$R^1 = \text{alkyl, alkenyl, alkynyl, aryl, and heteroaryl thioamides, thioesters and thioacids.}$

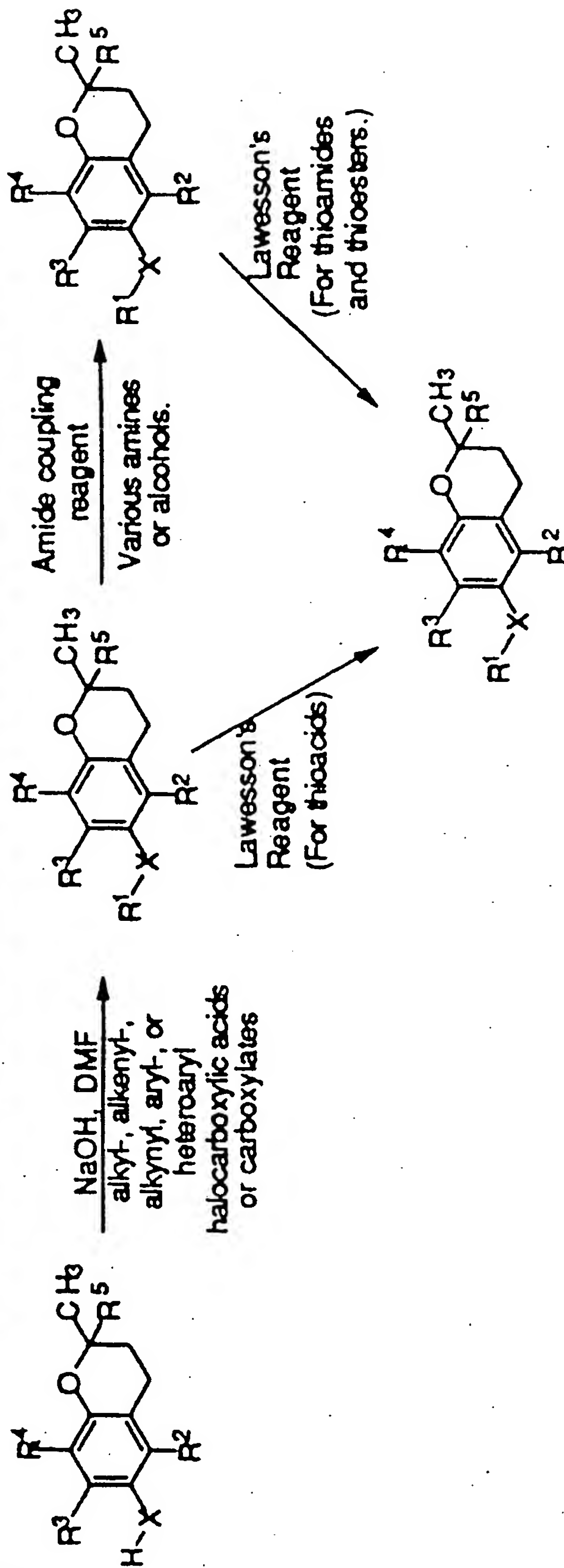
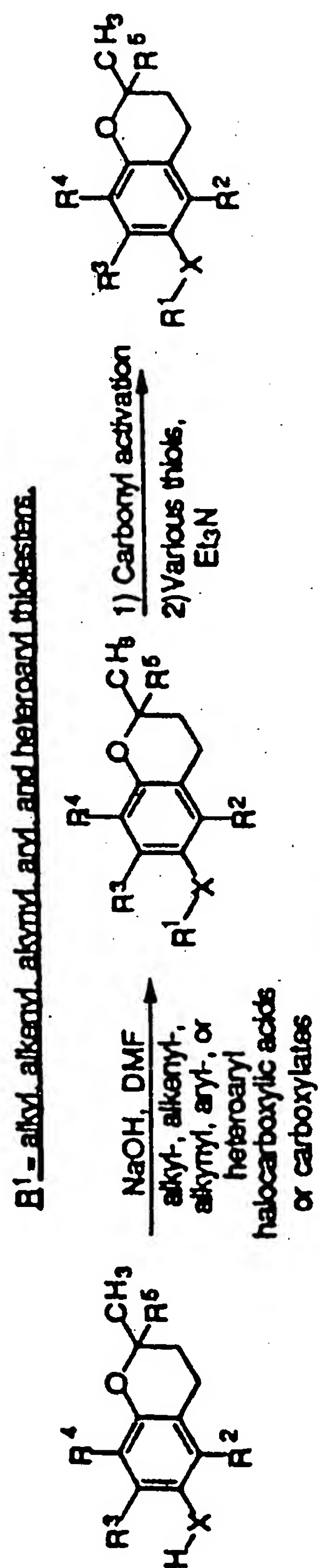


FIG. 3A-2



R¹ = saccharides or alkoxy-linked saccharides.

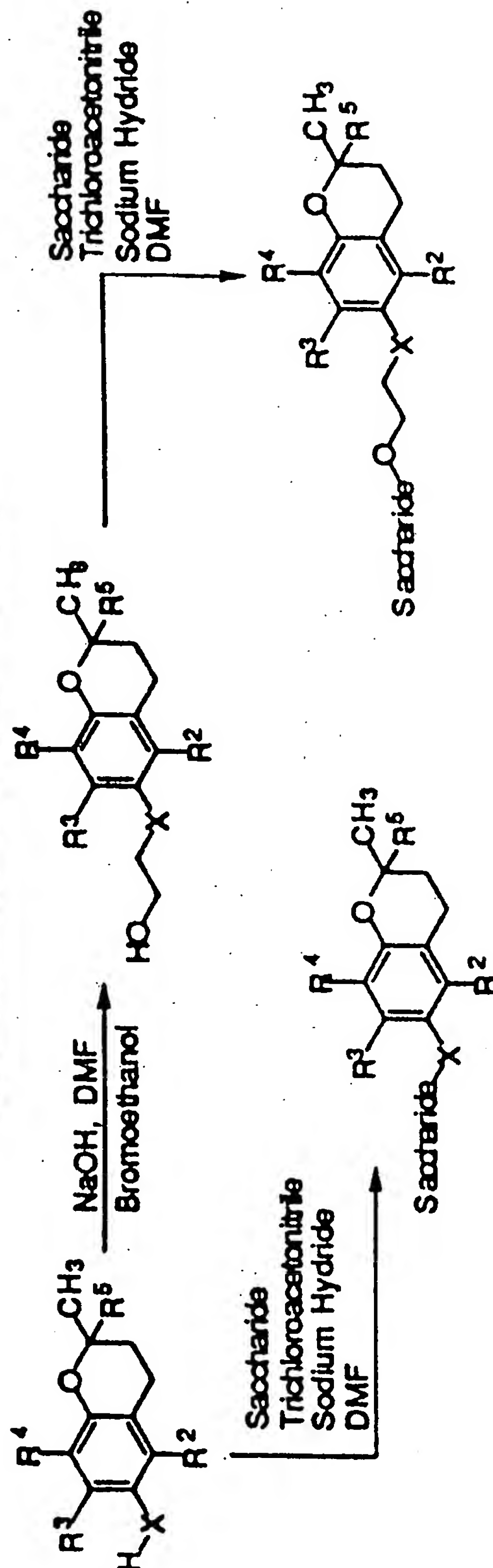
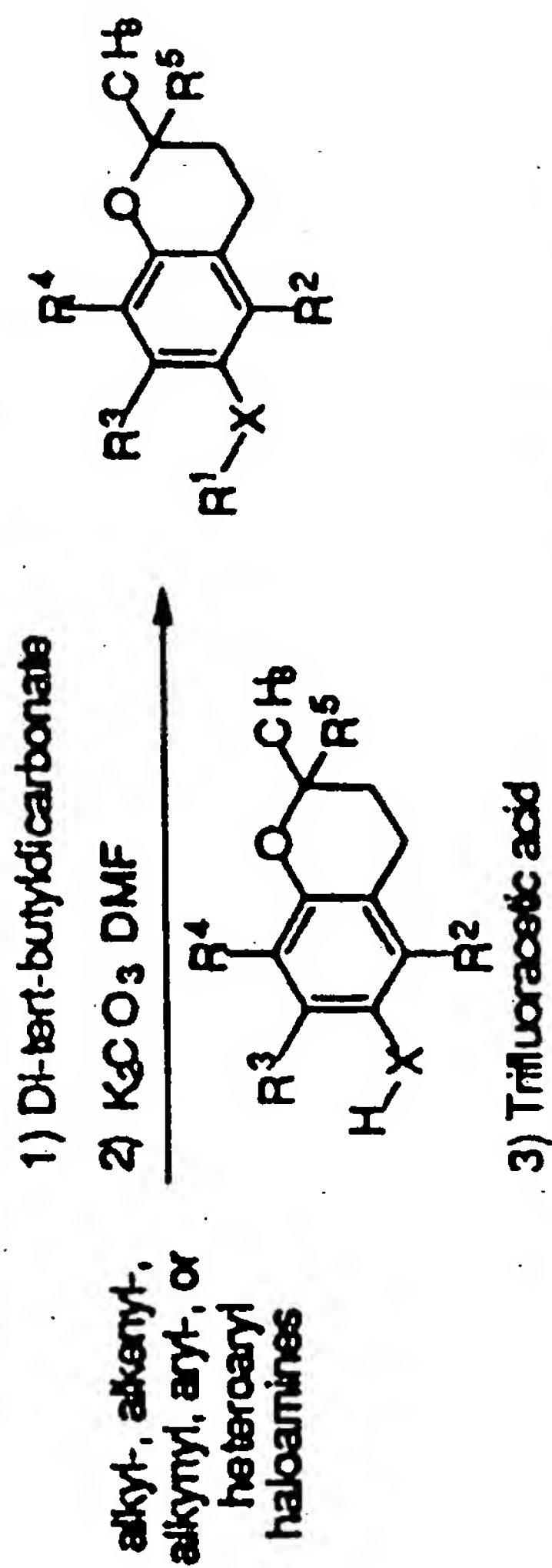


FIG. 3B-1

R^1 = alkyl, alkenyl, alkynyl, aryl, and heteroaryl amines.



R^1 = alkyl, alkenyl, alkynyl, aryl, and heteroaryl carboxamides.

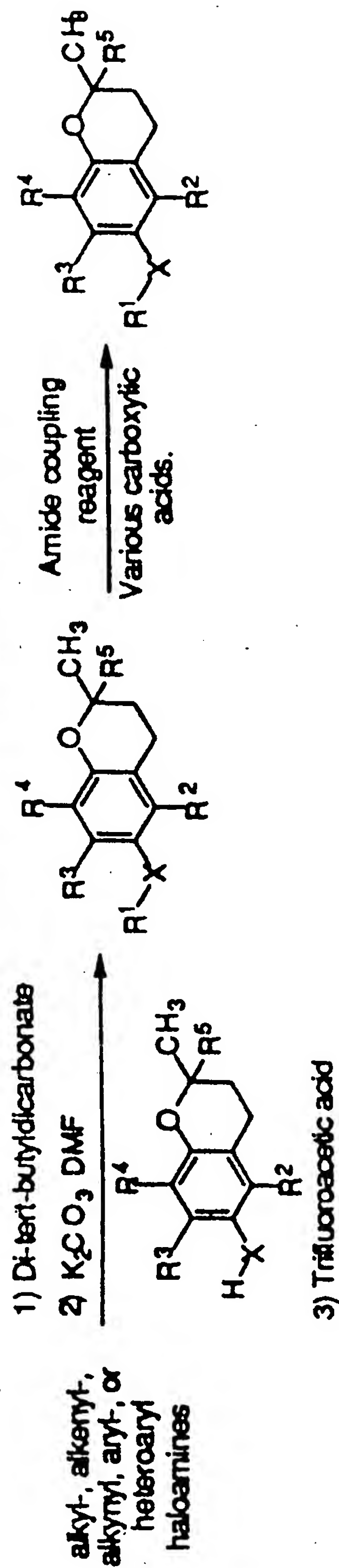


FIG. 3B-2

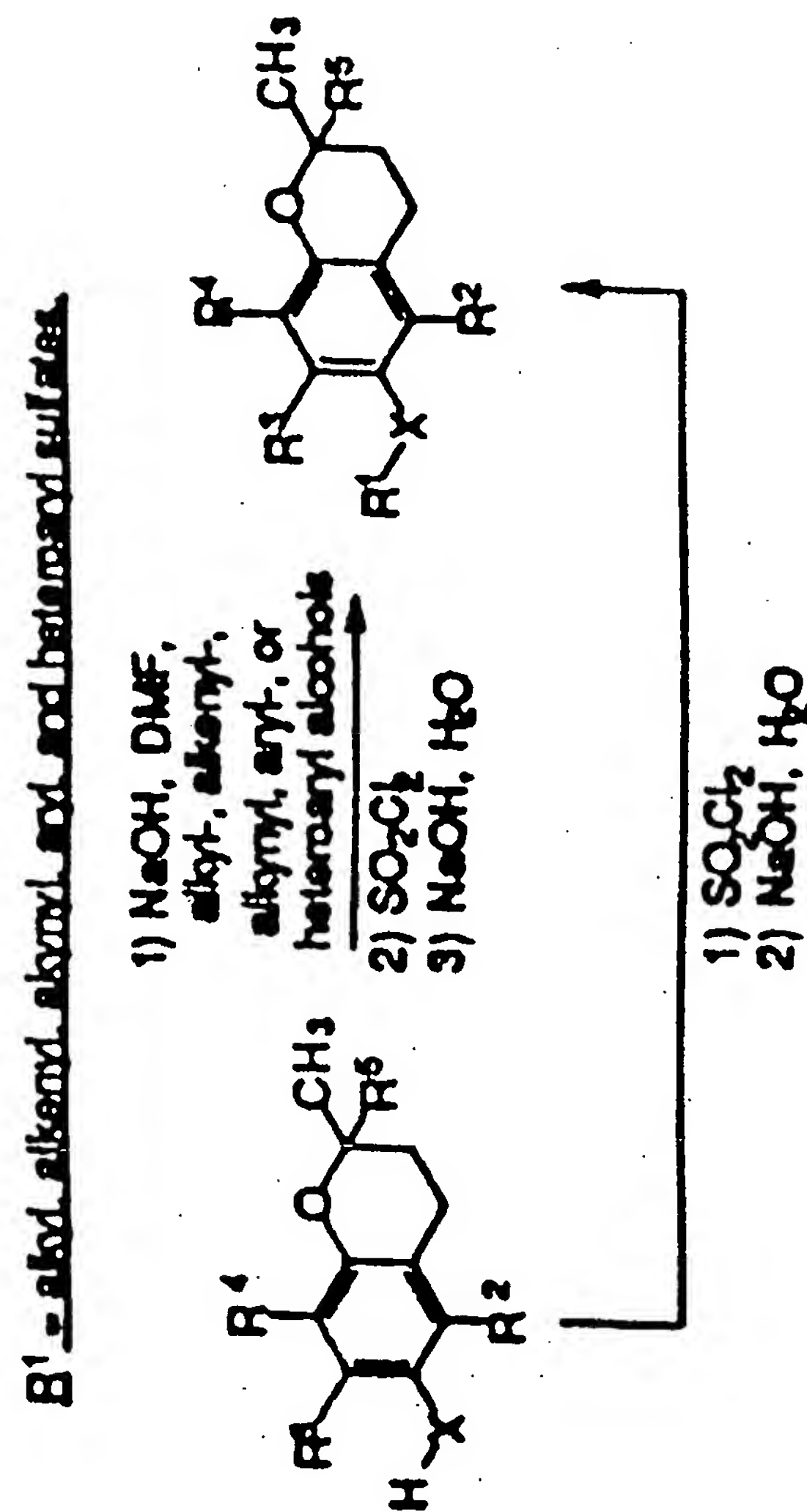
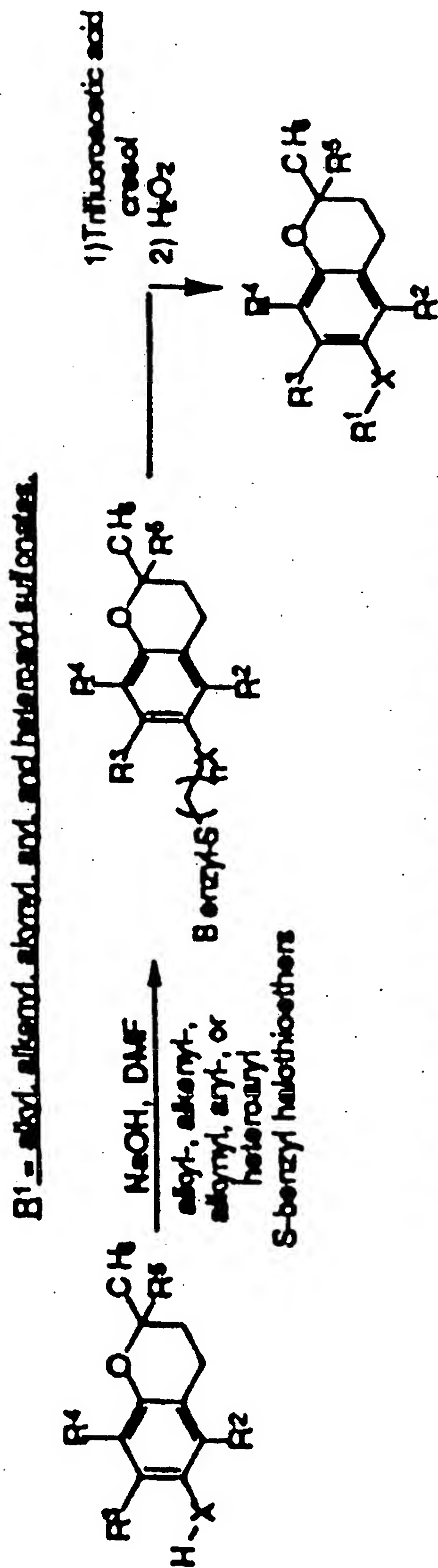
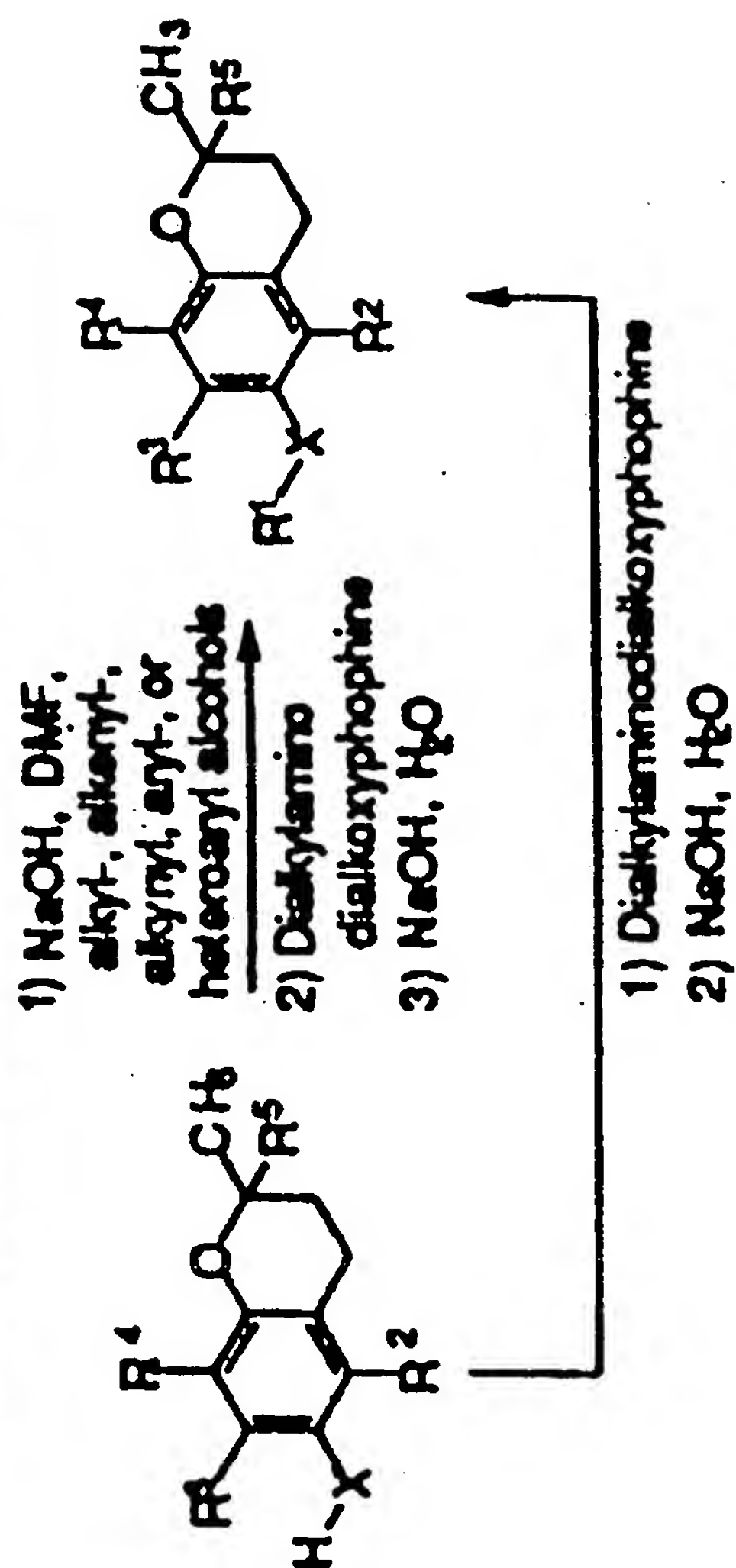


FIG. 3C-1

R^1 = alkyl, alkenyl, aryl, and heteroaryl phosphates.



R^1 = alkyl, alkenyl, aryl, and heteroaryl alcohols, ethers, and nitriles.

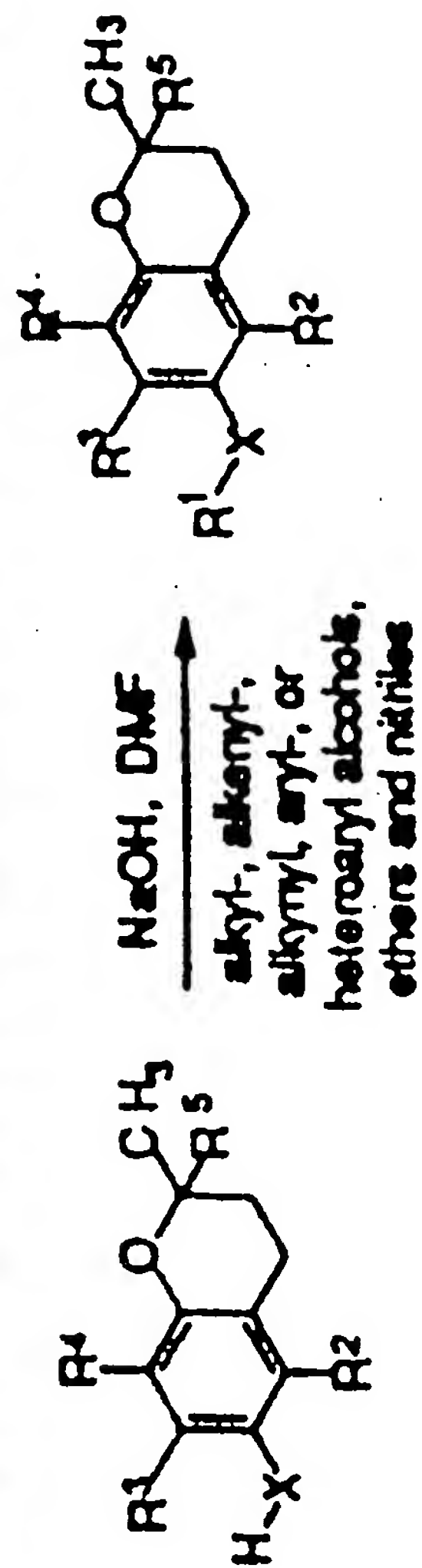
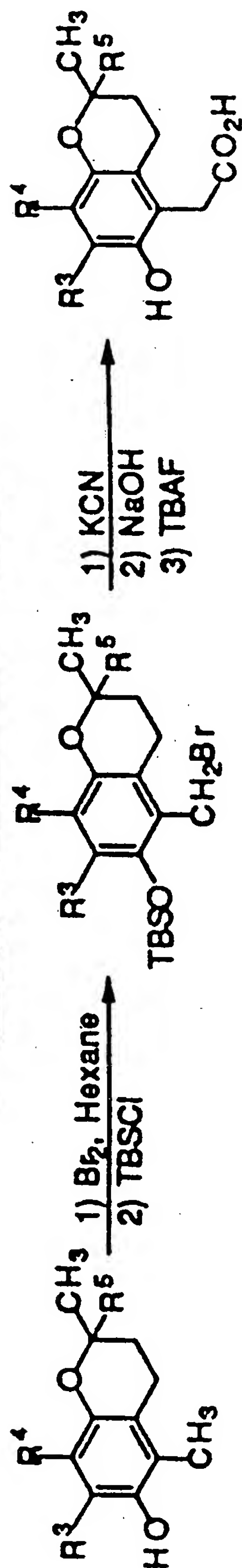


FIG. 3C-2

R² = benzyl carboxylic acid or carboxylate.



R² = benzyl carboxamides or esters.

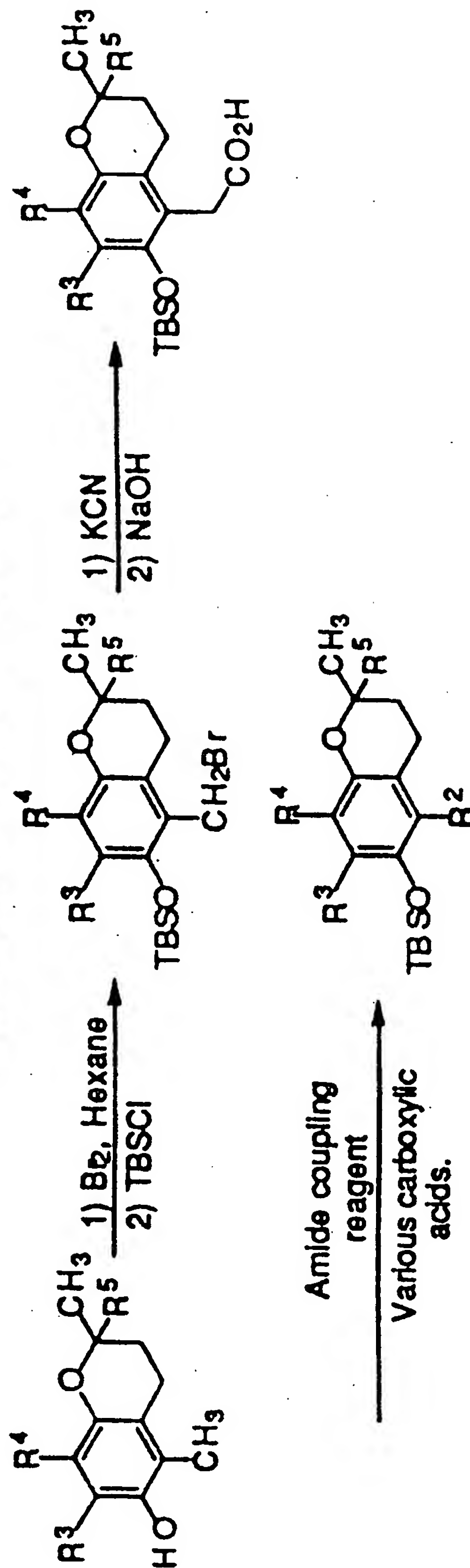


FIG. 4-1

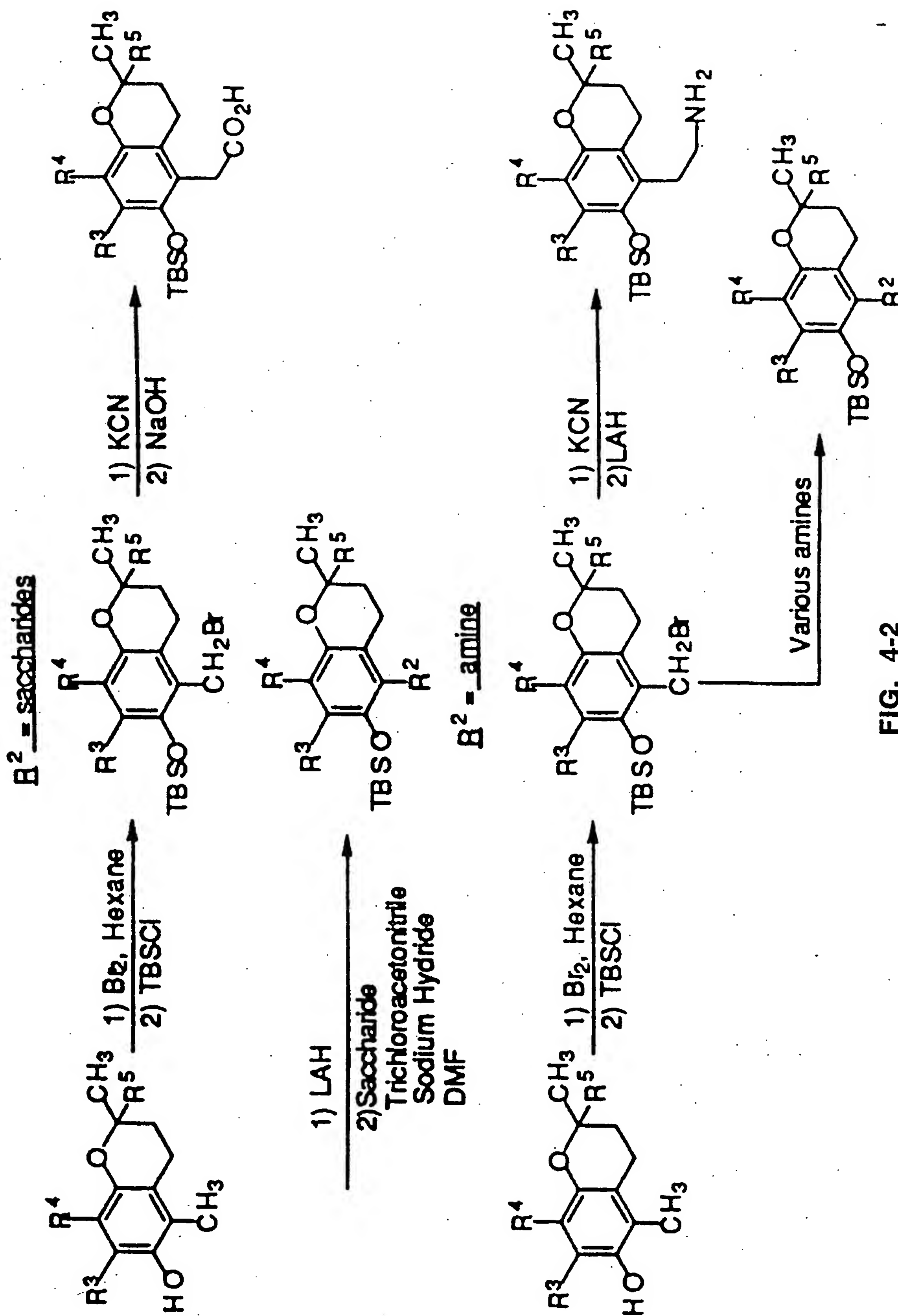
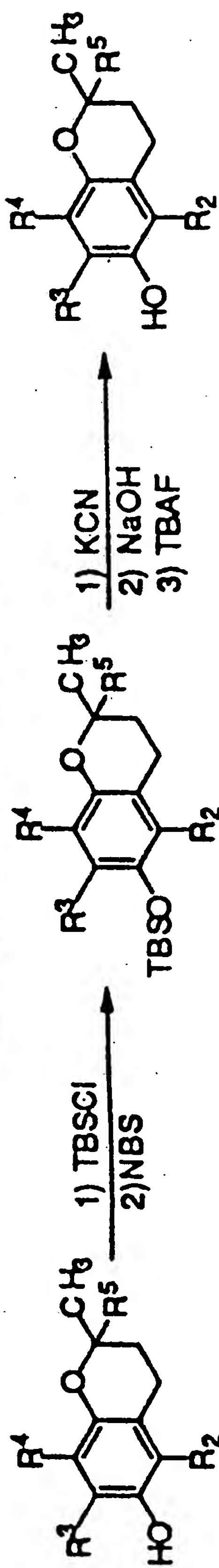
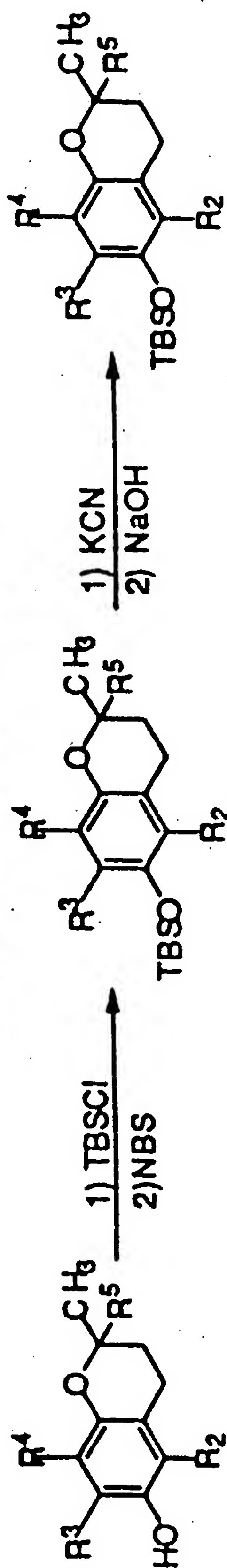


FIG. 4-2

R^3, R^4 = benzyl carboxylic acid or carboxylate.



R^3, R^4 = benzyl carboxamides or esters.



Amide coupling
reagent
Various carboxylic
acids.

FIG. 5-1

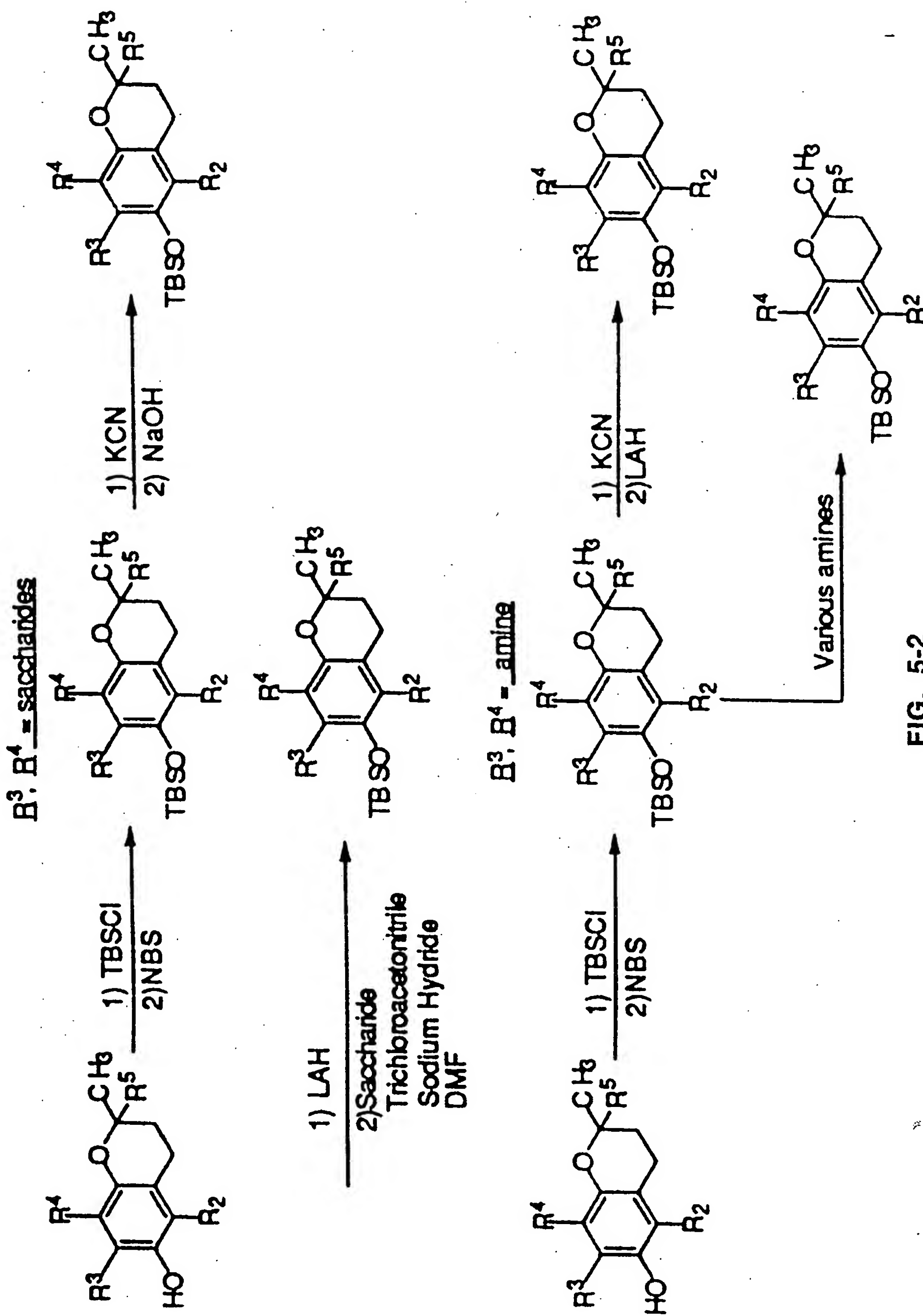
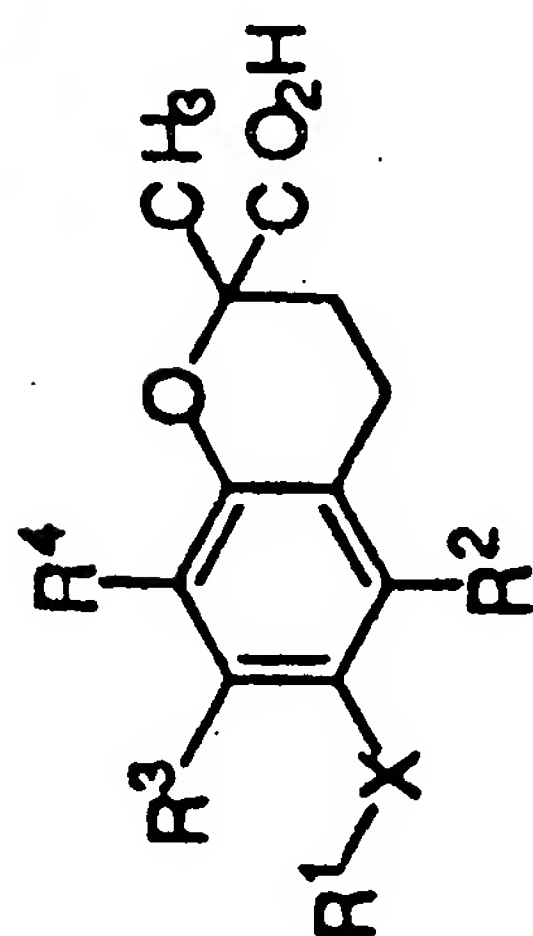
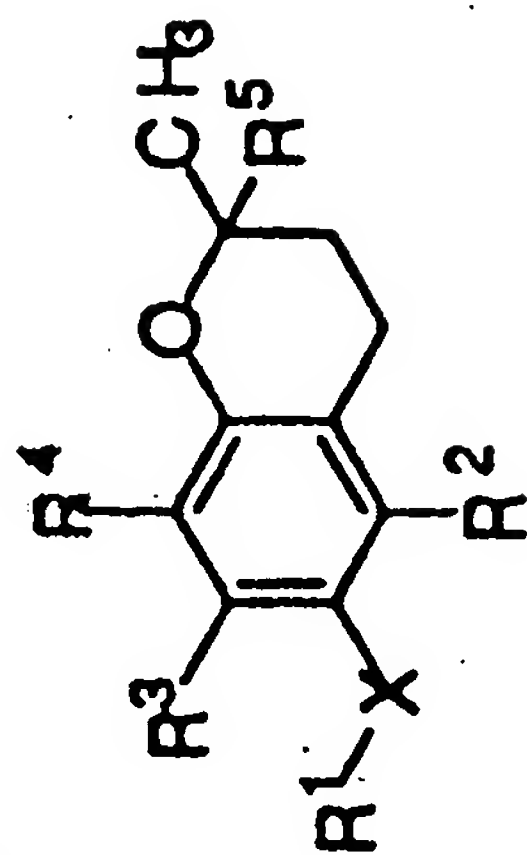


FIG. 5-2

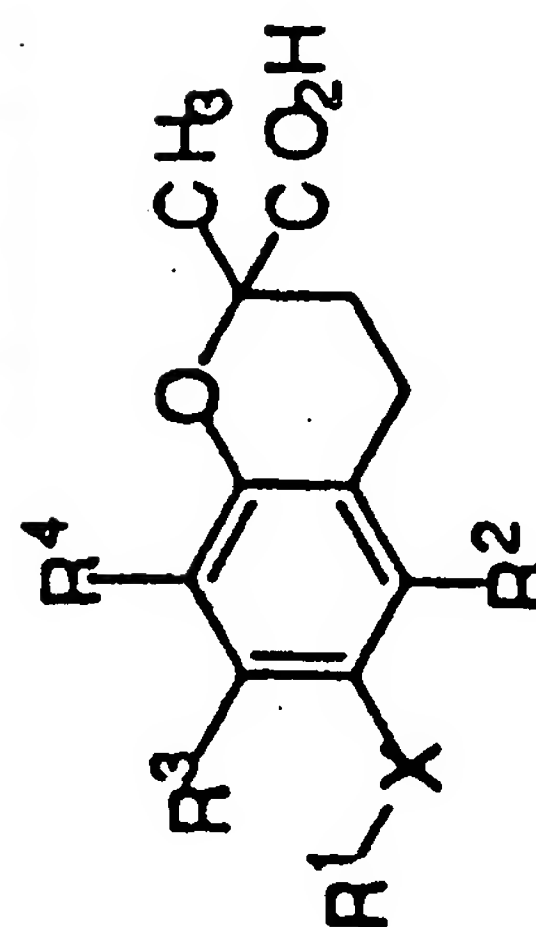
$R^5 = \text{alkyl, alkenyl, alkynyl, aryl, and heteroaryl.}$



1) MeOH
2) DiBAL-H
3) PPH_3 , $n-BuLi$
various alkyl-, alkenyl-,
alkynyl-, aryl- and
heteroaryl halides



$R^5 = \text{alkyl, alkenyl, alkynyl, aryl, and heteroaryl amides and esters.}$



1) DCC, HOSu
2) various alkyl-, alkenyl-,
alkynyl-, aryl- and
heteroaryl amines or alcohols

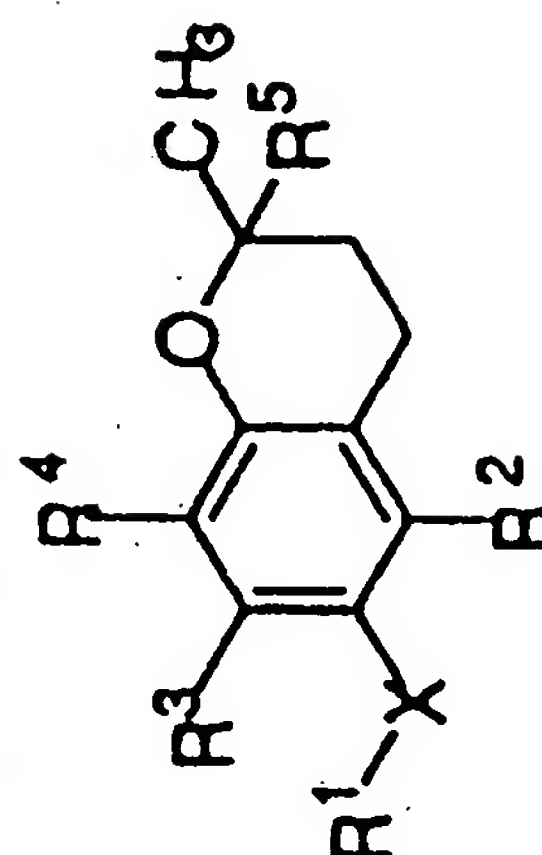


FIG. 6

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US99/21778

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) :A61K 31/355; C07D 311/04

US CL :514/404; 549/458

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 514/404; 549/458

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

CAS ONLINE

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X -- A	WELCH, S. C. et al. Syntheses and Activities of Antioxidant Derivatives of Restionic Acid. J. Med. Chem. Vol. 25, No. 1, pages 81-84, 1982. See the entire document especially pages 82-83 and the abstract.	1, 3, 5, 9-10, 16, 18, 20 ----- 2, 4, 6-8, 11-15, 17, 19
X -- A	US 5,114,957 A (HENDLER et al.) 19 May 1992. See the entire document especially column 2 and the abstract.	1, 7, 11, 13-16 ----- 2-6, 8-10, 12, 17-20
X -- A	WO 98/17246 A1 (ROC) 30 April 1998. See the entire document especially the structure on the attached abstract.	1 ----- 2-20

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
A document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
B earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*A* document member of the same patent family
O document referring to an oral disclosure, use, exhibition or other means	
P document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

17 NOVEMBER 1999

Date of mailing of the international search report

04 FEB 2000

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

TAOFIQ A. SOLOLA

Telephone No. (703) 308-1235

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.